### Social Laws for Multi-Agent Systems: Logic and Games

# Lecture 4: Coordinating Self-Interested Agents

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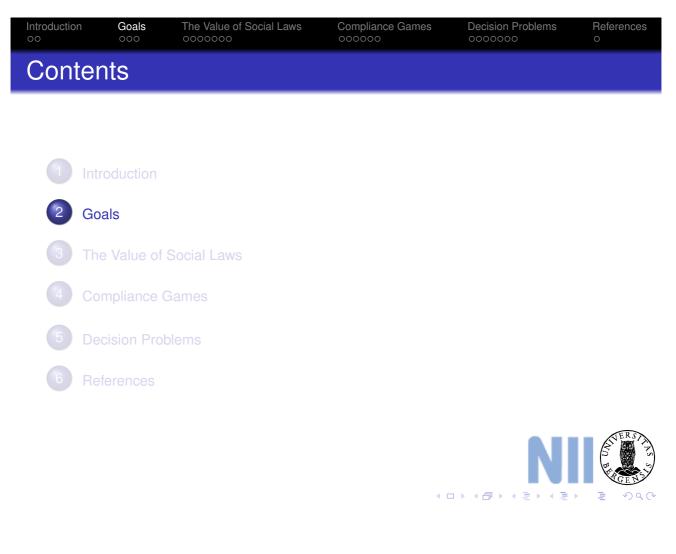
- Key idea: design the social law so that *compliance* is in everybody's interests
- For this, we need a model of everybody's preferences
- Here we model preferences as a a *prioritised list* of goal formulae.



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| Motivat            | tion  |                          |                  |                   |                 |

- A new norm is suggested
- Each agent can deside whether or not to commit to it, i.e., to always comply with it in the future
- Decision (commit/not commit): made design time, before the system "starts"
- Each agent has its own goals about the future of the system
- Is it rational to commit? Depends on what the other agents will do.
- $\Rightarrow$  Game theoretic setting





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| Goals        |              |                          |                  |                   |                 |

• We model agent's goals as a *prioritised list* of CTL formulae

$$\gamma = \langle \varphi_0, \dots, \varphi_k \rangle$$

- Goals further up (higher index) are more desired
- Kripke structure K satisfies a goal x in goal hierarchy  $\gamma$  iff

$$\mathbf{K} \models \gamma[\mathbf{X}]$$

 Assume: if a goal is satisfied, unconcerned about goals further down

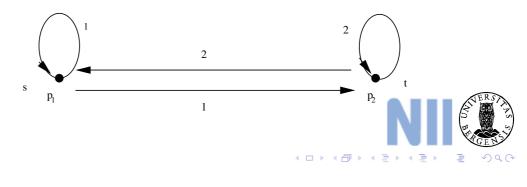


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- A system with a single non-sharable resource, which is desired by two agents.
- We have two states, *s* and *t*, and two corresponding Boolean variables *p*<sub>1</sub> and *p*<sub>2</sub>, which are mutually exclusive.

p<sub>i</sub> means "agent i has control"

• Each agent has two possible actions, when in possession of the resource: either give it away, or keep it.





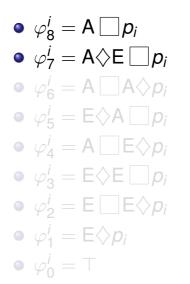
$$\gamma_i = \langle \varphi_0^i, \dots, \varphi_8^i \rangle$$

• 
$$\varphi_8^i = A \square p_i$$
  
•  $\varphi_7^i = A \diamondsuit E \square p_i$   
•  $\varphi_6^i = A \square A \diamondsuit p_i$   
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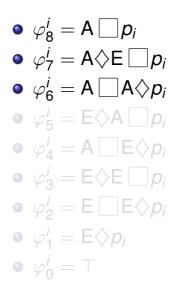
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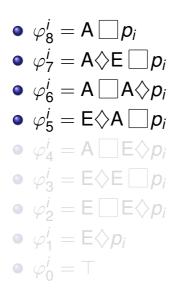
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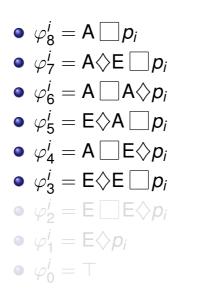
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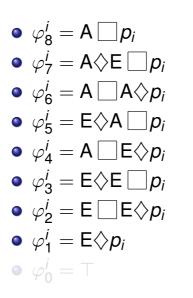
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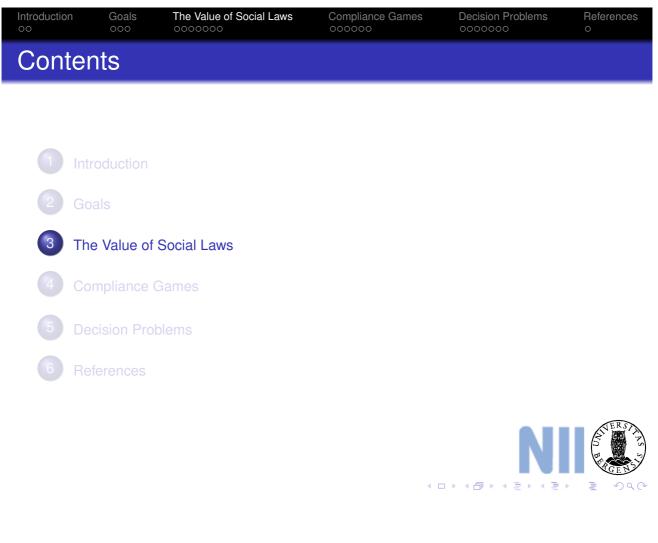


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| Ordina       | l Utilit | ies                                  |                  |                   |                 |

• The *utility of a Kripke structure* for *i* is the highest index of any goal that is guaranteed for *i* in the Kripke structure.

# $u_i(K) = \max\{j : 0 \le j \le |\gamma_i| \& K \models \gamma_i[j]\}$

• These are ordinal values: you can't compare utility between agents.





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|              |              | $arphi_2^i = E \square E$ $arphi_4^i = A \square E$ $arphi_6^i = A \square A$ $arphi_8^i = A \square arphi$ | $\varphi_{1}^{i} = E \langle \varphi_{1}^{i} = E \langle \varphi_{1}^{i} = E \langle \varphi_{1}^{i} = E \langle \varphi_{1}^{i} = E \rangle$ $\varphi_{1}^{i} = E \langle \varphi_{1}^{i} = E \langle \varphi_{2}^{i} \rangle$ $\varphi_{2}^{i} = E \langle \varphi_{2}^{i} \rangle$ | $\begin{array}{c} & & \\$ | ATVER STATES    |
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- We can now ask *how good* a social law is for an agent
- The value

 $u_i(K \dagger \eta)$ 

gives us agent i's utility of implementing the social law



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 $\begin{array}{ll} \eta_0 = \emptyset & \eta_1 = \{(\boldsymbol{s}, \boldsymbol{s})\} & \eta_2 = \{(t, t)\} \\ \eta_3 = \{(\boldsymbol{s}, \boldsymbol{s}), (\boldsymbol{s}, t)\} & \eta_4 = \{(\boldsymbol{s}, t)\} & \eta_5 = \{(t, \boldsymbol{s})\} \\ \eta_6 = \{(\boldsymbol{s}, \boldsymbol{s}), (t, \boldsymbol{s})\} & \eta_7 = \{(t, t), (\boldsymbol{s}, t)\} & \eta_8 = \{(\boldsymbol{s}, t), (t, \boldsymbol{s})\} \end{array}$ 

|   |   |   | $\eta_2$ |   |   |   |   |   |   |
|---|---|---|----------|---|---|---|---|---|---|
| $u_1(K \dagger \eta)$   | 4 | 4 | 7        | 6 | 5 | 0 | 0 | 7 | 0 |
| $\begin{array}{c} u_1(K \dagger \eta) \\ u_2(K \dagger \eta) \end{array}$ | 4 | 7 | 4        | 6 | 0 | 5 | 7 | 0 | 0 |
|   |   |   |          |   |   |   |   |   |   |

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• Given *K*, *K*', *i*, measure the the *difference* in utility when moving from *K* to *K*':

$$\delta_i(K,K') = u_i(K') - u_i(K)$$

• The benefit for agent *i* of implementing  $\eta$  in *K* is then

 $\delta_i(K, K \dagger \eta)$ 



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|   | $\eta_0$ | $\eta_1$ | $\eta_2$ | $\eta_3$ | $\eta_4$ | $\eta_5$ | $\eta_6$ | $\eta_7$ | $\eta_8$ |
|---|----------|----------|----------|----------|----------|----------|----------|----------|----------|
| $u_1(K \dagger \eta)$   | 4        | 4        | 7        | 6        | 5        | 0        | 0        | 7        | 0        |
| $\begin{array}{c} u_1(K \dagger \eta) \\ u_2(K \dagger \eta) \end{array}$ | 4        | 7        | 4        | 6        | 0        | 5        | 7        | 0        | 0        |

| $\eta$             | $\delta_1(\boldsymbol{K},\boldsymbol{K}\dagger\eta)$ | $\delta_2(K, K \dagger \eta)$ |
|--------------------|--|-------------------------------|
| $\eta_{\emptyset}$ | 0  | 0                             |
| $\eta_1$           | 0  | 3                             |
| $\eta_2$           | 3  | 0                             |
| $\eta_3$           | 2  | 2                             |



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|   | $\eta_0$ | $\eta_1$ | $\eta_2$ | $\eta_3$ | $\eta_4$ | $\eta_5$ | $\eta_6$ | $\eta_7$ | $\eta_8$ |
|---|----------|----------|----------|----------|----------|----------|----------|----------|----------|
| $u_1(K \dagger \eta)$   | 4        | 4        | 7        | 6        | 5        | 0        | 0        | 7        | 0        |
| $\begin{array}{c} u_1(K \dagger \eta) \\ u_2(K \dagger \eta) \end{array}$ | 4        | 7        | 4        | 6        | 0        | 5        | 7        | 0        | 0        |

| $\eta$             | $\delta_1(K,K\dagger\eta)$ | $\delta_2(K,K\dagger\eta)$ |
|--------------------|----------------------------|----------------------------|
| $\eta_{\emptyset}$ | 0                          | 0                          |
| $\eta_1$           | 0                          | 3                          |
| $\eta_2$           | 3                          | 0                          |
| $\eta_3$           | 2                          | 2                          |





Universal and existential fragment of CTL, respectively:

$$\mu ::= \top | \boldsymbol{p} | \neg \boldsymbol{p} | \mu \lor \mu | A\mu | A \Box \mu | A(\mu \mathcal{U} \mu)$$
  
$$\varepsilon ::= \top | \boldsymbol{p} | \neg \boldsymbol{p} | \varepsilon \lor \varepsilon | E\varepsilon | E \Box \varepsilon | E(\varepsilon \mathcal{U} \varepsilon)$$

- If u<sub>i</sub>(K) = n and γ<sub>i</sub>[n] is universal, then δ<sub>i</sub>(K, K † η) ≥ 0 for any social law η
- If  $u_i(K \dagger \eta) = n$  for some social law  $\eta$  and  $\gamma_i[n]$  is existential, then  $\delta_i(K \dagger \eta, K) \ge 0$ .



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Universal and existential fragment of CTL, respectively:

$$\mu ::= \top | \boldsymbol{p} | \neg \boldsymbol{p} | \mu \lor \mu | A\mu | A \Box \mu | A(\mu \mathcal{U} \mu)$$
  
$$\varepsilon ::= \top | \boldsymbol{p} | \neg \boldsymbol{p} | \varepsilon \lor \varepsilon | E\varepsilon | E \Box \varepsilon | E(\varepsilon \mathcal{U} \varepsilon)$$

- If u<sub>i</sub>(K) = n and γ<sub>i</sub>[n] is universal, then δ<sub>i</sub>(K, K † η) ≥ 0 for any social law η
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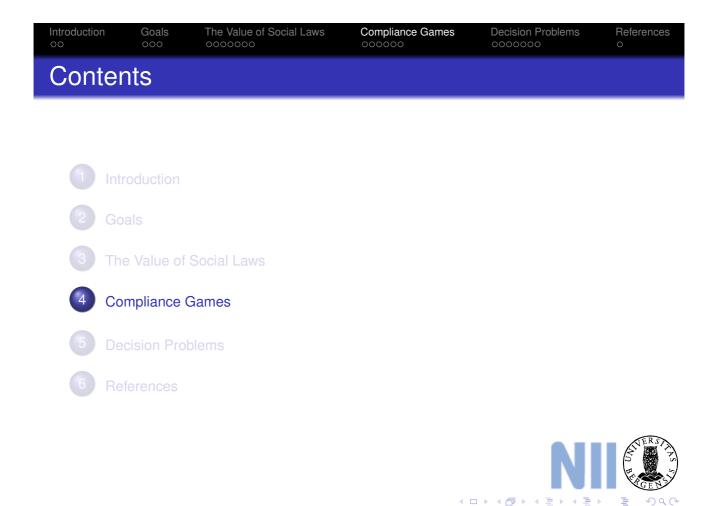
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$$\varepsilon ::= \top | \boldsymbol{p} | \neg \boldsymbol{p} | \varepsilon \lor \varepsilon | E\varepsilon | E \Box \varepsilon | E(\varepsilon \mathcal{U} \varepsilon)$$

- If  $u_i(K) = n$  and  $\gamma_i[n]$  is universal, then  $\delta_i(K, K \dagger \eta) \ge 0$  for any social law  $\eta$
- If  $u_i(K \dagger \eta) = n$  for some social law  $\eta$  and  $\gamma_i[n]$  is existential, then  $\delta_i(K \dagger \eta, K) \ge 0$ .



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|---------|--------------|--------------|--------------------------|----------------------------|-------------------|-----------------|
|         | Setting      |              |                          |                            |                   |                 |

- Given:
  - Kripke structure K
  - Goals  $\gamma_i = \langle \varphi_0^i, \dots, \varphi_{k_i}^i \rangle$  Social law  $\eta$  over K
- It is proposed  $\eta$  should be imposed
- Each agent must decide: should it commit to  $\eta$  or not
- Before the system "starts"



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| Restric      | ctions       | on Social Lav            | /S                         |                   |                 |

Define operators on social laws which correspond to groups of agents "defecting" from the social law.

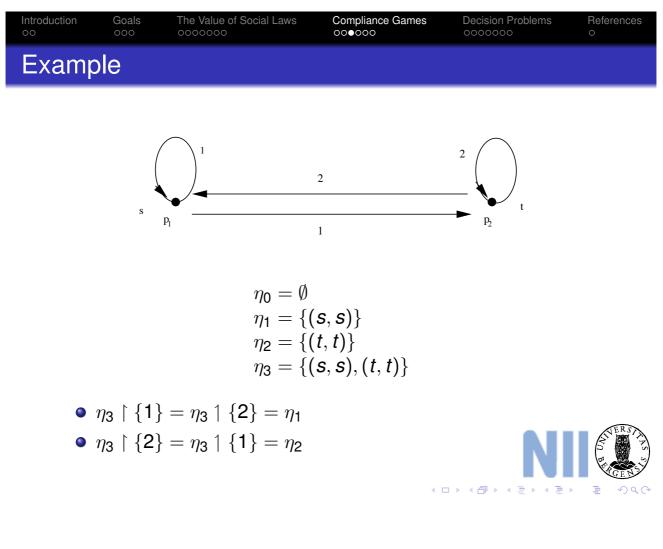
# $\eta \restriction C$

is the social law that is the same as  $\eta$  except that it only contains the arcs of  $\eta$  that correspond to the actions of agents in C.

## $\eta \perp C$

denotes the social law that is the same as  $\eta$  except that it only contains the arcs of  $\eta$  that *do not* correspond to actions of agents in C.

Image: A image: A



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| Strate             | gic Fo       | rm Games                 |                  |                   |                 |

A game in strategic form :

 $\mathcal{G} = \langle \mathcal{AG}, \mathcal{S}_1, \dots, \mathcal{S}_n, \mathcal{U}_1, \dots, \mathcal{U}_n \rangle$  where:

 $\mathcal{AG} = \{1, \ldots, n\}$  is a set of players  $\mathcal{S}_i$  is the set of strategies for each agent  $i \in \mathcal{AG}$  $\mathcal{U}_i : (\mathcal{S}_1 \times \cdots \times \mathcal{S}_n) \to \mathbb{R}$  is the utility function for agent  $i \in \mathcal{AG}$ 





Given  $\Sigma = \langle K, \gamma_1, \dots, \gamma_n, \eta \rangle$ , the social law game  $\mathcal{G}_{\Sigma}$  is:

The agents  $\mathcal{AG}$  in  $\mathcal{G}_{\Sigma}$  are as in  $\Sigma$ .

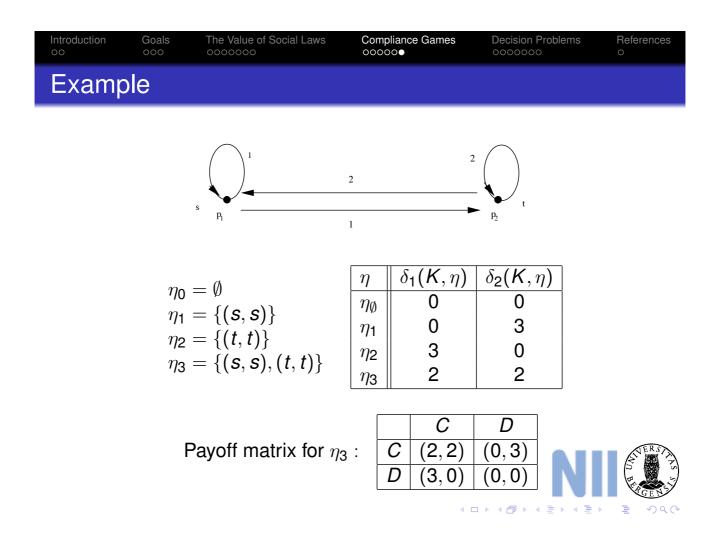
Each agent *i* has just two strategies available to it:

C - comply with the norm system;

D – do not comply.

 $\mathcal{U}_i(S) = \delta_i(K, K \dagger (\eta \restriction \text{agents that play C in } S)).$ 



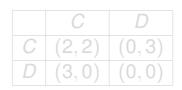


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| Individ | ually | Rational Socia | al Laws |        |   |

• A social law is individually rational if every agent would fare better if the social law was imposed than otherwise.

Example:







• A social law is individually rational if every agent would fare better if the social law was imposed than otherwise.

Example:

|   | С     | D     |
|---|-------|-------|
| С | (2,2) | (0,3) |
| D | (3,0) | (0,0) |



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| Individ      | ually        | Rational Socia           | al Laws          |                              |                 |

• A social law is individually rational if every agent would fare better if the social law was imposed than otherwise.

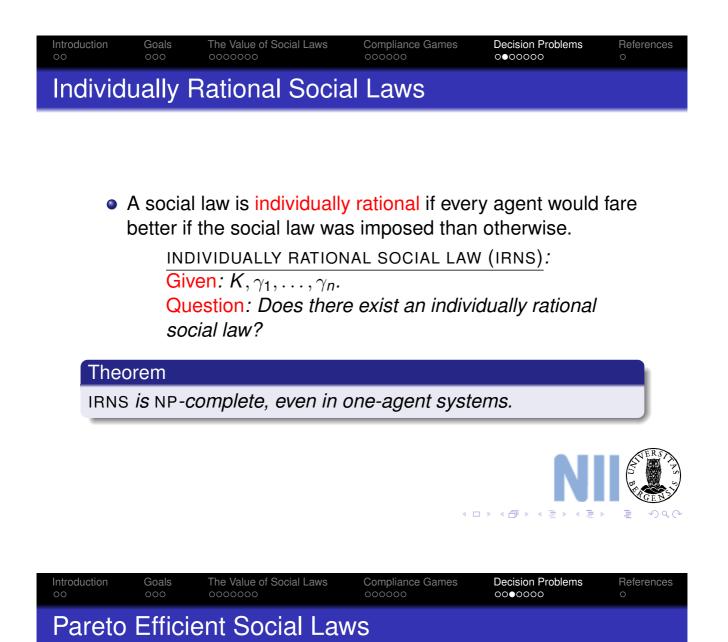
INDIVIDUALLY RATIONAL SOCIAL LAW (IRNS):

Given:  $K, \gamma_1, \ldots, \gamma_n$ . Question: Does there exist an individually rational social law?

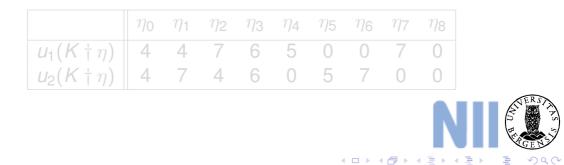
#### Theorem

IRNS is NP-complete, even in one-agent systems.





- - A benign leader asks: *is it possible to make some agents better off* without *making anybody else worse off?*
  - A system is *Pareto efficient* if no such *Pareto improvements* are possible.
  - A social law is pareto efficient if there is no other social law under which every agent is better off.



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| Pareto       | Effici | ent Social Lav           | VS               |                   |                 |

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- A social law is pareto efficient if there is no other social law under which every agent is better off.

| $u_1(K \dagger \eta) u_2(K \dagger \eta)$ | $\eta_0$ | $\eta_1$ | $\eta_2$ | $\eta_{3}$ | $\eta_4$ | $\eta_5$ | $\eta_{6}$ | $\eta_7$ | $\eta_{8}$ |
|---|----------|----------|----------|------------|----------|----------|------------|----------|------------|
| $U_1(K \dagger \eta)$                     | 4        | 4        | 7        | 6          | 5        | 0        | 0          | 7        | 0          |
| $U_2(K \dagger \eta)$                     | 4        | 7        | 4        | 6          | 0        | 5        | 7          | 0        | 0          |

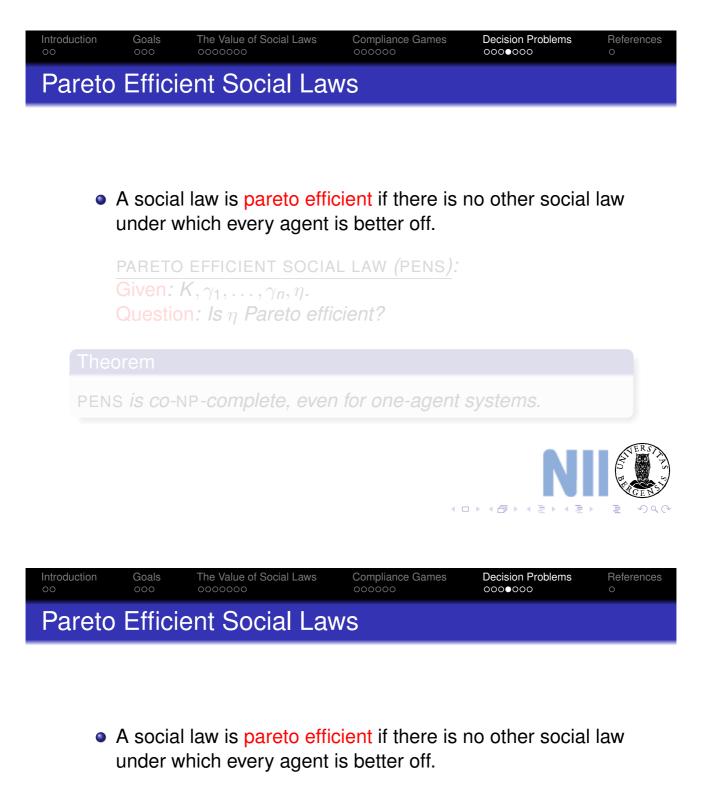
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|--------------|--------------|--------------------------|------------------|-------------------|-----------------|
| Pareto       | Effici       | ent Social Lav           | NS               |                   |                 |

- A benign leader asks: *is it possible to make some agents better off* without *making anybody else worse off?*
- A system is *Pareto efficient* if no such *Pareto improvements* are possible.
- A social law is pareto efficient if there is no other social law under which every agent is better off.

|   | $\eta_0$ | $\eta_1$ | $\eta_2$ | $\eta_3$ | $\eta_4$ | $\eta_5$ | $\eta_{6}$ | $\eta_7$ | $\eta_8$ |
|---|----------|----------|----------|----------|----------|----------|------------|----------|----------|
| $u_1(K \dagger \eta)$   | 4        | 4        | 7        | 6        | 5        | 0        | 0          | 7        | 0        |
| $\begin{array}{c} u_1(K \dagger \eta) \\ u_2(K \dagger \eta) \end{array}$ | 4        | 7        | 4        | 6        | 0        | 5        | 7          | 0        | 0        |
|   |          |          |          |          |          |          |            |          |          |

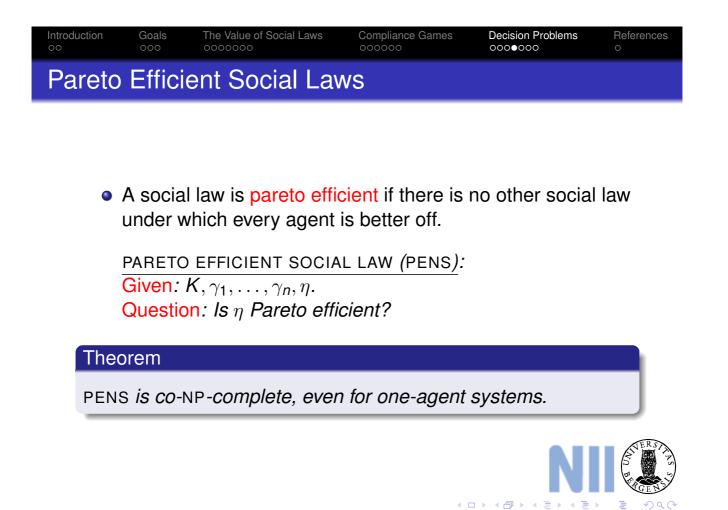


PARETO EFFICIENT SOCIAL LAW (PENS): Given:  $K, \gamma_1, \dots, \gamma_n, \eta$ . Question: Is  $\eta$  Pareto efficient?

#### heorem

PENS is co-NP-complete, even for one-agent systems.





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• A social law is a Nash implementation if everyone complying is a Nash equilibrium  $\mathcal{G}_{\Sigma}$ .

Example: Pay-off matrix for  $\eta_3$ :

|   | С     | D      |
|---|-------|--------|
| С | (2,2) | (0,3)  |
| D | (3,0) | (0, 0) |

Is  $\eta_3$  a Nash implementation?





 A social law is a Nash implementation if everyone complying is a Nash equilibrium *G*<sub>Σ</sub>.

Example: Pay-off matrix for  $\eta_3$ :

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|---------------------|--------------|--------------------------|------------------|-------------------|-----------------|
| Nash Implementation |              |                          |                  |                   |                 |

• A social law is a *Nash implementation* if everyone complying is a Nash equilibrium  $\mathcal{G}_{\Sigma}$ .

```
NASH IMPLEMENTATION (NI) :

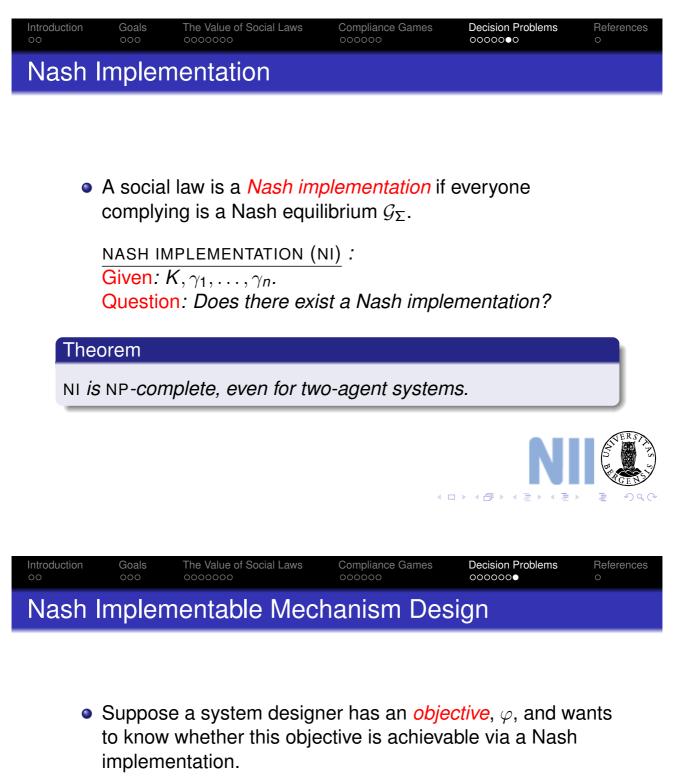
Given: K, \gamma_1, \dots, \gamma_n.

Question: Does there exist a Nash implementation?
```

#### -heorem

NI is NP-complete, even for two-agent systems.





NASH IMPLEMENTABLE (NASH) : Given:  $K, \gamma_1, \dots, \gamma_n, \varphi$ . Question: Can  $\varphi$  be achieved through a Nash implementation?

#### Theorem

NASH *is* NP*-complete.* 





 Suppose a system designer has an *objective*, φ, and wants to know whether this objective is achievable via a Nash implementation.

NASH IMPLEMENTABLE (NASH) :

Given:  $K, \gamma_1, \ldots, \gamma_n, \varphi$ .

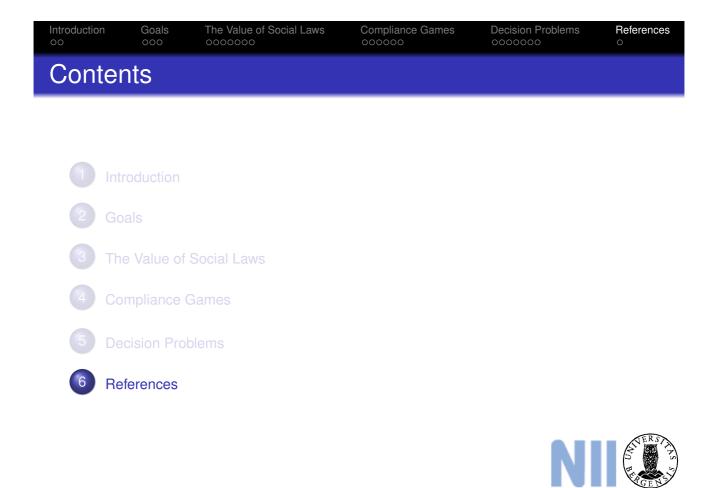
Question: Can  $\varphi$  be achieved through a Nash implementation?

### Theorem

NASH *is* NP-complete.



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|--------------|-------|--------------------------|------------------|-------------------|-----------------|
|              |       |                          |                  |                   |                 |

Some references I

Thomas Ågotnes, Wiebe van der Hoek, and Michael Wooldridge. Normative system games. In M. Huhns and O. Shehory, editors, *Proceedings of the Sixth International Conference on Autonomous Agents and Multiagent Systems (AAMAS 2007)*, pages 876–883. May 2007.

