


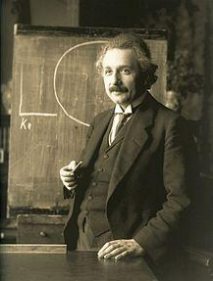
# Opto-mechanics

**RCAST** - Research Center for Advanced Science and Technology

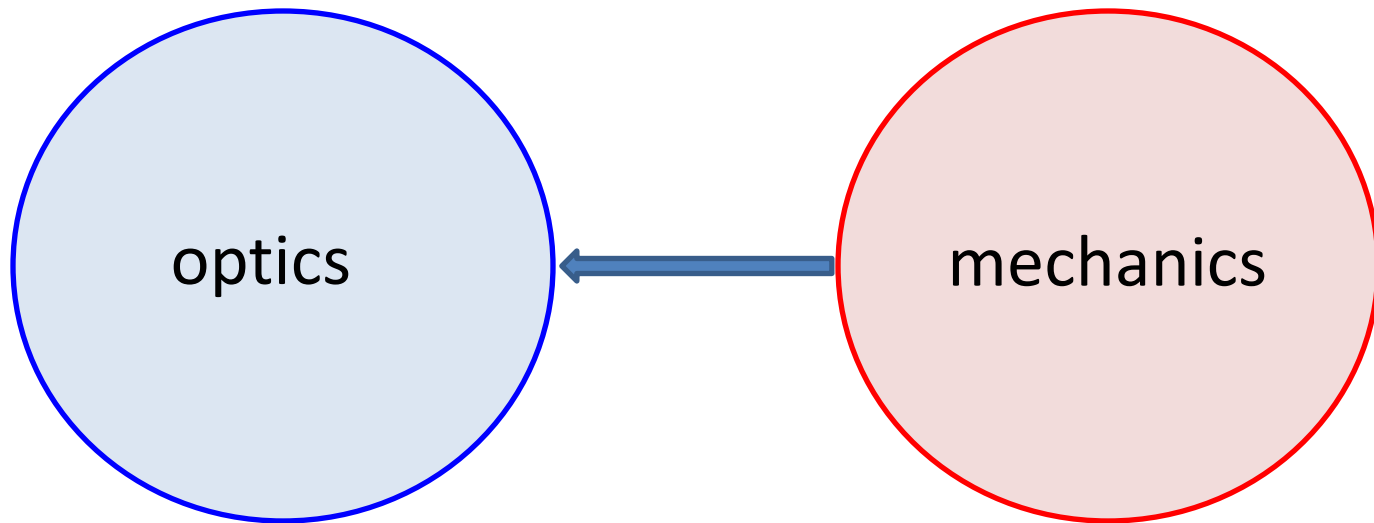
The University of Tokyo

**Koji Usami**

# Optics & mechanics

	Optics	Mechanics
 <b>Isaac Newton</b>	Newton's prism Newton's rings Newtonian telescope	Newton's laws
<b>Christiaan Huygens</b>	Huygens' principle	Moment of inertia
<b>Thomas Young</b>	Young's interference experiment	Young's modulus
<b>Lord Rayleigh</b>	Rayleigh scattering	Rayleigh waves
 <b>Albert Einstein</b>	Photo-electric effect Einstein's A, B coefficients Bose-Einstein statistics	Special relativity General relativity

# Optics & mechanics



*Measuring mechanics optically*

# Optics & mechanics

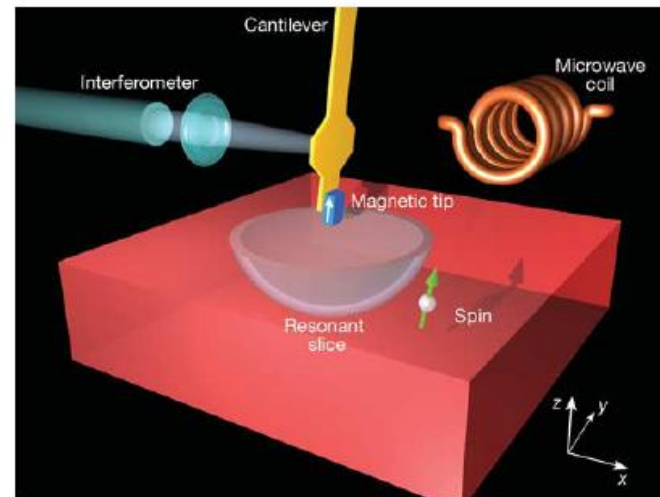
**Gravitational wave**



**LIGO**

Laser Interferometer Gravitational Wave Observatory

**Single spin**



**MRFM**

Magnetic Resonance Force Microscope

# Optics & mechanics

## Optics

**High sensitivity  
(Shot-noise-limited )**

- ✓ Laser is available
- ✓ Good photo-detectors
- ✓ Higher energy quanta

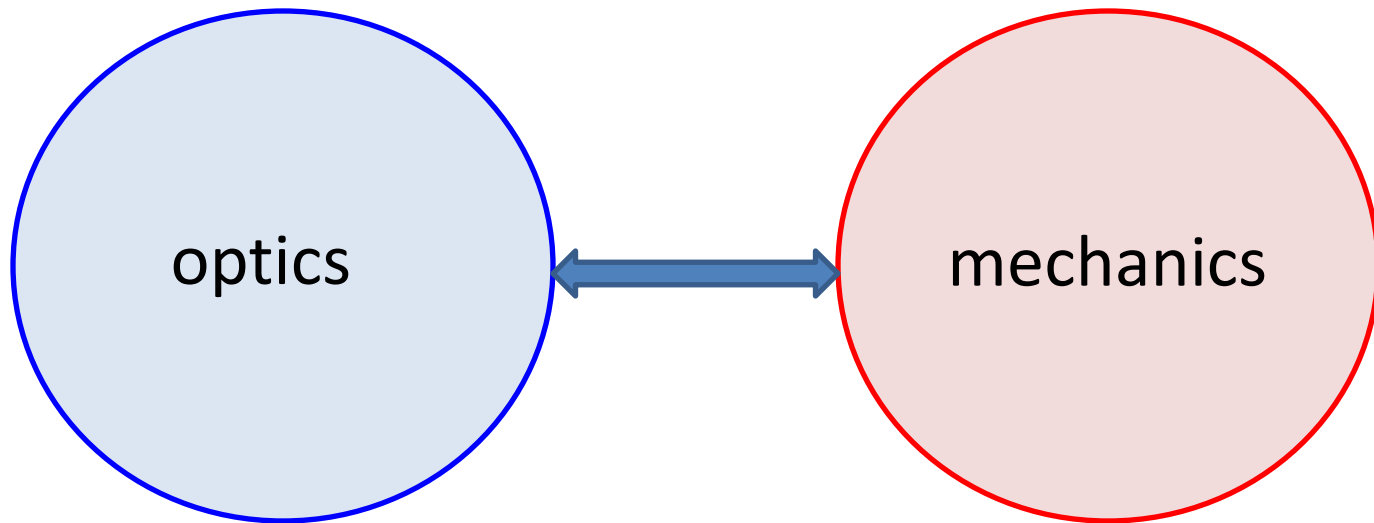
## Mechanics

**High sensitivity  
(High mechanical Q)**

- ✓ Well-isolated from the other D.O.F.
- ✓ Easy to design/machine
- ✓ Collective modes

# Opto-Mechanics

# Opto-mechanics



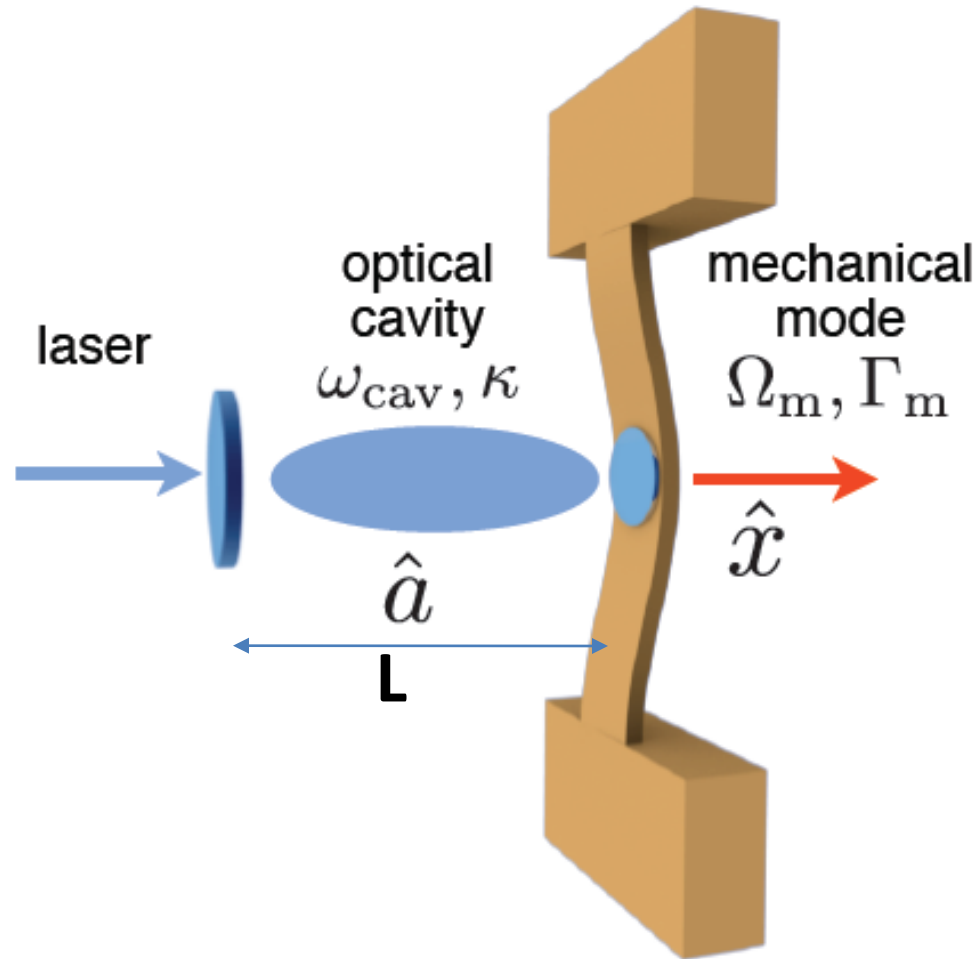
**Controlling mechanics optically**  
***(Dynamical back-action)***

# Cavity opto-mechanics

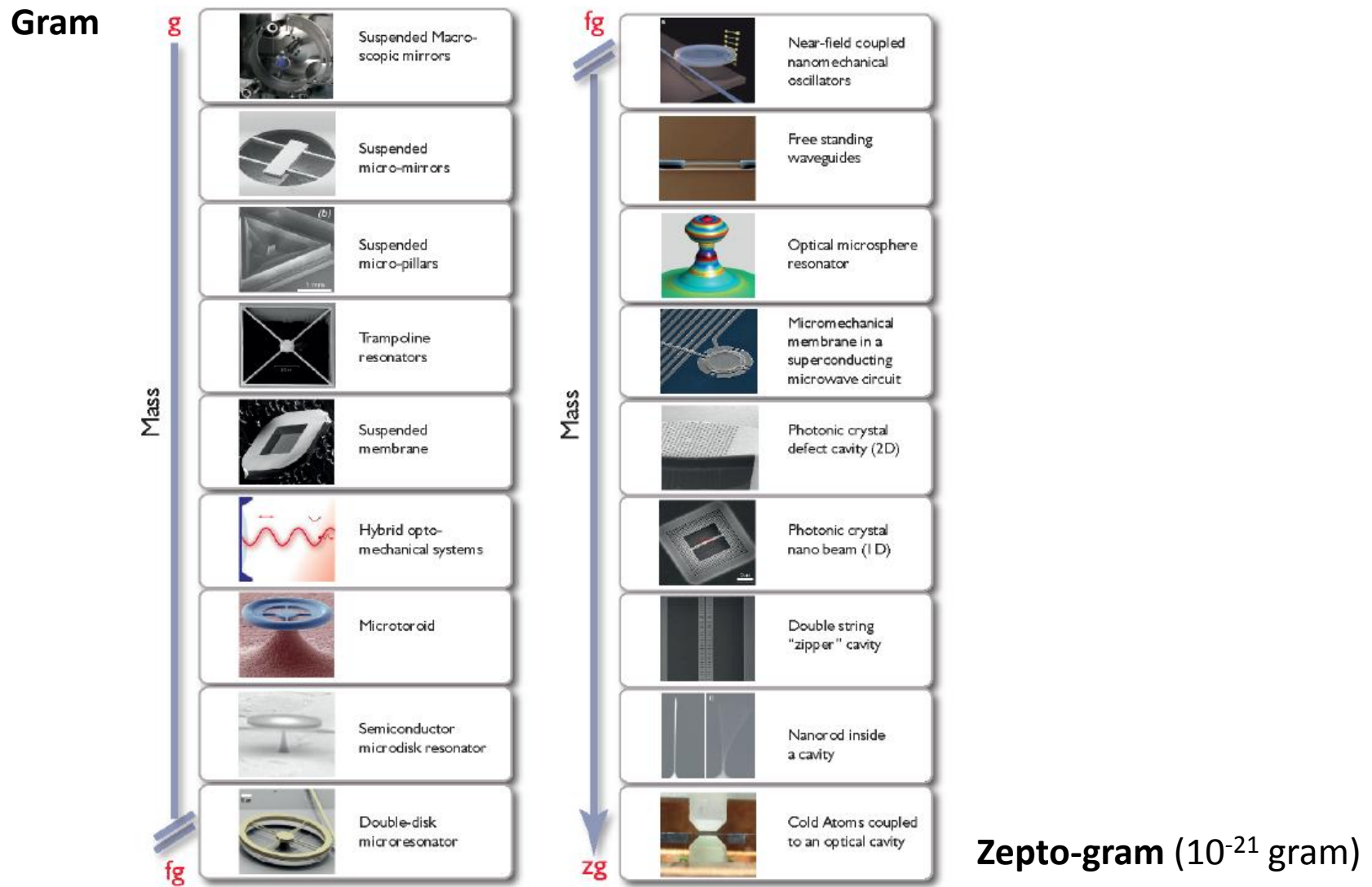
- **Position sensing**
  - Tiny displacement of mechanical object can be measured
- **Mechanical effect of light**
  - Radiation pressure can be boosted



# Cavity opto-mechanics



# Showcase of opto-mechanical systems



# References

## Review articles

- **T. J. Kippenberg** and **K. J. Vahala**, *Science* **321**, 1172 (2008).
  - **F. Marquardt** and **S. M. Girvin**, *Physics* **2**, 40 (2009).
  - **I. Favero** and **K. Karrai**, *Nature Photonics* **3**, 201 (2009).
  - **M. Aspelmeyer**, **T. J. Kippenberg**, and **F. Marquardt**, arXiv:1303.0733v1 (2013).
- etc.

## Ph.D theses

- **Albert Schliesser**, Ludwig-Maximilians-University (Germany) 2011.
  - **Dalziel Wilson**, Caltech (US) 2012.
- etc.

# Theme:

What makes **laser (cavity) cooling** conceptually different from conventional **cryogenics**?

# Contents

- Optics & mechanics
  - Fabry-Perot cavity
  - Ornstein-Uhlenbeck process
  - Dissipation-fluctuation theorem
- Opto-mechanics
  - Carnot cycle for black-body radiation
  - Sideband cooling
  - Detailed balance
  - Cold damping (cavity cooling)

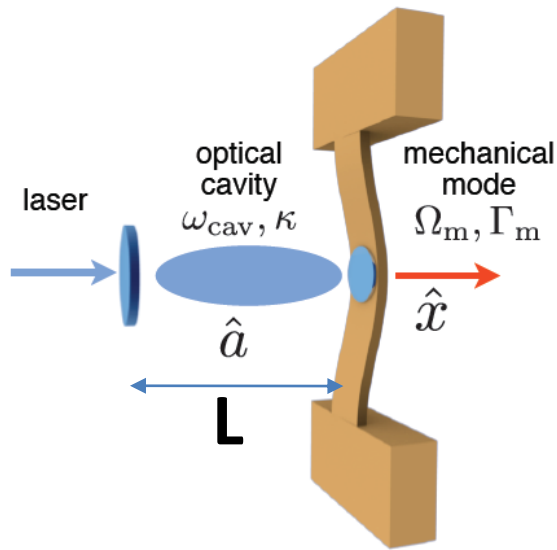
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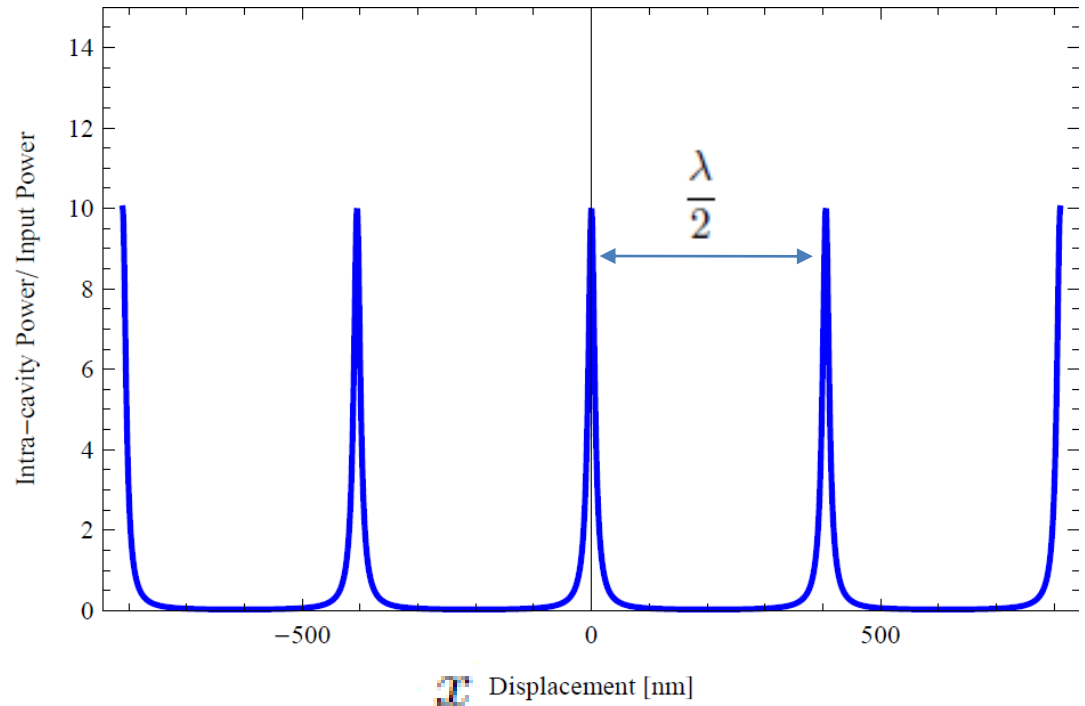
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# Fabry-Perot Cavity



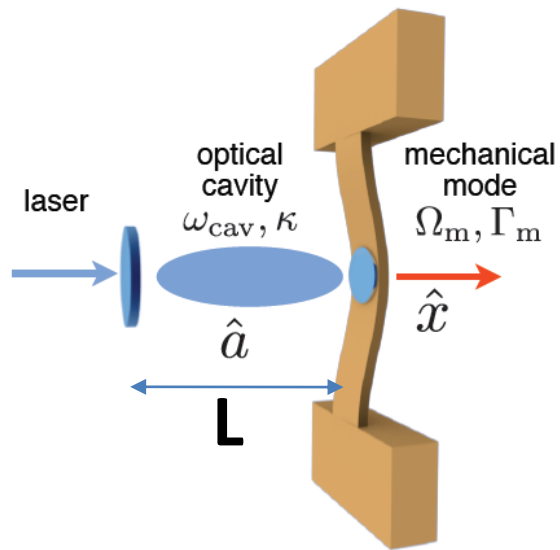
Intra-cavity power



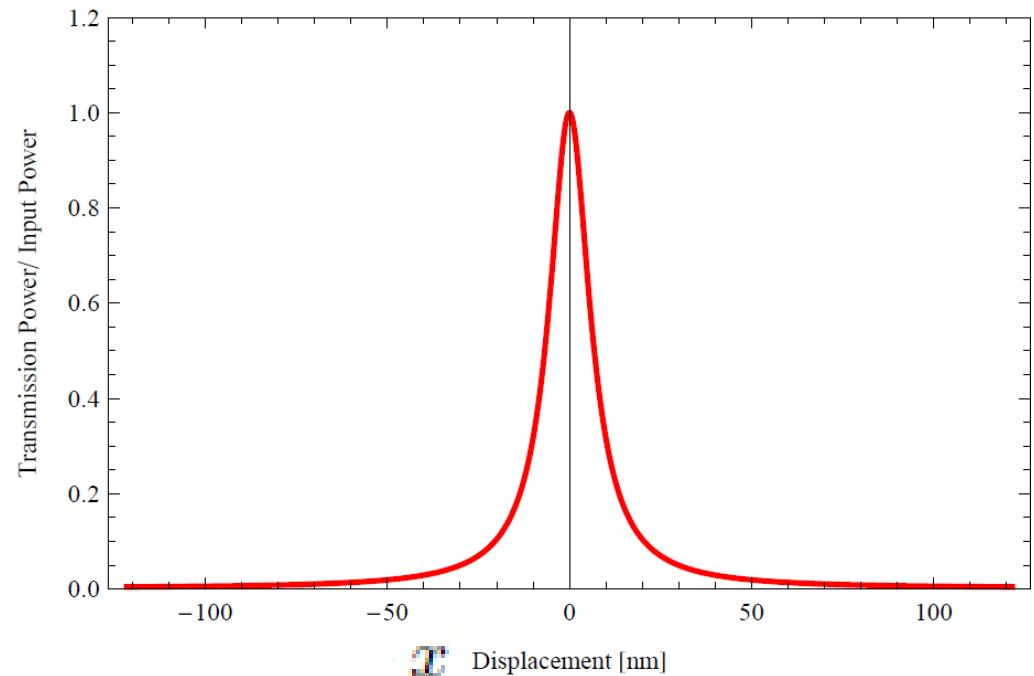
$$\text{Resonance condition : } 2(L + x) = \lambda n$$



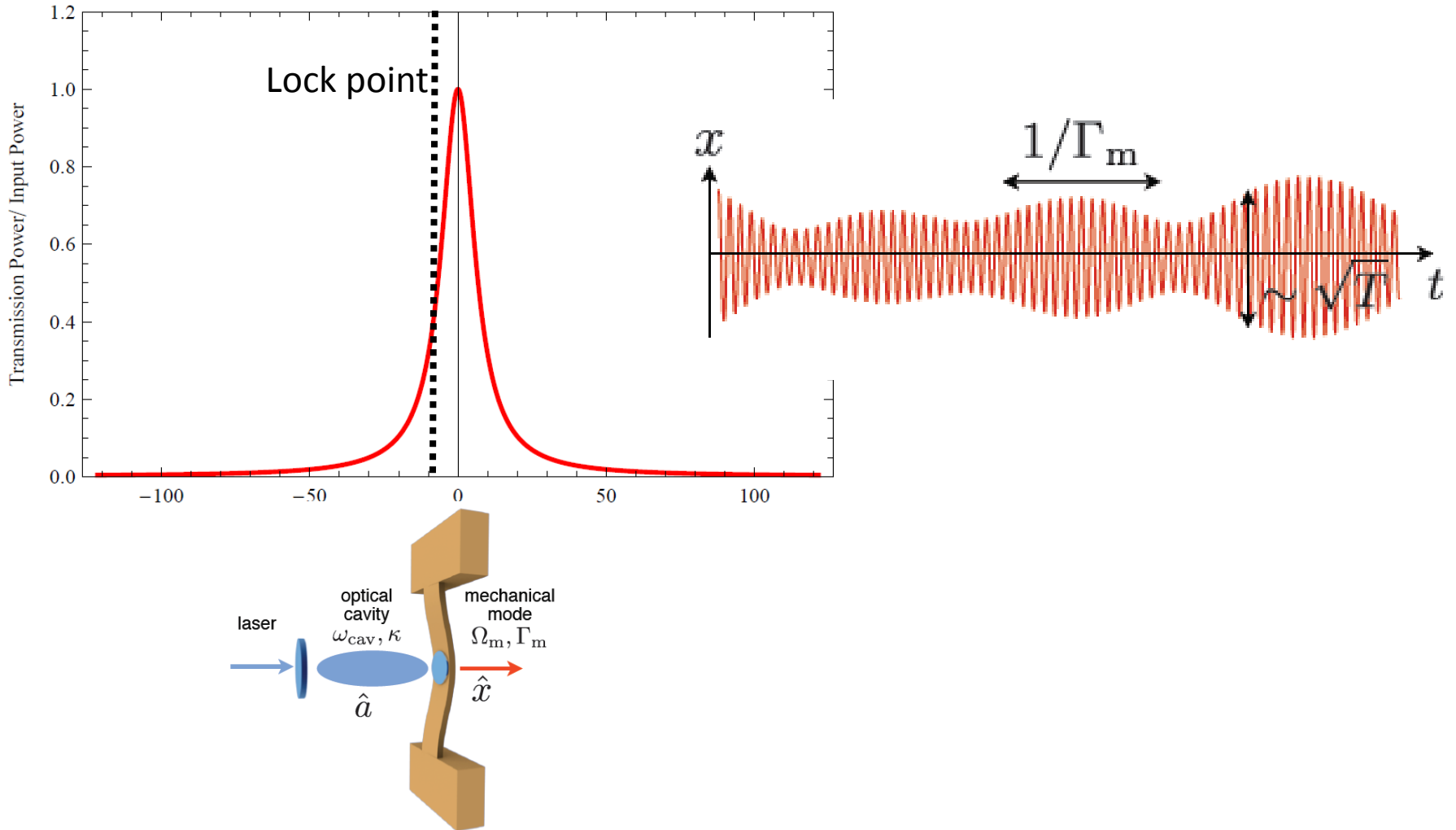
# Zooming up one resonance



## Transmission



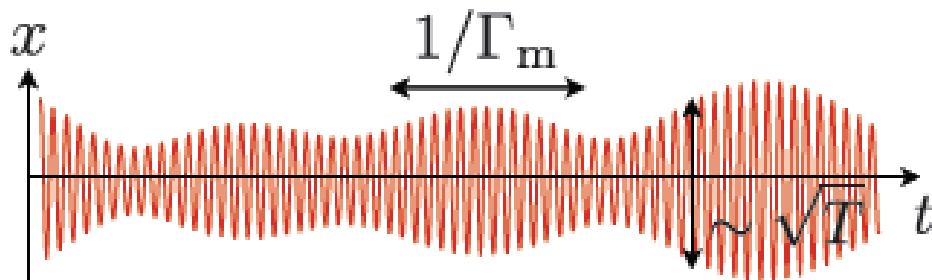
# Fabry-Perot cavity = displacement sensor



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# Mechanics = Damped harmonic oscillator



**Langevin equation**

$$\ddot{x} + \Gamma_m \dot{x} + \Omega_m^2 x = \frac{F_B(t)}{m}$$

$F_B(t)$  : Langevin force

$\Gamma_m$  : energy decay rate

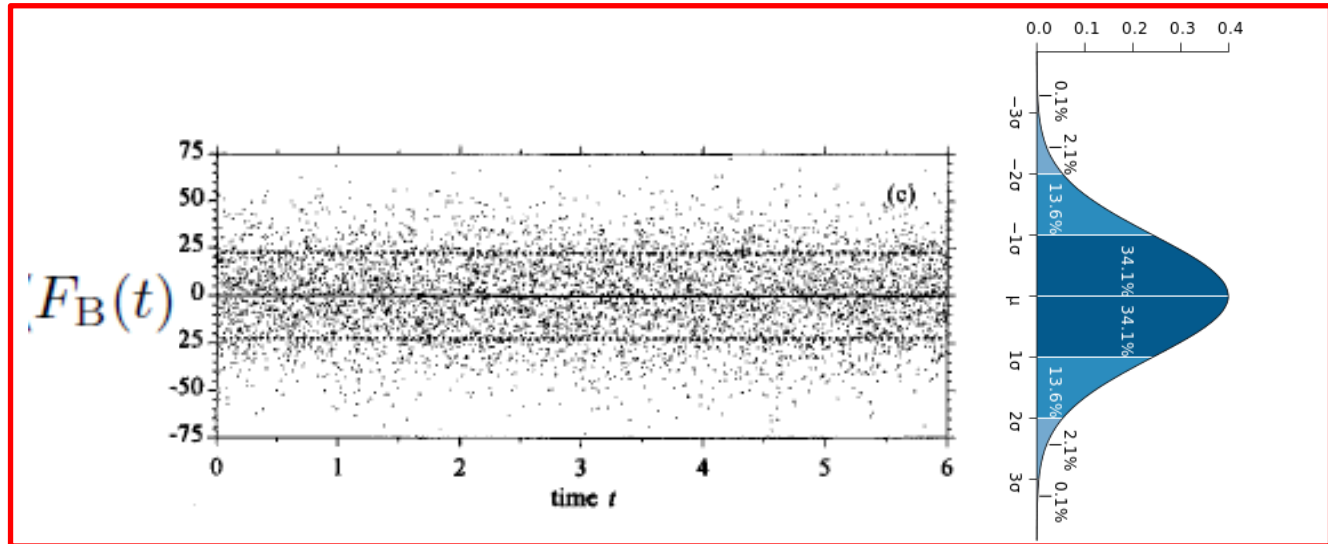
Langevin force = **Gaussian white noise**

$$\begin{aligned}\langle F_B(t) \rangle &= 0 \\ \langle F_B(t) F_B(t + \tau) \rangle &= 2D\delta(\tau)\end{aligned}$$

$\sim$  variance

# $F_B(t)$ : Gaussian white noise

**Distribution = Gaussian**

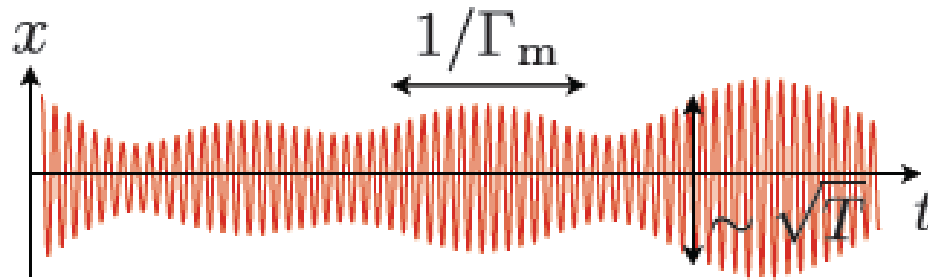


**Power spectrum = White**

$$\begin{aligned} S_F(f) &= 4 \int_0^{\infty} \underbrace{\langle F_B(t) F_B(t + \tau) \rangle}_{2D\delta(\tau)} \cos(2\pi f\tau) d\tau \\ &= 4D \end{aligned}$$

**Wiener-Khinchin Theorem**

# Damped Harmonic Oscillator



Gaussian white noise

Langevin equation

$$\ddot{x} + \Gamma_m \dot{x} + \Omega_m^2 x = \frac{F_B(t)}{m}$$

Solution

$x(t)$  : Stochastic process (Ornstein-Uhlenbeck process)

# From force noise to displacement noise

Langevin equation

Gaussian white noise

$$\ddot{x} + \Gamma_m \dot{x} + \Omega_m^2 x = \frac{F_B(t)}{m}$$

Formally Fourier-transforming

$$\tilde{x}(f) = \frac{1/m}{\Omega_m^2 - (2\pi f)^2 + 2\pi i f \Gamma_m} \tilde{F}_B(f)$$

Squaring

Power spectrum of displacement

$$\begin{aligned} S_x(f) &= \left| \frac{1/m}{\Omega_m^2 - (2\pi f)^2 + 2\pi i \Gamma_m f} \right|^2 S_F(f) \\ &= \frac{4D/m^2}{(\Omega_m^2 - (2\pi f)^2)^2 + (2\pi f)^2 \Gamma_m^2} \end{aligned}$$

# $x(t)$ : Ornstein-Uhlenbeck process

Auto-correlation of displacement

Power spectrum of displacement

$$\begin{aligned}\langle x(t)x(t+\tau) \rangle &= \int_0^\infty S_x(f) \cos(2\pi f\tau) df \quad (\text{Wiener-Khinchin Theorem}) \\ &= \int_0^\infty \frac{4D/m^2}{(\Omega_m^2 - (2\pi f)^2)^2 + (2\pi f)^2 \Gamma_m^2} \cos(2\pi f\tau) df \\ &= \frac{D}{m\Gamma_m} \frac{1}{m\Omega_m^2} e^{-\frac{\Gamma_m}{2}\tau} \left( \cos(\Omega_1\tau) + \frac{\Gamma_m}{2\Omega_1} \sin(\Omega_1\tau) \right)\end{aligned}$$

$$\left( \text{where } \Omega_1 = \sqrt{\Omega_m^2 - \frac{\Gamma_m^2}{4}} \right)$$



## Auto-correlation of displacement

$$\langle x(t)x(t + \tau) \rangle = \frac{D}{m\Gamma_m} \frac{1}{m\Omega_m^2} e^{-\frac{\Gamma_m}{2}\tau} \left( \cos(\Omega_1\tau) + \frac{\Gamma_m}{2\Omega_1} \sin(\Omega_1\tau) \right)$$

$$\tau \rightarrow 0$$

$$\begin{aligned} \langle x(t)^2 \rangle &= \int_0^\infty S_x(f) df \\ &= \frac{D}{m\Gamma_m} \frac{1}{m\Omega_m^2} = \frac{D}{m\Gamma_m} \frac{1}{k} \end{aligned}$$

Spring constant

## Equipartition theorem

$$\frac{1}{2}k \langle x(t)^2 \rangle = \frac{1}{2}k_B T$$

$$D = k_B T (m\Gamma_m)$$

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# Dissipation-fluctuation theorem

$$D = k_B T (m \Gamma_m)$$

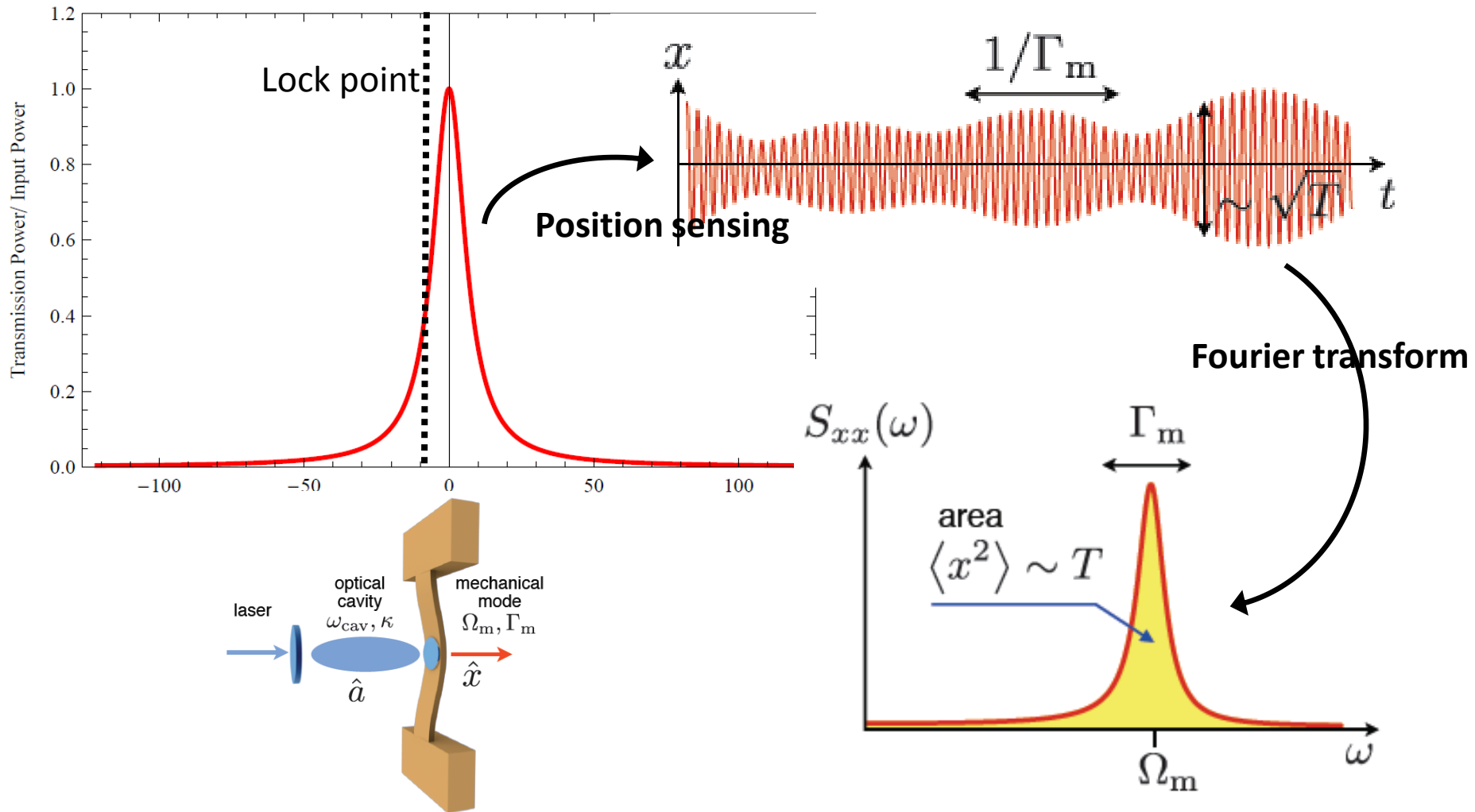
- Albert Einstein



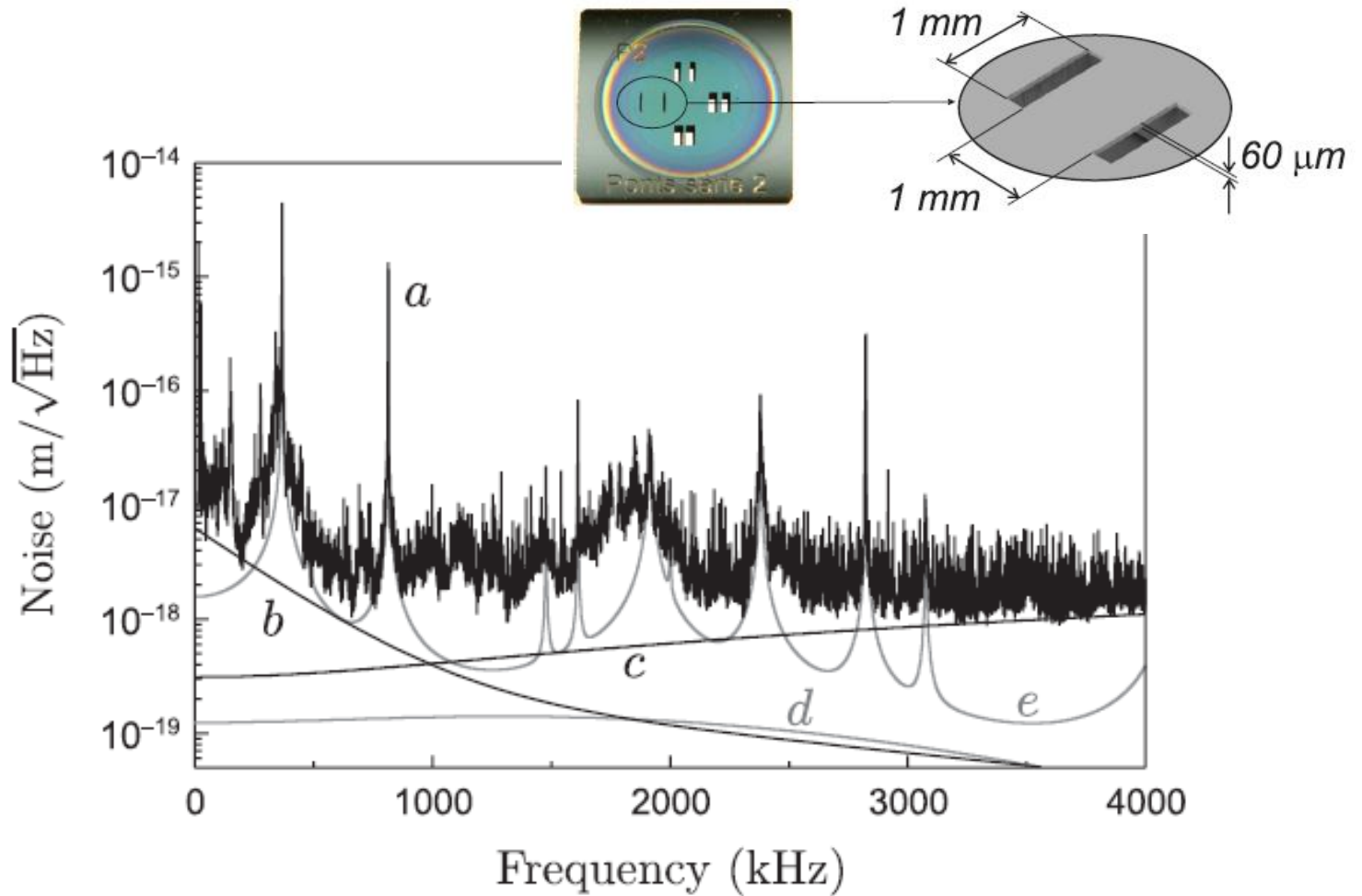
$$\ddot{x} + \Gamma_m \dot{x} + \Omega_m^2 x = \frac{F_B(t)}{m}$$

$$\begin{aligned} \langle F_B(t) \rangle &= 0 \\ \langle F_B(t) F_B(t + \tau) \rangle &= 2D \delta(\tau) \end{aligned}$$

# Cavity opto-mechanics



# Real power spectrum of displacement @ 300K



# Thermal motion estimates

Equilibrium thermally-driven displacement:  $\sqrt{\langle x(t)^2 \rangle} = \sqrt{\frac{k_B T}{m \Omega_m^2}}$  [m]



- dimension:  $1[\text{mm}] \times 1[\text{mm}] \times 50[\text{nm}]$
- motional mass:  $m = 34$  [ng]
- resonance frequency:  $\Omega_m/2\pi = 1.0$  [MHz]

J. D. Thompson et al., Nature, **452**, 72 (2008)

Temperature	displacement $\sqrt{\langle x(t)^2 \rangle}$
300 K	$1.8 \times 10^{-12}$ m
77 K	$8.9 \times 10^{-13}$ m
4 K	$2.0 \times 10^{-13}$ m
300 mK	$5.6 \times 10^{-14}$ m
3 mK	$5.6 \times 10^{-15}$ m
<b>0 K</b>	<b><math>5.0 \times 10^{-16}</math> m</b> (zero point fluctuation)

**Cf) Proton radius :  $8.8 \times 10^{-16}$  m**

# Shot-noise-limited sensitivity estimates

Displacement sensitivity  
(with Pound-Drever-Hall method)

$$S_L = \frac{\lambda}{8\mathcal{F}\sqrt{n}} = \frac{\sqrt{hc\lambda}}{8\mathcal{F}\sqrt{P_c}} \quad [\text{m Hz}^{-1/2}]$$

$$S_L = \left( 8.1 \times 10^{-21} \frac{\text{m}}{\sqrt{\text{Hz}}} \right) \frac{10^4}{\mathcal{F}} \sqrt{\frac{\lambda}{1064 \text{ nm}} \frac{500 \text{ mW}}{P_c}}$$

Eric D. Black, Am. J. Phys., **69**, 79 (2001)

Power	1s-measurement sensitivity
500 mW	$8.1 \times 10^{-21} \text{ m}$
5 mW	$8.1 \times 10^{-20} \text{ m}$
0.05mW	$8.1 \times 10^{-19} \text{ m}$

Measuring



's zero point fluctuation ( $5.0 \times 10^{-16} \text{ m}$ ) is feasible!

# Is it?

State-of-the-art  
dilution refrigerator  
(down to **~a few mK**)



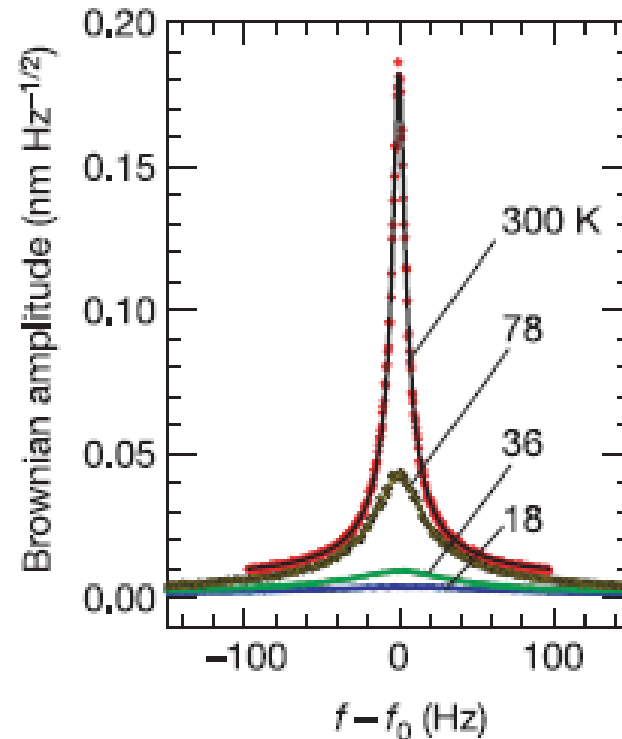
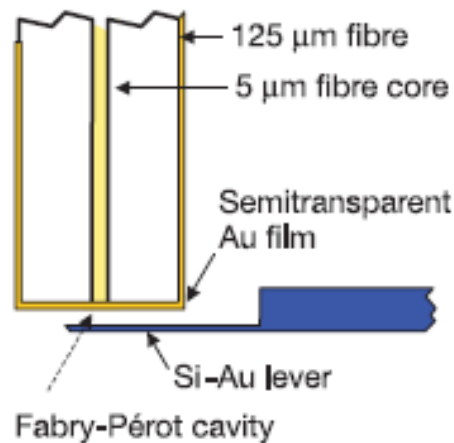
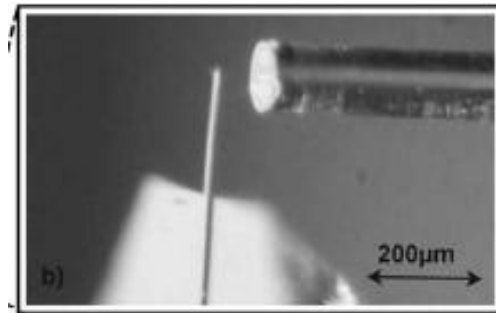
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0 K	$5.0 \times 10^{-16}$ m (zero point fluctuation)



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# First dynamical back-action cooling experiment with photo-thermal effect (2004)



# First dynamical back-action cooling experiments with radiation pressure (2006)

## A cooling light breeze

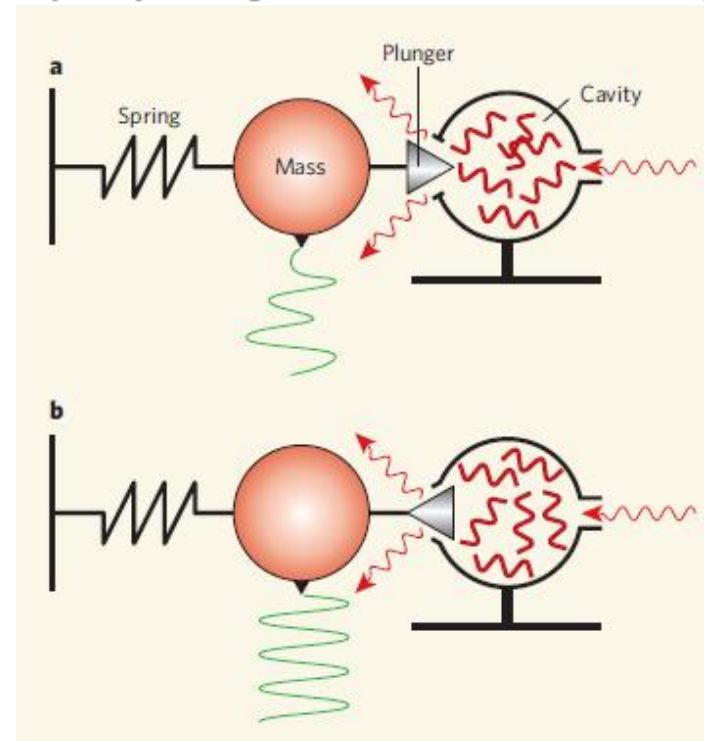
Khaled Karrai

Mirrors confine light, and light exerts pressure on mirrors. The combination of these effects can be exploited to cool tiny, flexible mirrors to low temperatures purely through the influence of incident light.

### NEWS & VIEWS

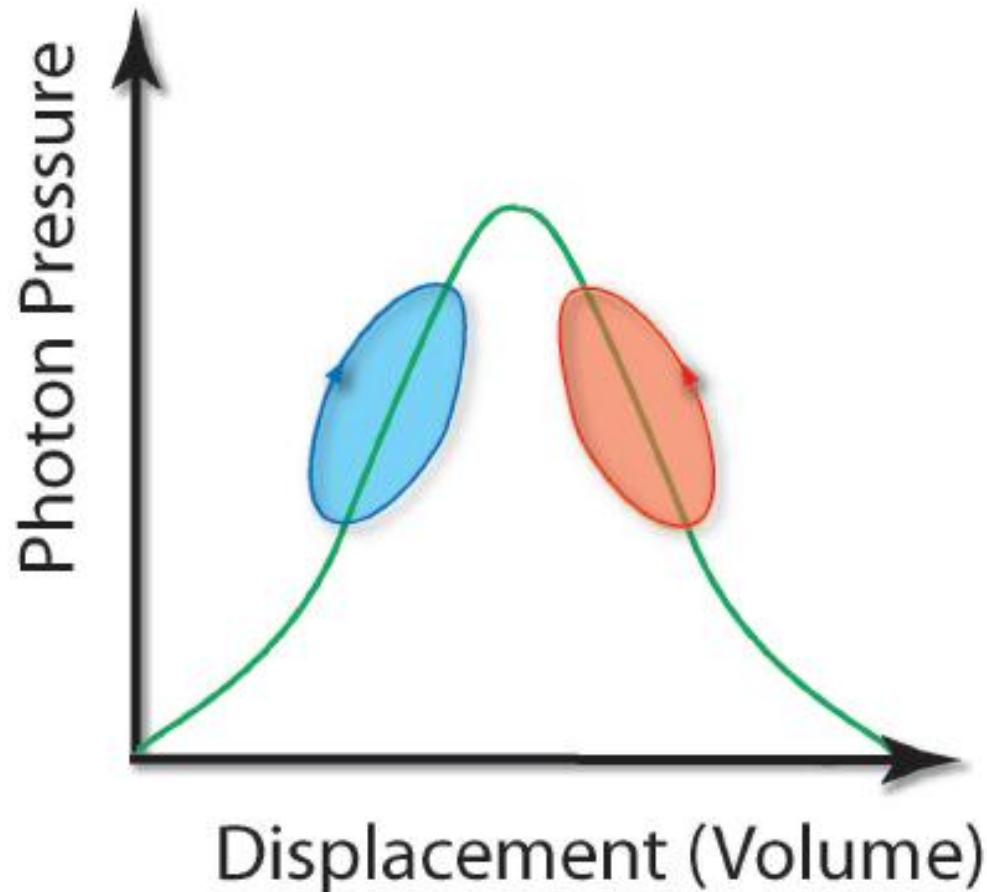
For

- S. Gigan et al., Nature, **444**, 67 (2006)
- O. Arcizet et al., Nature, **444**, 71 (2006)



K. Karrai, Nature, **444**, 41 (2006)

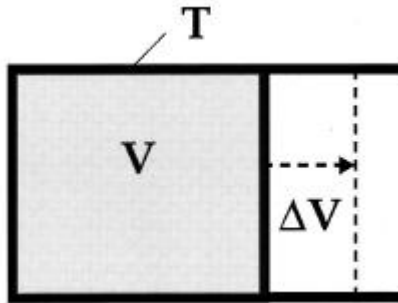
Photon gas performs work on mechanics (heating)  
Mechanics performs work on photon gas (cooling)



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# Cavity cooling = Carnot cycle of photon gas?



R. E. Kelly, Am. J. Phys., **49**, 714 (1981)

M. Howard Lee, Am. J. Phys., **69**, 874 (2001)

H. S. Leff, Am. J. Phys., **70**, 792 (2002)

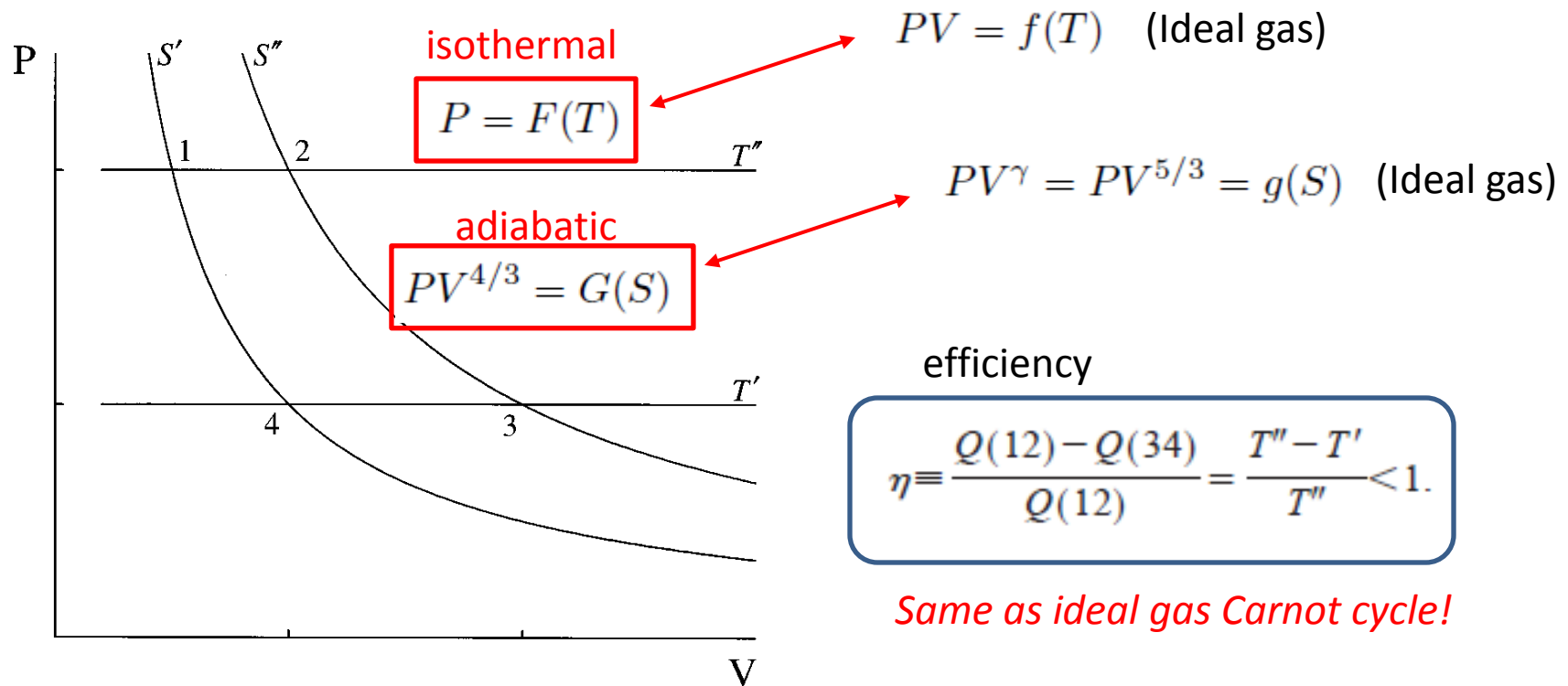
Classical ideal gas	Photon gas
$N$ is specified and fixed	$N = rVT^3$
$U = \frac{3}{2}NkT$	$U = bVT^4 = 2.7NkT$
$P = NkT/V$	$P = \frac{1}{3}bT^4 = 0.9NkT/V$
$S = Nk[\ln(T^{3/2}V/N) + \ln(2\pi mk/h)^{3/2} + \frac{5}{2}]$	$S = \frac{4}{3}bVT^3 = 3.6Nk$

**Bose gas with  $\mu=0$**

$$b = \frac{4\sigma}{c} = \frac{\pi^2 k_B^4}{15 \hbar^3 c^3}$$

← thermodynamics (pointing to  $k_B^4$ )  
 ← relativity (pointing to  $c^3$ )  
 ← quantum mechanics (pointing to  $\hbar^3$ )

# Cavity cooling = Carnot cycle of photon gas?



R. E. Kelly, Am. J. Phys., **49**, 714 (1981)

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H. S. Leff, Am. J. Phys., **70**, 792 (2002)

# No!

## 1) Too small

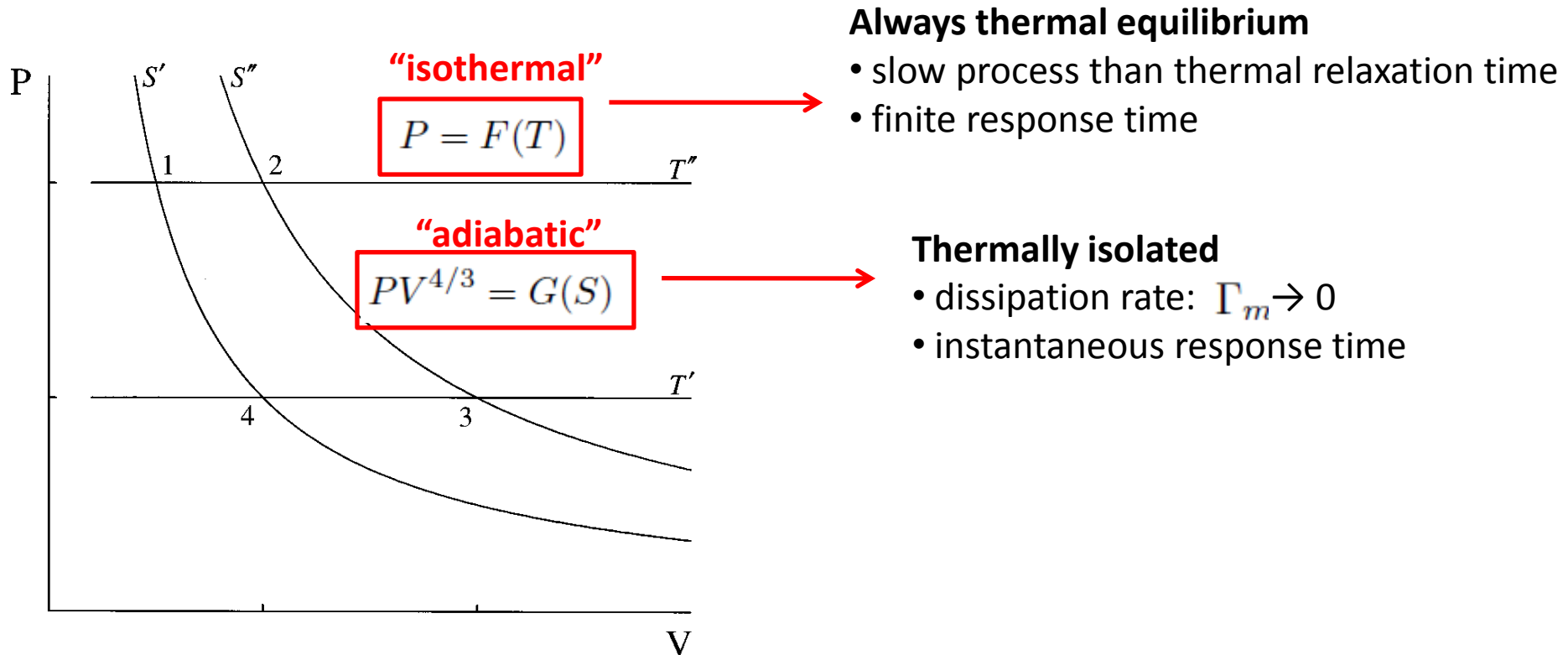
Table III. Numerical comparison of classical ideal and photon gas functions. Here the ideal gas is 1.00 mol of monatomic argon at  $P=1.01\times 10^5$  Pa,  $V=2.47\times 10^{-2}$  m<sup>3</sup>, and  $T=300$  K.

Function	Classical ideal gas	Photon gas
$N$	$6.02\times 10^{23}$ atoms	$1.35\times 10^{13}$ photons
$U$	$3.74\times 10^3$ J	$1.51\times 10^{-7}$ J
$P$	$1.01\times 10^5$ Pa	$2.04\times 10^{-6}$ Pa
$S$	155 J/K	$6.71\times 10^{-10}$ J/K



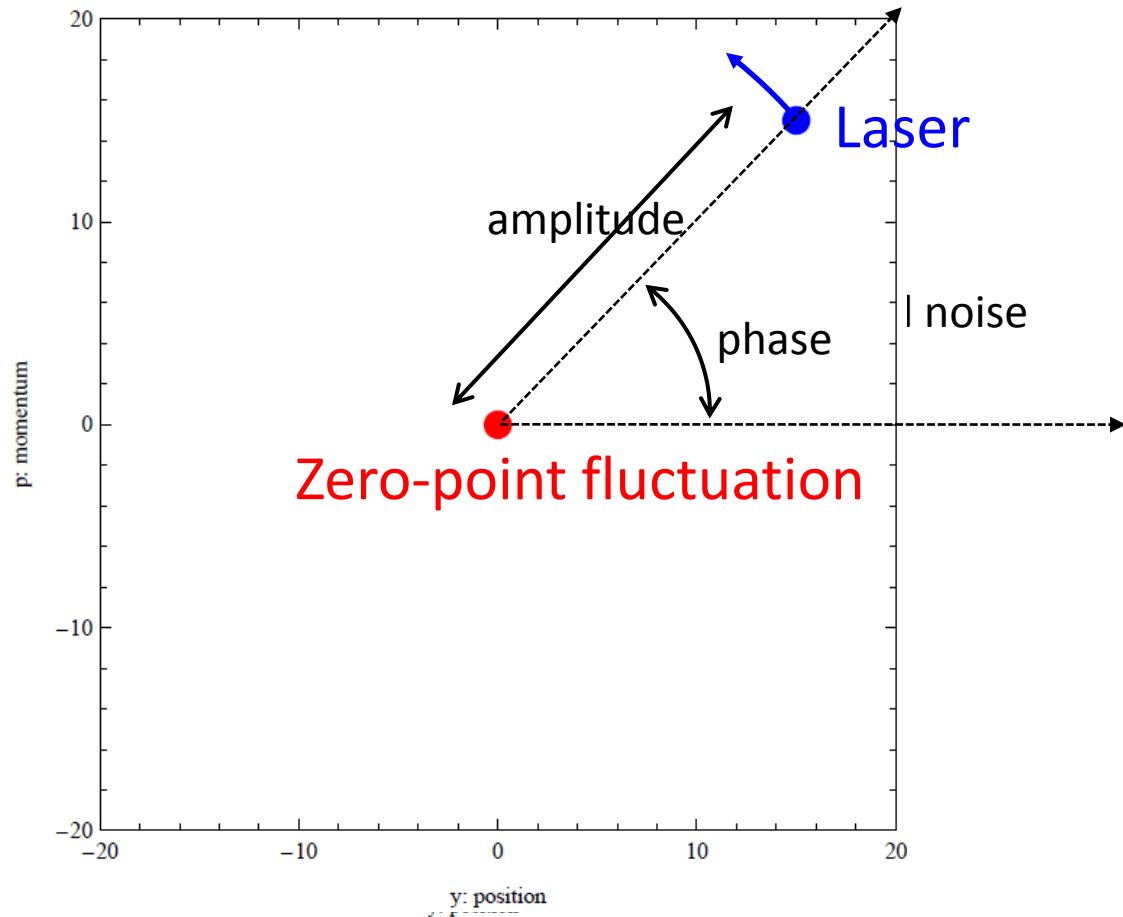
# No!

## 2) Not a quasi-static process



# No!

## 3) Not a black-body radiation but laser!



Cavity cooling = Carnot cycle of photon gas?

No!

1) Too small

- Compared with classical ideal gas

2) Not a quasi-static process

**-Beyond the equilibrium thermodynamics**

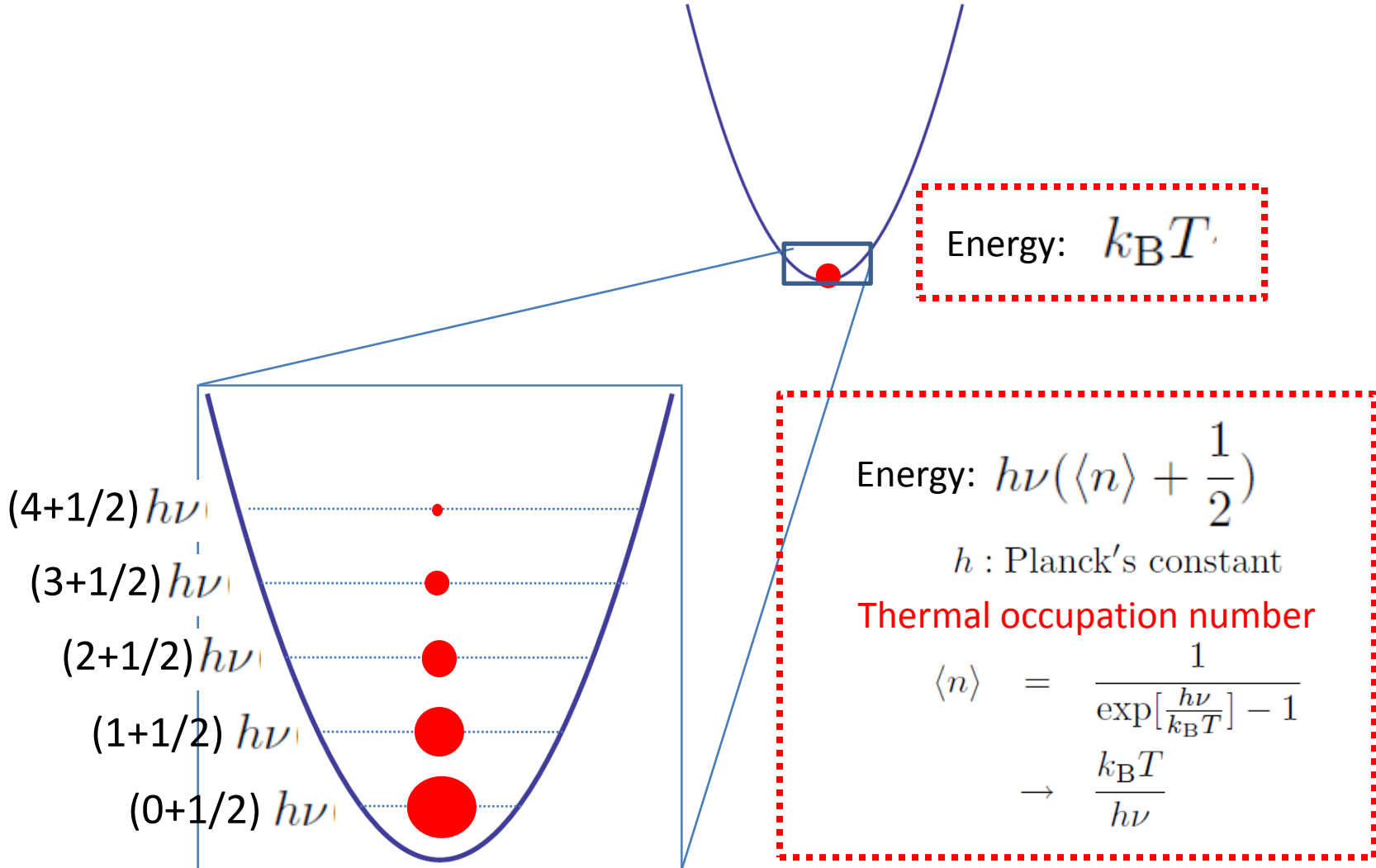
3) Not a black-body radiation but laser!

**- Cavity is driven by coherent radiation**

# Contents

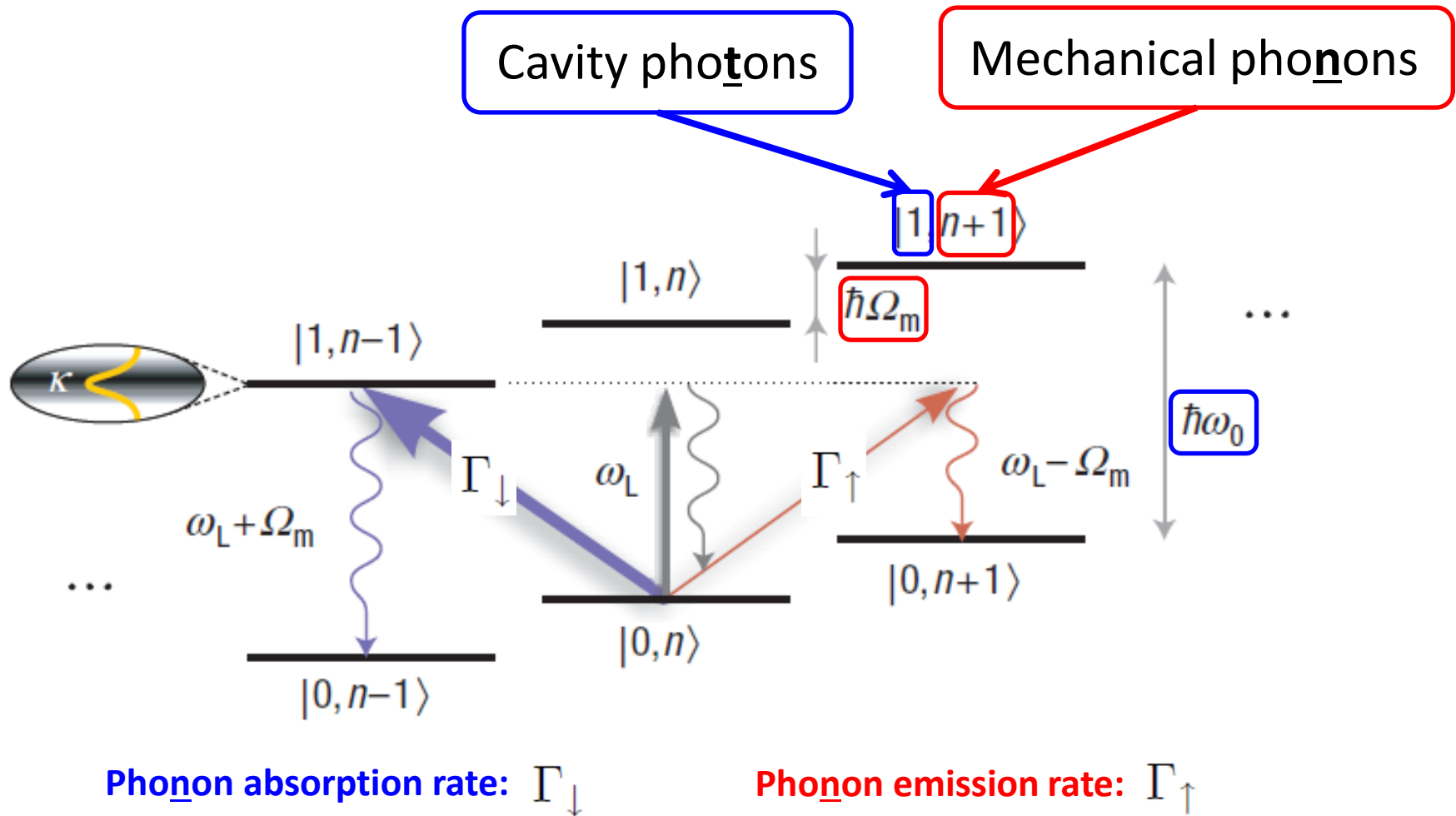
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  - Fabry-Perot cavity
  - Ornstein-Uhlenbeck process
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# Quantization of a harmonic oscillator



***Reducing temperature = reducing phonons***

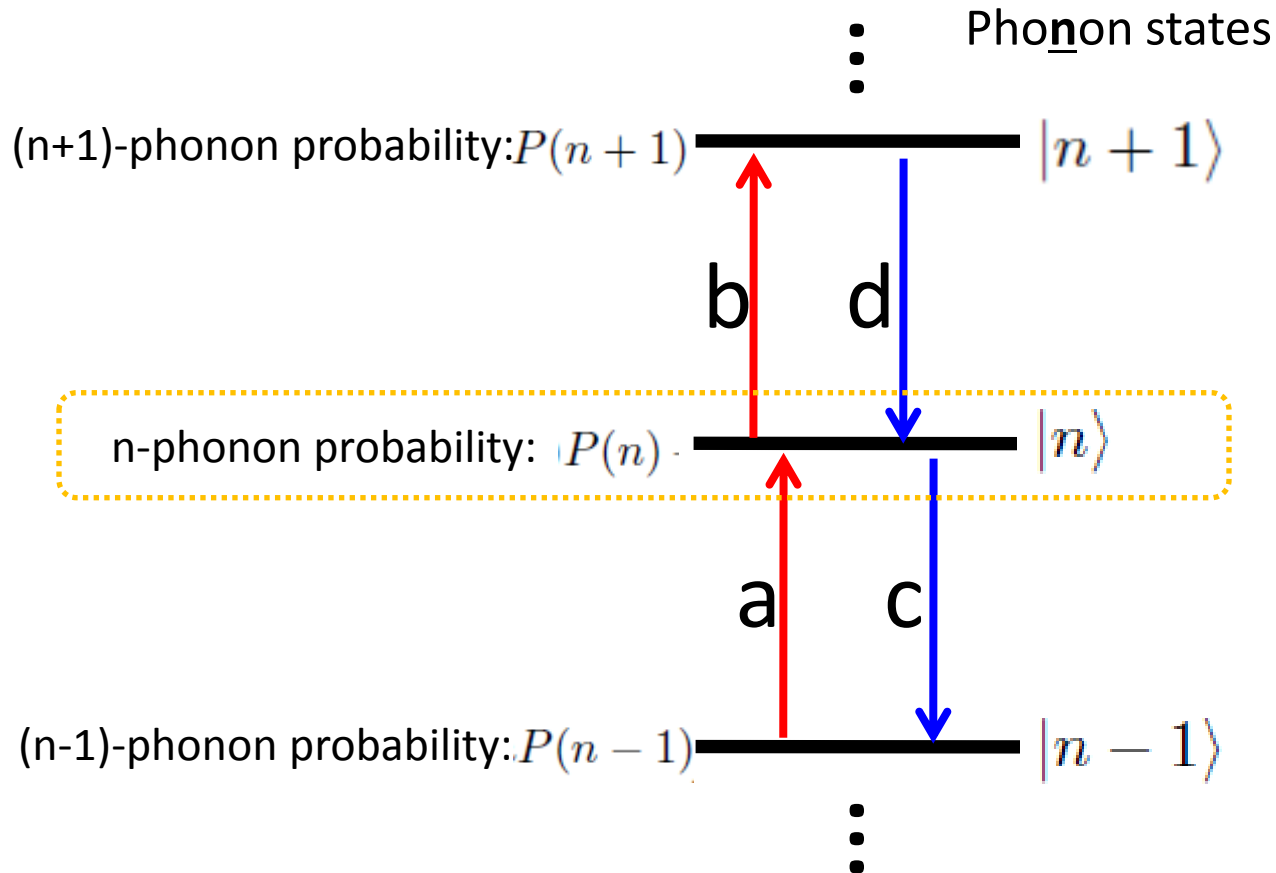
# Sideband cooling



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# Phonon-occupation probability



**Phonon emission rate:**  $\Gamma_{\uparrow}$       **Phonon absorption rate:**  $\Gamma_{\downarrow}$

$$\frac{dP(n)}{dt} = \underbrace{\Gamma_{\uparrow} n P(n-1)}_a - \underbrace{\Gamma_{\uparrow} (n+1) P(n)}_b - \underbrace{\Gamma_{\downarrow} n P(n)}_c + \underbrace{\Gamma_{\downarrow} (n+1) P(n+1)}_d$$



# Detailed balance

$$\frac{dP(n)}{dt} = \underbrace{\Gamma_{\uparrow} n P(n-1)}_a - \underbrace{\Gamma_{\uparrow} (n+1) P(n)}_b - \underbrace{\Gamma_{\downarrow} n P(n)}_c + \underbrace{\Gamma_{\downarrow} (n+1) P(n+1)}_d$$

**Rate equation**

$$\begin{aligned} \frac{d\langle n \rangle}{dt} &= \sum_n n \frac{dP(n)}{dt} \\ &= \Gamma_{\uparrow} \sum_n (n+1) P(n) - \Gamma_{\downarrow} \sum_n n P(n) \\ &= \Gamma_{\uparrow} \langle n+1 \rangle - \Gamma_{\downarrow} \langle n \rangle \end{aligned}$$

**Steady state :**

$$\Gamma_{\uparrow} \langle n+1 \rangle = \Gamma_{\downarrow} \langle n \rangle$$

***Detailed balance***

# Effective temperature in non-equilibrium environment

Detailed balance :

$$\Gamma_{\uparrow} \langle n + 1 \rangle = \Gamma_{\downarrow} \langle n \rangle$$

Final phonon number :

$$\begin{aligned} \langle n_{\text{opt}} \rangle &= \frac{\Gamma_{\uparrow}}{\Gamma_{\downarrow} - \Gamma_{\uparrow}} \\ &= \frac{1}{\exp\left(\frac{\hbar\Omega_m}{k_B T_{\text{eff}}}\right) - 1} \end{aligned}$$

*Effective temperature of driven cavity !*

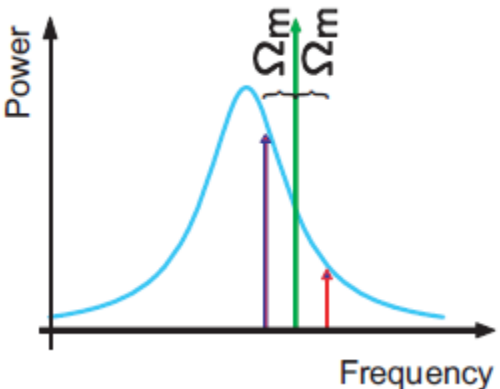
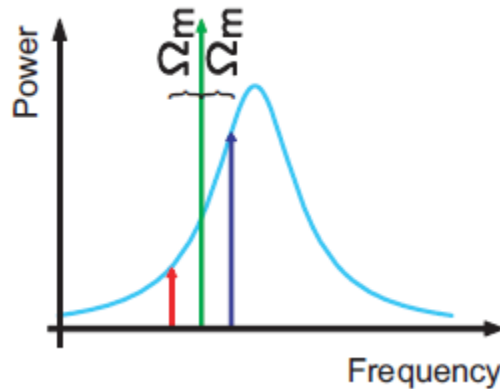
# Contents

- Optics & mechanics
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# Cavity cooling/heating

Final phonon number :

$$\begin{aligned} \langle n_{\text{opt}} \rangle &= \frac{\Gamma_{\uparrow}}{\Gamma_{\downarrow} - \Gamma_{\uparrow}} \\ &= \frac{1}{\exp\left(\frac{\hbar\Omega_m}{k_B T_{\text{eff}}}\right) - 1} \end{aligned}$$

	Heating	Cooling
	$\Gamma_{\downarrow} < \Gamma_{\uparrow}$	$\Gamma_{\downarrow} > \Gamma_{\uparrow}$
Detuning		
Effective temp.	$T_{\text{eff}} < 0$	$T_{\text{eff}} > 0$

# Cold damping

Cold damping:

**Rate equation**

$$\begin{aligned}\frac{d\langle n \rangle}{dt} &= \Gamma_{\uparrow} \langle n + 1 \rangle - \Gamma_{\downarrow} \langle n \rangle \\ &= -(\Gamma_{\downarrow} - \Gamma_{\uparrow}) \langle n \rangle + \Gamma_{\uparrow} \\ &= -\Gamma_{\text{opt}} \langle n \rangle + \Gamma_{\text{opt}} \langle n_{\text{opt}} \rangle\end{aligned}$$

$$\langle n_{\text{opt}} \rangle = \frac{\Gamma_{\uparrow}}{\Gamma_{\text{opt}}} = \frac{1}{\exp\left(\frac{\hbar\Omega_m}{k_B T_{\text{eff}}}\right) - 1}$$

**Detailed balance**

# Cold damping

Intrinsic damping:

**Rate equation**

$$\frac{d\langle n \rangle}{dt} = -\Gamma_m \langle n \rangle + \Gamma_m \langle n_{\text{th}} \rangle$$

$$\langle n_{\text{th}} \rangle = \frac{1}{\exp\left(\frac{\hbar\Omega_m}{k_B T}\right) - 1}$$

**Thermal equilibrium**

Cold damping:

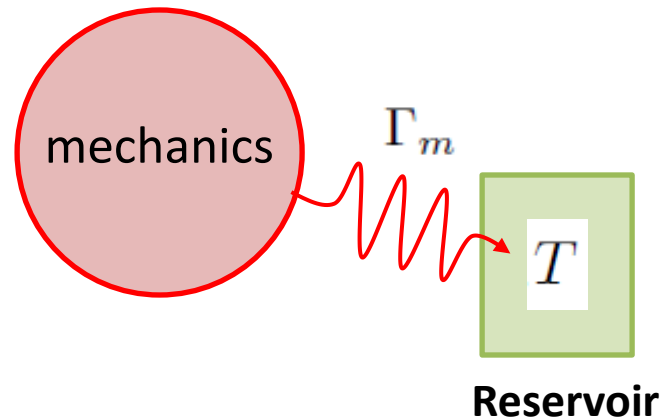
**Rate equation**

$$\begin{aligned} \frac{d\langle n \rangle}{dt} &= \Gamma_{\uparrow} \langle n + 1 \rangle - \Gamma_{\downarrow} \langle n \rangle \\ &= -(\Gamma_{\downarrow} - \Gamma_{\uparrow}) \langle n \rangle + \Gamma_{\uparrow} \\ &= -\Gamma_{\text{opt}} \langle n \rangle + \Gamma_{\text{opt}} \langle n_{\text{opt}} \rangle \end{aligned}$$

$$\langle n_{\text{opt}} \rangle = \frac{\Gamma_{\uparrow}}{\Gamma_{\text{opt}}} = \frac{1}{\exp\left(\frac{\hbar\Omega_m}{k_B T_{\text{eff}}}\right) - 1}$$

**Detailed balance**

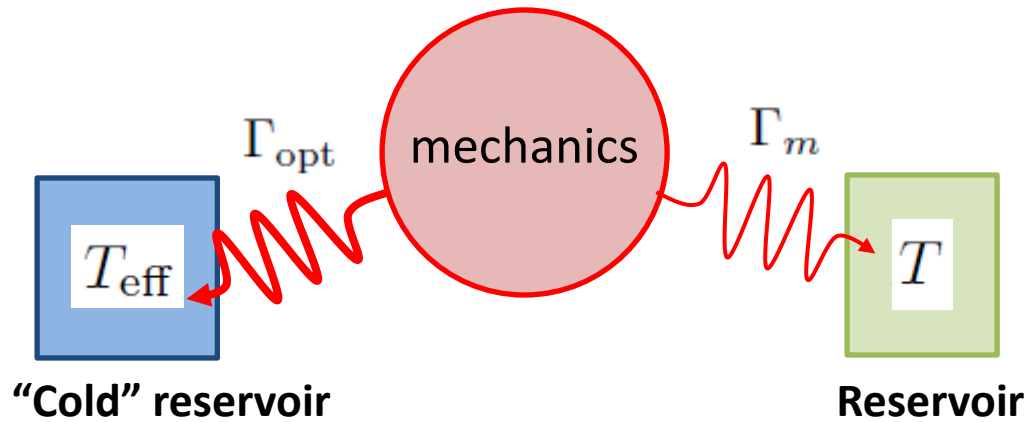
# Intrinsic damping



**Langevin equation**

$$\ddot{x} + \Gamma_m \dot{x} + \Omega_m^2 x = \frac{F_B(t)}{m}$$

# (Intrinsic + cold) damping

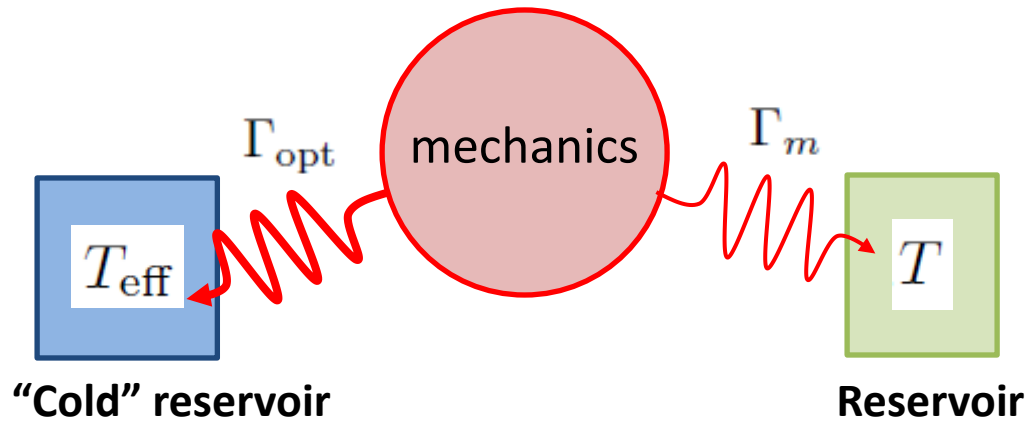


**Langevin equation**

$$\ddot{x} + \Gamma_m \dot{x} + \Omega_m^2 x = \frac{F_B(t)}{m}$$



# (Intrinsic + cold) damping



Langevin equation

$$\ddot{x} + \Gamma_m \dot{x} + \Omega_m^2 x = \frac{F_B(t)}{m}$$

$$\ddot{x} + (\Gamma_m + \Gamma_{\text{opt}}) \dot{x} + \Omega_m^2 (1 + \delta_{\text{opt}}) x = \frac{F_B(t)}{m} + \frac{F_{\text{opt}}(t)}{m}$$

Dissipation-fluctuation theorem:

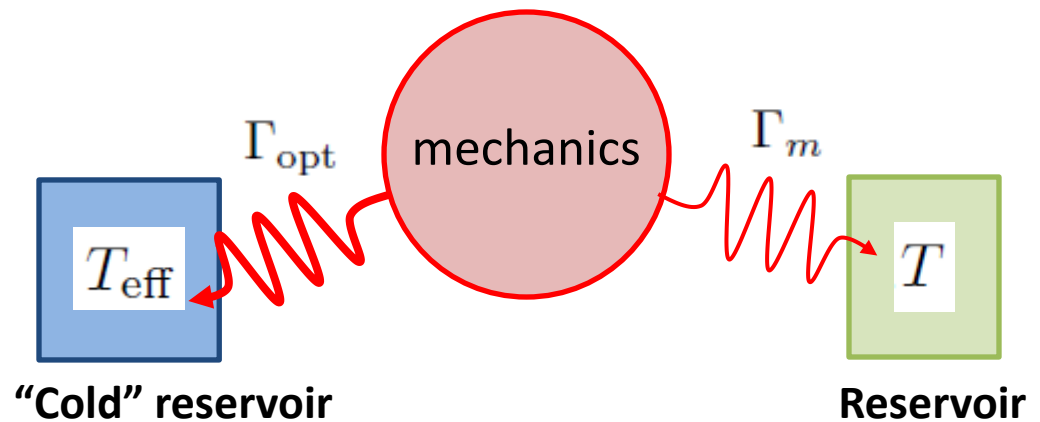
$$F_B \sim \sqrt{2m\Gamma_m k_B T}$$

$$F_{\text{opt}} \sim \sqrt{2m\Gamma_{\text{opt}} k_B T_{\text{eff}}}$$

# Final phonon number

Rate equation

$$\frac{d\langle n \rangle}{dt} = -(\Gamma_m + \Gamma_{\text{opt}}) \langle n \rangle + \Gamma_m \langle n_{\text{th}} \rangle + \Gamma_{\text{opt}} \langle n_{\text{opt}} \rangle$$



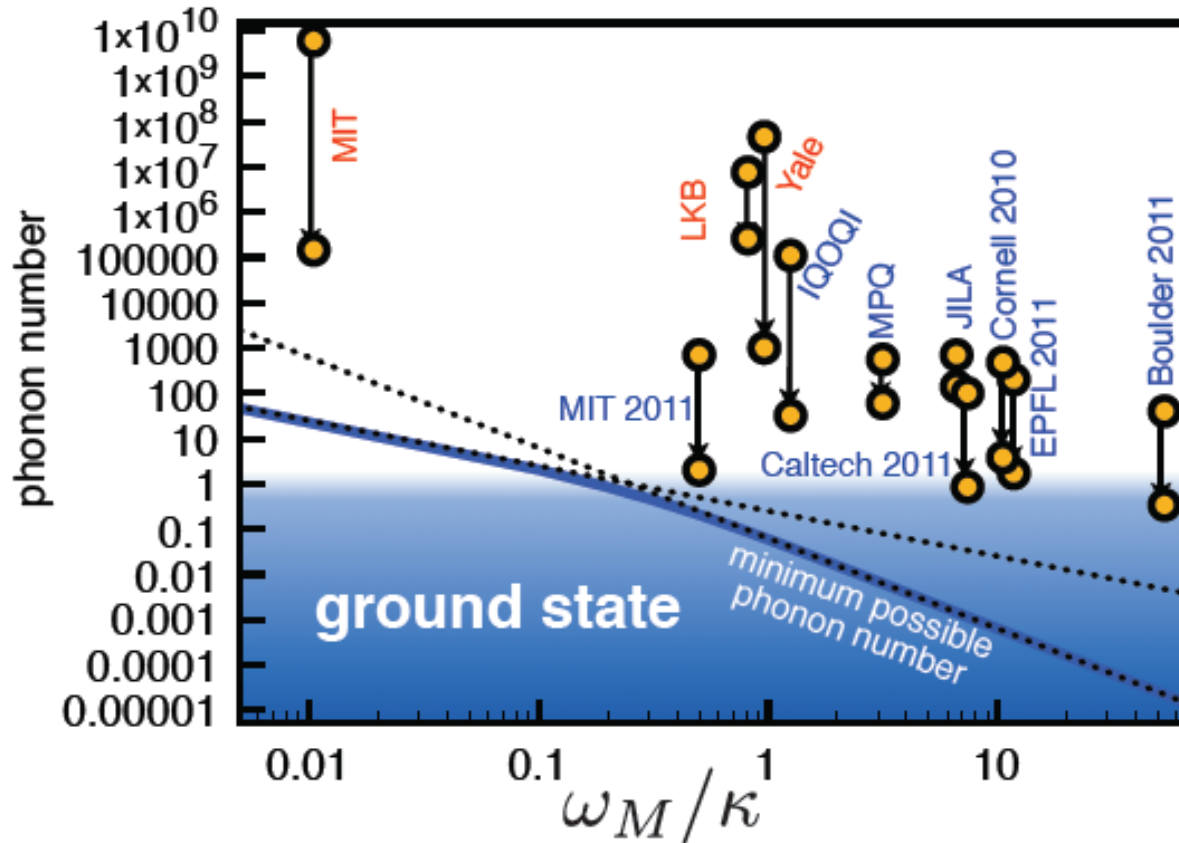
Final phonon number :

$$\langle n_{\text{fin}} \rangle = \frac{\Gamma_m \langle n_{\text{th}} \rangle + \Gamma_{\text{opt}} \langle n_{\text{opt}} \rangle}{\Gamma_m + \Gamma_{\text{opt}}} \sim \frac{\Gamma_m \langle n_{\text{th}} \rangle}{\Gamma_{\text{opt}}}$$

I. Wilson-Rae *et al.*, Phys. Rev. Lett., **99**, 093901 (2007).

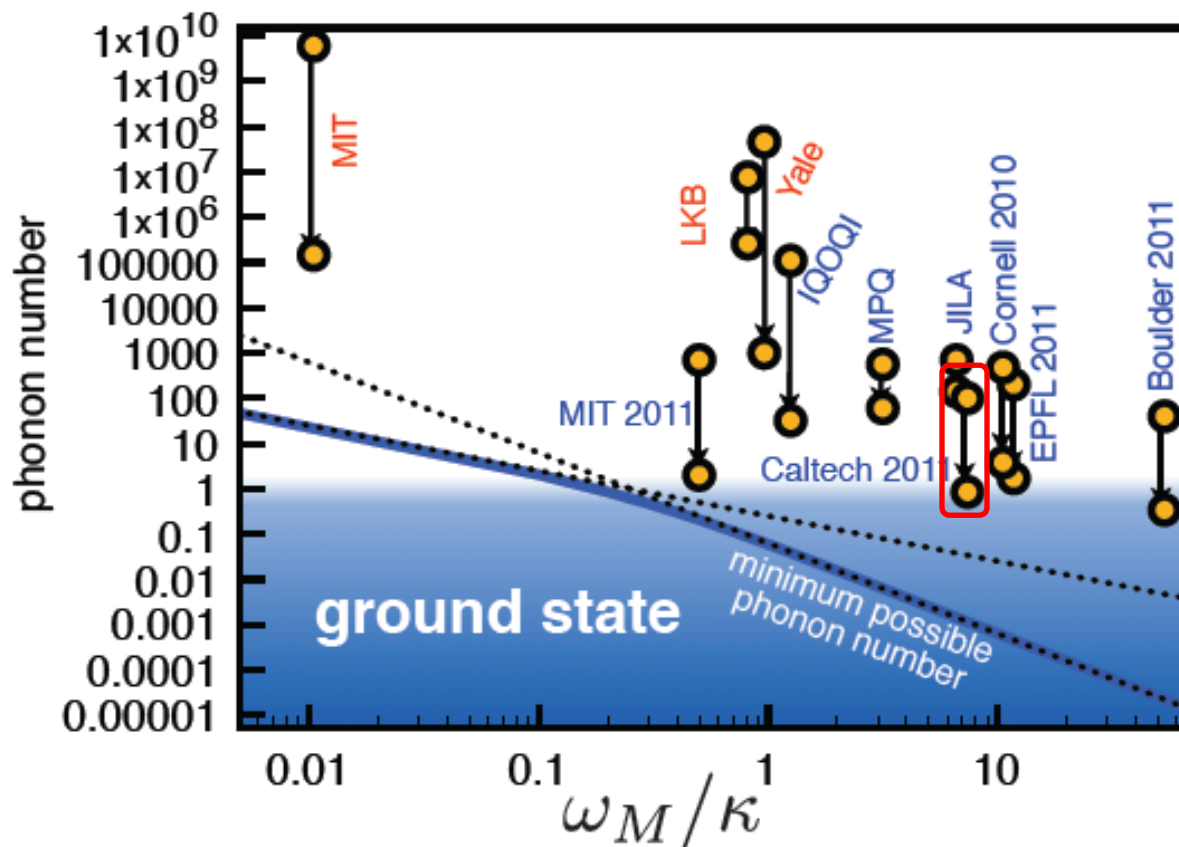
F. Marquardt *et al.*, Phys. Rev. Lett., **99**, 093902 (2007).

# Final phonon number



is plotted as well. MIT: (Corbitt *et al.*, 2007a), LKB: (Arizet *et al.*, 2006a), Yale: (Thompson *et al.*, 2008), Vienna: (Gröblacher *et al.*, 2009b), MPQ: (Schliesser *et al.*, 2009), JILA: (Teufel *et al.*, 2008), Cornell 2010: (Rocheleau *et al.*, 2010), Caltech 2011: (Chan *et al.*, 2011), EPFL 2011: (Riviere *et al.*, 2011), Boulder 2011: (Teufel *et al.*, 2011a), MIT 2011: (Schleier-Smith *et al.*, 2011)

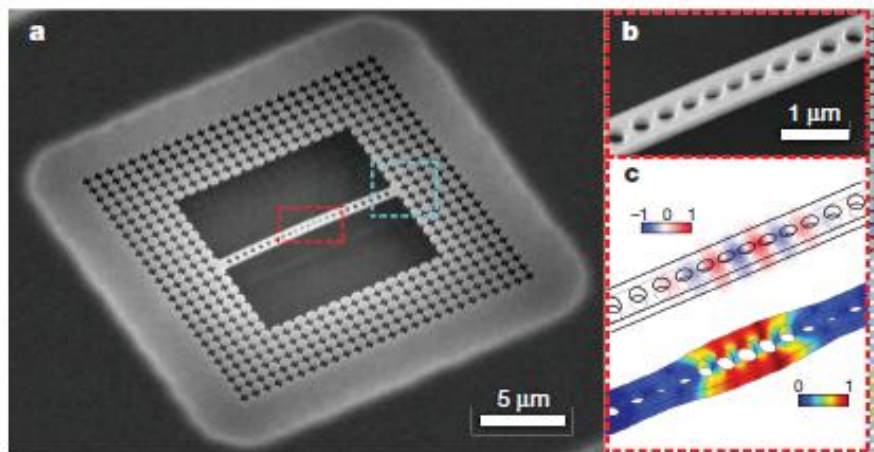
# Final phonon number



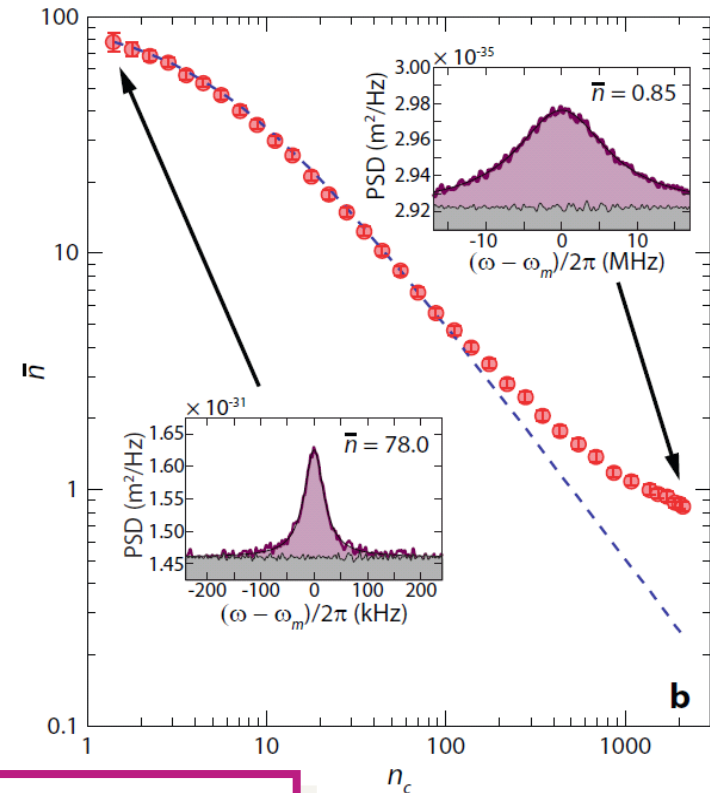
is plotted as well. MIT: (Corbitt *et al.*, 2007a), LKB: (Arizet *et al.*, 2006a), Yale: (Thompson *et al.*, 2008), Vienna: (Gröblacher *et al.*, 2009b), MPQ: (Schliesser *et al.*, 2009), JILA: (Teufel *et al.*, 2008), Cornell 2010: (Rocheleau *et al.*, 2010), Caltech 2011: (Chan *et al.*, 2011), EPFL 2011: (Riviere *et al.*, 2011), Boulder 2011: (Teufel *et al.*, 2011a), MIT 2011: (Schleier-Smith *et al.*, 2011)

## Laser cooling of a nanomechanical oscillator into its quantum ground state

Jasper Chan<sup>1</sup>, T. P. Mayer Alegre<sup>1†</sup>, Amir H. Safavi-Naeini<sup>1</sup>, Jeff T. Hill<sup>1</sup>, Alex Krause<sup>1</sup>, Simon Gröblacher<sup>1,2</sup>, Markus Aspelmeyer<sup>2</sup> & Oskar Painter<sup>1</sup>



- photonic & phononic bandgap structure
- **3.5 GHz mechanical mode** at 20 K ( $\langle n \rangle \sim 100$ )
- $m \sim \text{O}(\text{pg})$ ,  $N \sim \text{O}(10^{10})$  atoms



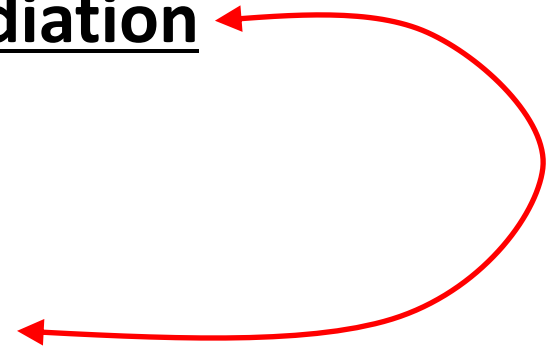
**$\langle n \rangle \sim 0.85$  (200mK)**

# Summary

- Optics & mechanics
  - Fabry-Perot cavity
  - Ornstein-Uhlenbeck process
  - **Dissipation-fluctuation theorem**
- Opto-mechanics
  - Carnot cycle for black-body radiation
  - Sideband cooling
  - **Detailed balance**
  - **Cold damping (cavity cooling)**

# Summary

- Optics & mechanics
  - Fabry-Perot cavity
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  - Dissipation-fluctuation theorem
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  - Detailed balance
  - Cold damping (cavity cooling)



# Theme:

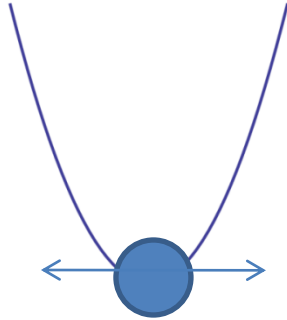
What makes **laser (cavity) cooling** conceptually different from conventional **cryogenics**?



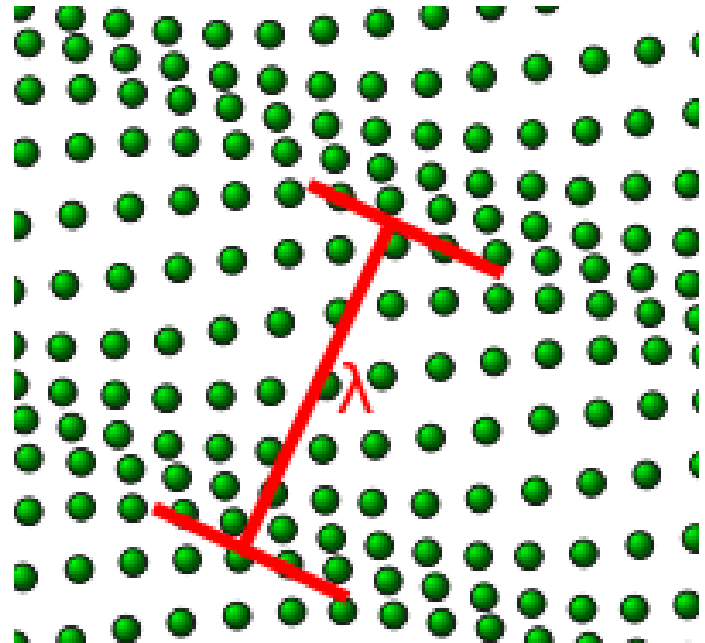
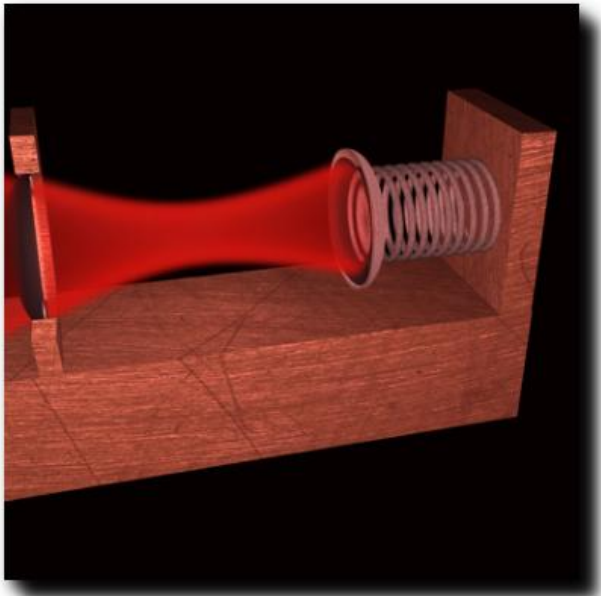
# Open questions (for me)

- Can we laser-cool solid?
  - Can the laser cooling be a real cryogenics technique?

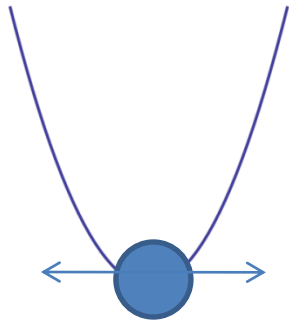
# Laser cooling addressing only a 1D harmonic oscillator...



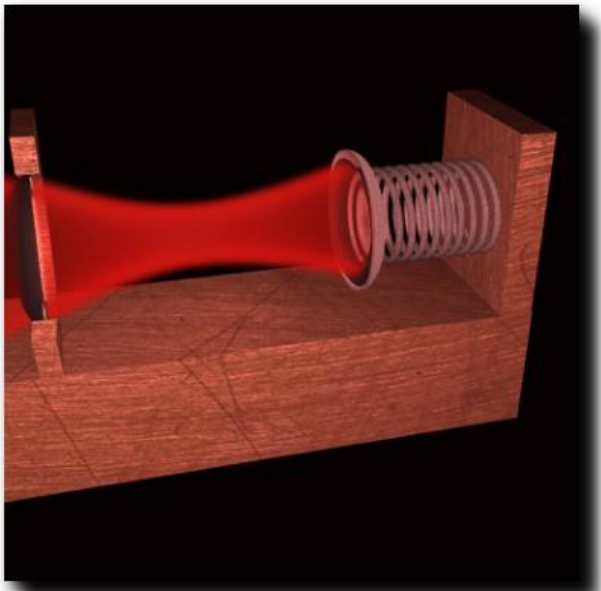
Heat capacity:  $C = \frac{\partial E}{\partial T} = k_B$



# Laser cooling addressing only a 1D harmonic oscillator...



Heat capacity:  $C = \frac{\partial E}{\partial T} = k_B$



Heat capacity:  $C = \frac{\partial E}{\partial T} = 3Nk_B$

Number of atoms  $\gg 1$

Not real cryogenics...

# Open questions (for me)

- Can we laser-cool solid?
  - Can the laser cooling be a real cryogenics technique?
- Can we induce phase transition of solid state system by laser cooling?
  - Like BEC in atomic physics