

Case Study

Evaluation of Japanese universities' research activity based on the number of awards of Grants-in-Aid for Scientific Research from 1998 to 2002 and in 2003

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ABSTRACT

The system of Grants-in-Aid for Scientific Research from the Ministry of Education, Culture, Sports, Science and Technology (MEXT) is one of the oldest funding systems for researchers belonging to universities and institutes in Japan. The fund is allotted to researchers by peer review of their applications for research projects. The total number of subjects of Grants-in-Aid for Scientific Research allotted to the individual research fields from fiscal years (FY) 1998 to 2002 were tallied for each university and institute. The purpose of this report is to rank funded subjects as an index of the research activities of each university and institute. Furthermore, using the database for FY 2003, for which the ministry undertook a large revision to the classification of academic fields, we show the relationship between the situations before and after the classification changes. We indicate whether the last revision has caused significant shifts in the allocation of funds. This paper contains information abstracted from six series of NII Technical Reports from FY 1998 to 2002 and five series for FY 2003.

KEYWORDS

Japanese university, ranking, evaluation, Grants-in-Aid for Scientific Research

1 Outline of Grants-in-Aid for Scientific Research

1.1 History and budget growth

The system of Grants-in-Aid for Scientific Research from the Ministry of Education, Culture, Sports, Science and Technology (MEXT) is one of the oldest funding systems for researchers belonging to universities and institutes in Japan. The fund is allotted to individual researchers by peer review of their applications for research projects.

The origin of the Grants-in-Aid for Scientific Research subsidy goes back to 1918. Successive budget

increases led to it funds exceeding 1 billion yen in fiscal year (FY) 1955, even after the negative influence of the Second World War was accounted for. In FY 1965, the three related budgets were combined into the present day "Grants-in-Aid for Scientific Research". The two-step examination began in FY 1968. According to both the report of the Science Council in 1992 and the science and technological Basic Law of 1995, the budget exceeded 100 billion yen in FY 1996. Table 1 lists budgets and their growth rate from FY 1985 to 2005. [1]

1.2 System improvement

The system of Grants-in-Aid for Scientific Research (Grants-in-Aid) must continuously improve if it is to meet the needs of society and strengthen the research base of our country. The Council for Science and Tech-

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Table 1 Budget and growth rate from 1985 to 2005 FY [1].

Fiscal Year	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005
Budget (billions of Yen)	42.0	43.5	45.1	48.9	52.6	55.8	58.9	64.6	73.6	82.4	92.4	101.8	112.2	117.9	131.4	141.9	158.0	170.3	176.5	183.0	188.0
Rate of Increase (%)	3.7	3.6	3.6	8.4	7.6	6.1	5.6	9.7	13.9	12.0	12.1	10.2	10.2	5.1	11.5	8.0	11.3	7.8	3.6	3.7	2.7

Table 2 Changes to the system of Grants-in-Aid after FY 1994.

Year	System changes
FY1994:	(1) New Scientific Research ((A) (B) (C))* categories were established, and the former Developmental Scientific Research, Scientific Research (A) and Scientific Research (B)(C) categories were integrated. (2) Exploratory Research was established as a research category.
FY1998:	The system for Scientific Research in Priority Areas (A) and (B) were improved.
FY1999:	The International Scientific Research category was integrated into the Scientific Research category.
FY2000:	The Scientific Research in Priority Areas (C) category was established for effective promotion for area as bioscience.
FY2001:	(1) Scientific Research (S) category was established. (2) Creative Scientific Research category was established as substitutes for the Grant-in-Aid for New Program.
FY2002:	(1) Scientific Research in Priority Areas (A) (B) (C) categories were integrated into the Scientific Research in Priority Areas category. (2) The Grant-in-Aid for Young Scientists (A) and (B) categories were established as substitutes for the Encouragement of Young Scientists (A) category. (3) Specially Promoted Research (COE) category was established.
FY2003:	A major change was made to the field classification for the application.

* A, B, C, etc. as per Table 3(a)

nology of MEXT has attempted to revise the Grants-in-Aid system. Table 2 shows the changes that were made after FY 1994.

In FY 1999, some functions of the Grants-in-Aid program were transferred from former Monbusho (now MEXT) to the Japan Society for the Promotion of Science (JSPS). Tables 3 (a) and (b) list the research categories and their descriptions for FY 2004. The history and changes to the Grants-in-Aid system are detailed in the commentary of the Grants-in-Aid for Scientific Research. [2]

2 Research activity based on Grants-in-Aid

The number of Grants-in-Aid awards can be thought to be equivalent to the number of Research Active Staff (RAS) of a British university, because academic researchers individually apply for Grants-in-Aid without mentioning their organizations. Therefore, we consider a Japanese university in which a large number of researchers are awarded Grants-in-Aid to be an organization that has many active researchers, in the same sense as “RAS”. The number of grant-holding researchers by field can be thought of as showing the degree of research activity in a particular field in that university.

A similar subsidy system to the one described above was established with the Grants-in-Aid in 1968. The field classification under which the researchers apply

for awards has been continuously revised in accordance with the trends of the various research fields. Notably though, the classification for the Grants-in-Aid application has changed a great deal since FY 2003, in response to the reply of the Council for Science and Technology of MEXT. [4] Therefore, it seems to be important to compare data before the change in the system (FY 1998—2002) and data after the change (FY 2003) in order to check the effectiveness of the current Grants-in-Aid system.

2.1 Databases of Grants-in-Aid for Scientific Research

The official handbook [1] published each October by MEXT describes research subjects, budgets, etc., of Grants-in-Aid recipients. The National Institute of Informatics (NII) provides the “KAKEN” database (a service for disclosing the deliverables of grants-in-aid for scientific research) [5] as part of its “GiNii” platform.

We analyzed KAKEN database entries from FY 1998 to FY 2002 and in FY 2003.

We paid attention to the two points below when we looked at the number of subjects as a measure of research activity at a university.

(1) The applicant of the research subject is an individual or representative of a research group. The researchers do not have to be in the research organization that the research representative belongs to.

(2) There is a tendency for a researcher who belongs to

Table 3

(a) Research categories and descriptions of Grants-in-Aid allocated by JSPS in FY 2004 Reprint from the JSPS web page [3].

Allocated to JSPS		
Categories		Description
Scientific Research		Aid for Research
Scientific Research	(S)	Creative and leading-edge research conducted by university researchers individually or in small groups Duration: 5 years Grant: 50-100 million yen per project
		Creative research conducted by university researchers individually or in groups Duration: 2 to 4 years, or 1 year for research planning
		Category A: 20-50 million yen per project
		Category B: 5-20 million yen per project
	(C)	Category C: Up to 5 million yen per project
Encouragement of Scientists		Research carried out by individual pre-school, elementary, secondary school or teachers or by an individual citizen Duration: 1 year Grant: Up to 0.3 million yen per project
Publication of Scientific Research Results		
	Scientific Periodicals	Publication of academic journals periodically by academic societies or groups of cooperating academic societies to promote international exchange
	Scientific Literature	Publication of academic books to disseminate research results by individuals or groups of researchers
	Databases	Compilation of databases by individuals or groups of researchers that have already proved practical and have the purpose of openly disseminating information through academic information systems, etc.
Creative Scientific Research		Aimed at the further cultivation of fruits obtained from highly creative research conducted under the Grants-in-Aid for Scientific Research and other funding programs Duration: 5 years

(b) Research categories and descriptions of Grants-in-Aid allocated by MEXT in FY 2004 [3].

Allocated to Monbu Kagakusho			
Categories		Description	
Scientific Research		Aid for Research	
Scientific Research	Specially Promoted Research	Highly regarded research likely to bring outstanding results Duration: 3-5 years	
	Scientific Research in Priority Areas	Specific areas that can elevate research in basic fields of science or that can contribute to the development of Japan's economy and society Duration: 3-6 years Grant: approx. 20-600 million yen yearly per area	
	Exploratory Research (*)	Uniquely original research using unexpectedly surprising ideas in an early stage of development Duration: Up to 3 years Grant: Up to 5 million yen per project	
	Grant-in-Aid for Young Scientists		Research carried out by individual researchers of up to age 37 Duration: 2-3 years
		(*) (A)	Category A: 5-30 million yen per project
		(B)	Category B: Up to 5 million yen per project
Grant-in-Aid for Special Purposes		Support for urgent or important research projects	
Publication of Scientific Research Results			
	Announcement of Publication of Scientific Research Results	Publication of research results with high academic evaluation and highly valuable academic information	
Specially Designated Research Promotion		Support for research of a strong academic or societal character conducted by designated private research institutes	
Grant-in-Aid for JSPS Fellows		Support for research conducted by JSPS fellows (including foreign fellows) (*) Duration: Up to 3 years	

(*) Recruited and selected by JSPS

a large organization, especially a large university to become a research representative because the Grants-in-Aid application needs extensive accounting, documentation, preparations, etc.

2.2 Situation from FY 1998 through 2002

2.2.1 Outline of general analysis

Grants-in-Aid for Scientific Research no doubt supported the basic research of universities in postwar.

The budget began to be distributed preponderantly as a competitive research fund to promote science and technology with priority on graduate schools in 1991. Table 1 shows the Grants-in-Aid yearly budget and its rate of change. Although the budget has increased, the rate seems to have slowed since FY 2003. The top class

of the classification table for the applications of Grants-in-Aid (Scientific Research (S), (A), (B), (C), Grants-in-Aid for Exploratory Research, and Grants-in-Aid for Young Scientists (A), (B)) consist of the seven traditional fields of literature (classified as Arts/Humanities in this section), law, economics, science, engineering, agriculture and medicine and Interdisciplinary studies and General studies. Table 4 shows the number of research subjects, and corresponding budget allotments from FY 1988 to FY 2002 by grant type and by research area. Fig. 1 shows the percentage of grants based on the number of awards as broken down by research area from FY 1998 to FY 2002. This figure shows that the field of medicine accounts for the largest part of the fund.

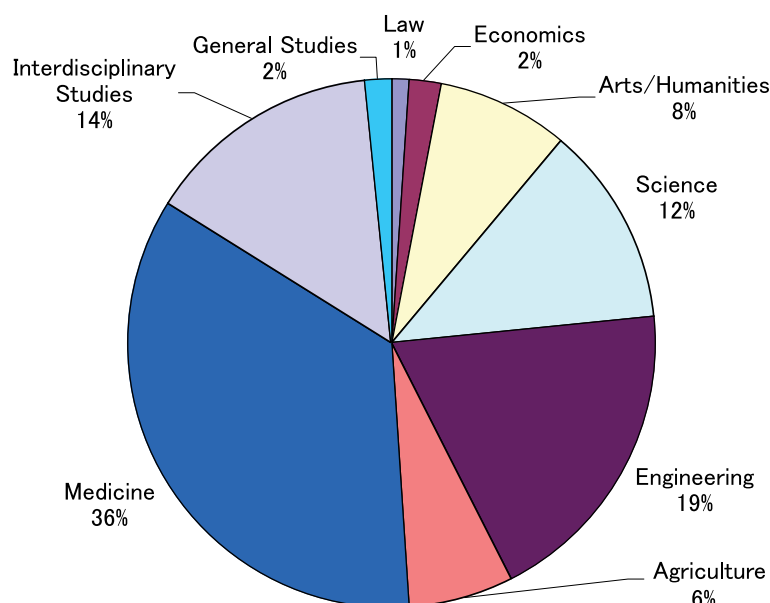


Fig. 1 Percentage of grants broken down by research area(FY 1998—2002).

2.2.2 Analysis of individual research areas, JSPS fellows and large-scale and huge research projects

Next, we show the results of individually analyzing six composite domains of (1) law, economics, arts and humanities and education, (2) science, engineering and agriculture, (3) medical science, dentistry, pharmacy and nursing, (4) interdisciplinary studies, (5) Grants-in-Aid for JSPS Fellows and (6) Large-scale Project Funds. The tables and figures of the analysis show that national and large private universities play the most important role, but some smaller universities also take large.

Although the details can't be discussed for reason of space, the reader can see that the number of Grants-in-Aid awarded per field reflects the degree of research activity in the fields that the university especially focuses on. The detailed breakdowns are available in the technical report of the National Institute of Informatics (NII). [6]–[16]

The research field classification table that includes the top and second level research areas for application in FY 2002 is shown in Appendix 1.

(1) Law, Economics, Arts/Humanities, and Education

Table 5 shows the top 50 organizations that grant-holding researchers belong to. The first columns of the table show breakdowns for the area composed of law, economics, arts/humanities. The other columns list data individually for law, economics, arts/humanities, and the area composed of psychology, sociology, edu-

cation, and cultural anthropology subfields belonging to arts/humanities classification. The last area is selected in order to measure an activity of faculty of education in the Japanese universities. On the whole, the table shows that the large national universities (the former imperial universities under the old system of higher education) are generally ranked higher in every field, but certain private universities are also well represented. The research characteristics of universities and institutes are clearly revealed in the categories of law, economics, arts/humanities, and education. Figs. 2 and 3 show the budget and the percentages of number of subjects included in arts/humanities and social sciences (law and economics).

(2) Science, Engineering and Agriculture

Science and engineering in Japan have been extensively supported by this system, and the importance of the system to these areas is increasing. This report clarifies the roles of individual universities in each research field of science, engineering, and agriculture.

Table 6 shows the top 50 organizations that grant-holding researchers belong to. The table lists totals for science, engineering, and agriculture, as well as science and engineering together.

On the whole, the large national universities that were imperial universities under the old system of higher education are generally ranked higher in every field, but some private universities are well represented. The research characteristics of universities and institutes are clearly revealed in the science, engineering,

Law-Economics-Arts/Humanities: Total of 5 years				Economics: Total of 5 years				Arts/Humanity: Total of 5 years				Psychology/Sociology/Education/Cultural anthropology (Classified as the Arts/Humanities): Total of 5 years					
Ranking	Classi- fication	Uni. Name	# of Project- s	Amount of funding	Class offi- cial ratio	Uni. Name	# of Project- s	Amount of funding	Class offi- cial ratio	Uni. Name	# of Project- cts	Amount of funding	Class offi- cial ratio	Uni. Name	Class offi- cial ratio	Amount of funding	# of Project- cts
1	1	N The Univ. of Tokyo	1,165	507,870	1	N Kobe Univ.	201	468,870	1	N The Univ. of Tokyo	762	1,922,360	1	N Kyoto Univ.	230	634,330	
2	2	N Kyoto Univ.	725	1,576,680	2	N Hokkaido Univ.	157	341,650	2	N Kyoto Univ.	542	1,248,180	2	N Hiroshima Univ.	223	446,560	
3	3	N Hokkaido Univ.	618	1,238,070	3	N Kobe Univ.	128	205,320	3	N Osaka Univ.	461	787,020	3	N The Univ. of Tokyo	217	588,060	
4	4	N Kyushu Univ.	582	337,410	4	N Kyushu Univ.	126	223,940	4	N The Univ. of Tokyo	368	711,370	4	N Univ. of Tsukuba	212	449,770	
5	5	N Hiroshima Univ.	580	1,067,600	5	Waseda Univ.	94	159,960	5	Waseda Univ.	356	727,800	5	N Nagoya Univ.	172	335,820	
6	6	N Kobe Univ.	517	952,650	6	N Kyoto Univ.	82	146,710	6	N Univ. of Tsukuba	305	883,530	6	N Kyushu Univ.	170	417,260	
7	7	N Ritsumeikan Univ.	531	976,600	7	N Ritsumeikan Univ.	105	168,240	7	N Nagoya Univ.	330	882,550	7	N Hokkaido Univ.	155	356,420	
8	8	N Tohoku Univ.	501	854,690	8	N Tohoku Univ.	75	122,600	8	N Kobe Univ.	325	807,180	8	N Tohoku Univ.	142	297,300	
9	9	N Univ. of Tsukuba	499	968,410	9	N Kyoto Univ.	101	183,730	9	N Osaka Univ.	292	541,160	9	NR NIER	127	247,500	
10	10	N Nagoya Univ.	495	865,360	10	N Hiroshima Univ.	59	68,590	10	N Kyushu Univ.	99	150,170	10	Waseda Univ.	110	182,870	
11	11	N Osaka Univ.	477	935,900	11	PUB Osaka City Univ.	47	70,600	11	N Kobe Univ.	208	381,400	11	N Tokyo Gakugei Univ.	105	154,300	
12	12	N Hotsutsubashi Univ.	304	538,670	12	PRI Hosei Univ.	41	79,260	12	N Yokohama Nat'l Univ.	84	128,800	12	N Tokyo Gakugei Univ.	94	191,470	
13	13	N Ritsumeikan Univ.	284	366,850	12	N Osaka Univ.	41	59,400	13	P Tokyo Metro. Univ.	154	252,370	13	N Shizuoka Univ.	85	167,260	
14	14	N Nagata Univ.	215	265,360	14	N Osaka Univ.	38	69,640	14	N Hiroshima Univ.	62	61,800	14	N Osaka Univ.	84	148,690	
15	15	N Chiba Univ.	209	327,900	15	PRI Kobe Univ.	59	109,960	15	N Tokyo Univ. of Foreign Studies	144	452,080	15	NR Nat'l Museum of Science and Technology	75	326,810	
16	16	N Tokyo Metro. Univ.	202	322,780	16	N Chiba Univ.	37	68,330	16	N Otaru Univ. of Commerce	144	187,060	16	O The Nat'l Inst. of Special Education	75	107,400	
17	17	PRI Keio Univ.	189	361,360	16	N Hittosutsubashi Univ.	50	49,300	17	N Shizuoka Univ.	137	238,480	17	N Chiba Univ.	68	113,320	
18	18	PRI Rikyo Univ.	193	327,200	16	N Higashi Shizuoka Univ.	37	47,000	18	PUB Osaka City Univ.	48	100,400	18	PRI Ritsumeikan Univ.	65	82,330	
19	19	N Osaka Univ.	193	253,500	19	N Univ. of Tsukuba	28	79,260	19	PRI Chuo Univ.	47	117,510	19	NR NIER	61	84,200	
20	20	N Shizuoka Univ.	191	219,760	20	N Fukushima Univ.	27	26,600	19	PRI Meiji Univ.	47	61,640	20	PRI Rikyo Univ.	59	127,270	
21	21	N Tokyo Gakugei Univ.	174	240,680	20	N Kumamoto Univ.	27	28,000	21	PRI Aoyama Gakuin Univ.	46	68,600	21	PRI Keio Univ.	59	83,400	
22	22	PUB Osaka City Univ.	169	299,900	22	N Kagawa Univ.	24	59,660	22	PRI Hosei Univ.	44	98,350	22	NR NILL	56	113,460	
23	23	N Saitama Univ.	167	235,960	23	PRI Doshisha Univ.	23	33,600	22	PRI Nihon Univ.	44	48,800	22	PRI Satama Univ.	56	106,320	
24	24	N Kansai Univ.	155	478,680	24	PRI Kansai Univ.	22	26,800	24	PRI Kansai Univ.	41	75,160	24	PRI Tokyo Metropol. Univ.	54	130,880	
25	25	PRI Kansai Univ.	149	262,960	25	N Shizuoka Univ.	21	18,800	25	N Kumanoto Univ.	39	37,800	25	PRI Tokyo Metropol. Univ.	54	129,090	

Table 6 Top 50 organizations receiving grants for research in science, engineering and agriculture (in thousands of yen).

Sciences/Total of 5 years				Engineering/ Total of 5 years				Sciences and Engineering/ Total of 5 years				Agriculture/ Total of 5 years			
Ranking	Classification	Uni Name	# of Projects	The amount of funding	Ranking	Classification	Uni Name	# of Projects	The amount of funding	Ranking	Classification	Uni Name	# of Projects	The amount of funding	
1	N	The Univ. of Tokyo	1,912	6,962,270	1	N	The Univ. of Tokyo	2,206	9,691,450	1	N	The Univ. of Tokyo	4,118	16,653,720	
2	N	Kyoto Univ.	1,338	4,634,920	2	N	Tohoku Univ.	2,046	8,318,800	2	N	Kyoto Univ.	3,242	11,070,980	
3	N	Tohoku Univ.	1,100	3,679,450	3	N	Kyoto Univ.	1,904	6,436,060	3	N	Tohoku Univ.	3,146	11,998,250	
4	N	Hokkaido Univ.	886	2,885,520	4	N	Tokyo Inst. of Tech.	1,791	6,214,890	4	N	Osaka Univ.	2,579	8,515,500	
5	N	Osaka Univ.	861	2,575,730	5	N	Osaka Univ.	1,718	5,939,770	5	N	Tokohu Univ.	2,296	7,739,900	
6	N	Kyushu Univ.	770	1,959,250	6	N	Kyushu Univ.	1,376	4,272,770	6	N	Kyushu Inst. of Tech.	2,146	6,232,020	
7	N	Nagoya Univ.	735	2,563,720	7	N	Nagoya Univ.	1,089	3,894,590	7	N	Nagoya Univ.	1,824	6,448,310	
8	N	Hiroshima Univ.	558	1,314,740	8	N	Hokkaido Univ.	906	2,979,990	8	N	Hokkaido Univ.	1,802	5,848,510	
9	N	Tokyo Inst. of Tech.	505	1,525,010	9	N	Hiroshima Univ.	521	1,356,580	9	N	Hiroshima Univ.	1,079	2,671,320	
10	N	Univ. of Tsukuba	460	1,269,520	10	PRI	Waseda Univ.	444	1,265,170	10	N	Univ. of Tsukuba	834	2,401,550	
11	PUB	Tokyo Metro Univ.	392	1,086,700	11	N	Kobe Univ.	423	1,113,320	11	N	Kobe Univ.	737	1,926,600	
12	N	Kobe Univ.	314	813,280	12	N	Univ. of Tsukuba	374	1,122,030	12	PUB	Tokyo Metro Univ.	675	1,759,790	
13	NR	High Energy Accelerator Res. Org.	283	894,680	13	N	Osakaya Univ.	372	903,200	13	PRI	Waseda Univ.	618	1,623,320	
14	NR	Osaka Nat'l Res. Insts	271	971,020	14	N	Nagoya Inst. of Tech.	360	850,110	14	N	Osakaya Univ.	608	1,552,280	
14	N	Chiba Univ.	271	755,030	15	N	Nagasaki Univ. of Tech.	349	884,240	15	N	Chiba Univ.	534	1,319,010	
16	PUB	Osaka City Univ.	264	591,060	16	PUB	Osaka Pref. Univ.	335	837,340	16	N	Kanazawa Univ.	500	1,047,130	
17	N	Kanazawa Univ.	282	547,430	17	N	Kyushu Inst. of Tech.	333	823,150	17	N	Shizuoka Univ.	482	1,201,200	
18	N	Osaka Univ.	238	649,080	18	N	Tokyo Univ. of Agri. and Tech.	330	1,088,440	18	N	Iwate Univ.	460	1,281,240	
19	O	The Inst. of Phys. and Chem., Res.	222	429,000	19	N	Yokohama Nat'l Univ.	329	1,026,590	19	PRI	Keio Univ.	441	877,570	
20	N	Niigata Univ.	213	431,640	20	N	Kumamoto Univ.	310	655,030	20	PUB	Tokyo Univ. of Sci.	435	991,240	
21	PRI	Tokyo Univ. of Sci.	211	384,160	21	N	Toyohashi Univ. of Tech.	298	781,720	21	N	Kumamoto Univ.	434	942,620	
22	N	Shizuoka Univ.	188	439,480	22	PRI	Keio Univ.	285	892,940	22	N	Nagoya Inst. of Tech.	432	1,006,350	
23	N	Etchme Univ.	195	369,460	23	N	Shizuoka Univ.	284	761,720	23	N	Niigata Univ.	422	853,810	
24	N	Yamaguchi Univ.	188	319,000	24	PUB	Tokyo Metro Univ.	283	673,090	23	N	Yamaguchi Univ.	422	772,250	
25	NR	Natl Astron. Obs. of Japan	178	597,250	25	N	Chiba Univ.	263	562,980	25	N	Tokyo Univ. of Agri. and Tech.	415	1,269,210	
26	PRI	Keio Univ.	175	385,300	26	N	Gifu Univ.	261	500,530	26	PUB	Osaka City Univ.	407	924,890	
27	PRI	Waseda Univ.	174	358,150	27	N	Kyoto Inst. of Tech.	253	482,600	27	N	Yokohama Nat'l Univ.	404	1,204,930	
28	N	Ibaraki Univ.	159	304,230	28	N	Saitama Univ.	238	590,580	28	N	Kyushu Inst. of Tech.	390	913,250	
29	N	Shimane University	147	333,910	29	N	Kanazawa Univ.	238	499,700	29	N	Saitama Univ.	378	825,780	
29	N	Nara Women's Univ.	147	262,760	30	N	Yamaguchi Univ.	234	453,250	30	O	The Inst. of Phys. and Chem., Res.	370	822,900	
31	N	Saitama Univ.	140	235,200	31	PRI	Tokyo Univ. of Sci.	230	493,410	31	N	Nagasaki Univ.	358	909,840	
32	PUB	Himeji Inst. of Tech.	139	423,770	32	N	Yamagata Univ.	220	533,120	32	N	Gifu Univ.	343	678,550	
33	PRI	Nihon Univ.	137	206,870	33	N	Shinshu Univ.	209	480,270	32	N	Ibaraki Univ.	343	658,580	
34	N	Ochanomizu Univ.	133	232,850	33	N	Niigata Univ.	209	422,170	34	N	Etchme Univ.	332	652,970	
35	N	The Univ. of Electro-Comm.	128	233,500	35	N	The Univ. of Tokushima	203	359,010	35	N	Shinshu Univ.	330	663,570	
36	N	Kumamoto Univ.	124	287,590	36	N	The Univ. of Electro-Comm.	199	451,670	35	N	Kyoto Inst. of Tech.	330	630,500	
37	N	Shinshu Univ.	121	183,300	37	N	Ibaraki Univ.	184	374,320	37	N	Yamagata Univ.	329	711,750	
38	N	Toyama Univ.	118	147,900	38	N	Tottori Univ.	176	289,030	38	N	Kinki Univ.	327	685,370	
39	PRI	Sophia Univ.	115	160,400	39	N	Nagasaki Univ.	172	415,550	38	N	Kagawa Univ.	318	1,007,310	
40	N	Kagoshima Univ.	112	192,580	40	N	Nihon Univ.	168	381,400	39	N	Kyoto Pref. Univ.	311	811,720	
41	N	Yamagata Univ.	109	178,630	41	PRI	Kinki Univ.	166	226,050	41	N	Yamagata Univ.	305	590,270	
42	N	Kochi Univ.	103	198,150	42	N	Akita Univ.	164	290,100	42	NR	Osakazi Nat'l Res. Insts	301	1,025,920	
43	PUB	Osaka Pref. Univ.	100	153,900	43	N	Univ. of Fukui	162	210,600	43	PUB	Himeji Inst. of Tech.	288	676,670	
43	N	Univ. of the Ryukyus	100	143,700	44	N	Utsunomiya Univ.	159	302,600	44	N	The Univ. of the Ryukyus	281	493,610	
45	N	Saga Univ.	97	143,200	45	N	Gumma Univ.	158	389,290	45	N	Kagoshima Univ.	249	452,880	
46	PRI	Osaka Univ. of Sci.	87	145,000	46	N	Muroran Inst. of Tech.	155	308,100	46	NR	Gumma Univ.	244	519,390	
47	N	Gumma Univ.	86	150,100	47	PRI	Kanazawa Inst. of Tech.	152	215,900	47	PRI	Kinki Univ.	242	341,450	
48	N	Tokyo Univ. of Agri. and Tech.	85	180,570	48	PUB	Himeji Inst. of Tech.	149	222,900	48	N	Shimane Univ.	219	474,710	
49	N	Gifu Univ.	82	157,750	49	O	The Inst. of Phys. and Chem., Res.	148	393,500	49	PRI	Tokai Univ.	217	269,100	
50	NR	Japan Aerospace Exploration Agency	80	219,540	49	PRI	Kansai Univ.	148	343,760	50	N	Nagasaki Univ.	213	472,750	
50	O	The Nat'l Sci. Museum	80	193,120											
50	PRI	The Toho Univ.	80	109,700											
50	PRI	Tokai Univ.	80	90,900											
Total			19,913	50,853,960	Total			30,882	86,791,930	Total			50,801	137,645,890	
												Total			28,827,920

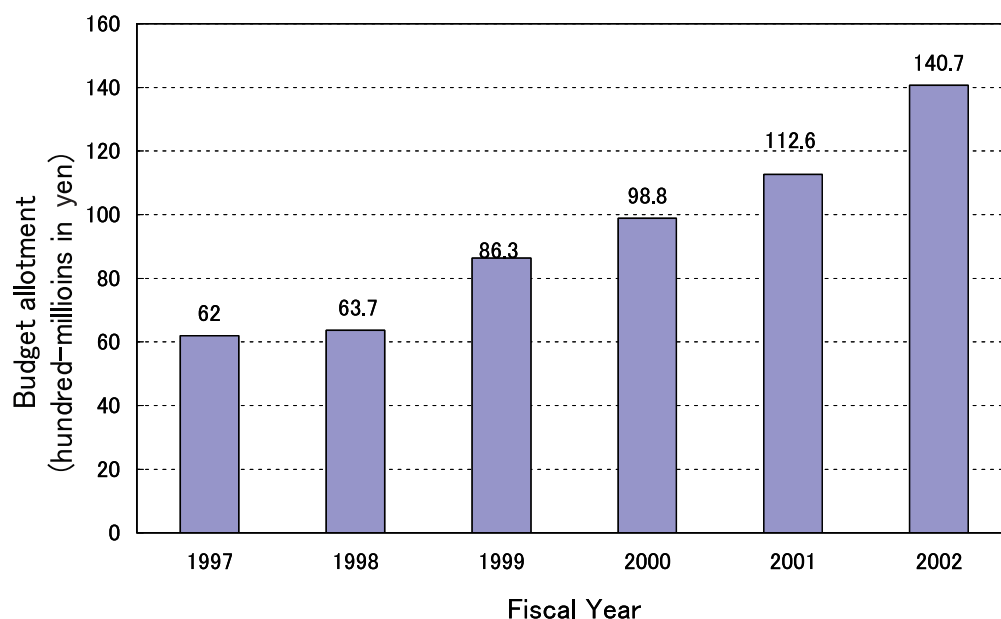


Fig. 2 Budget allotment to arts/humanities and social sciences.

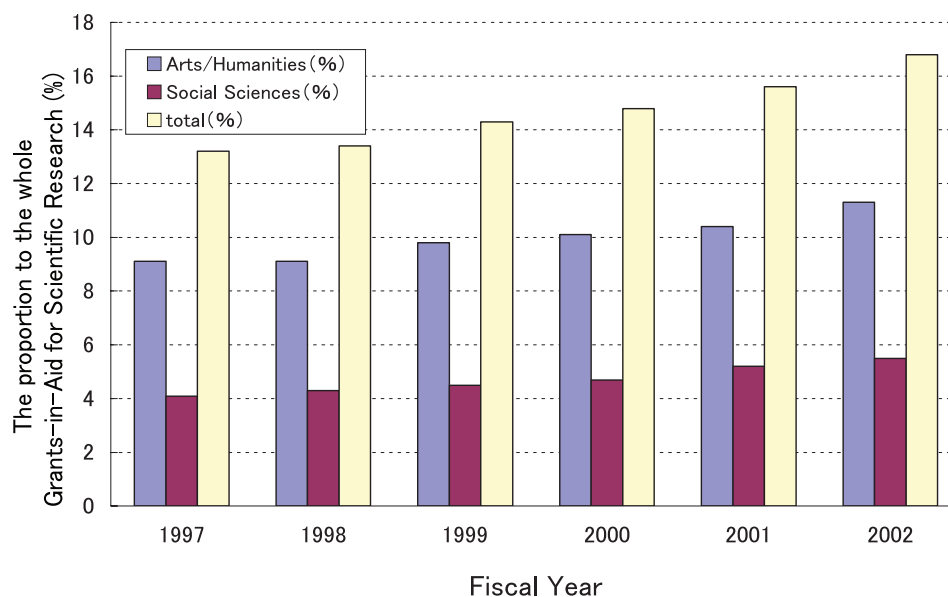


Fig. 3 Proportion of number of subjects included in arts/humanities and social sciences to the whole.

and agriculture categories.

Fig. 4 shows changes in the number of subjects funded in science, engineering, and agriculture over FY 1998–2002. Each area has shown a little growth in number of subjects funded during this period.

(3) Medical Science, Dentistry, Pharmacy and Nursing
These research fields develop medical treatments and

promote the welfare of the Japanese people. Their importance is thus reflected in the large number of awards of Grants-in-Aid for medical science, dentistry, pharmacy and nursing. In fact, their share is 34% of all funded research subjects. The large share is especially evident in comparison with the shares of science, engineering, and agriculture. Note that the detailed clas-

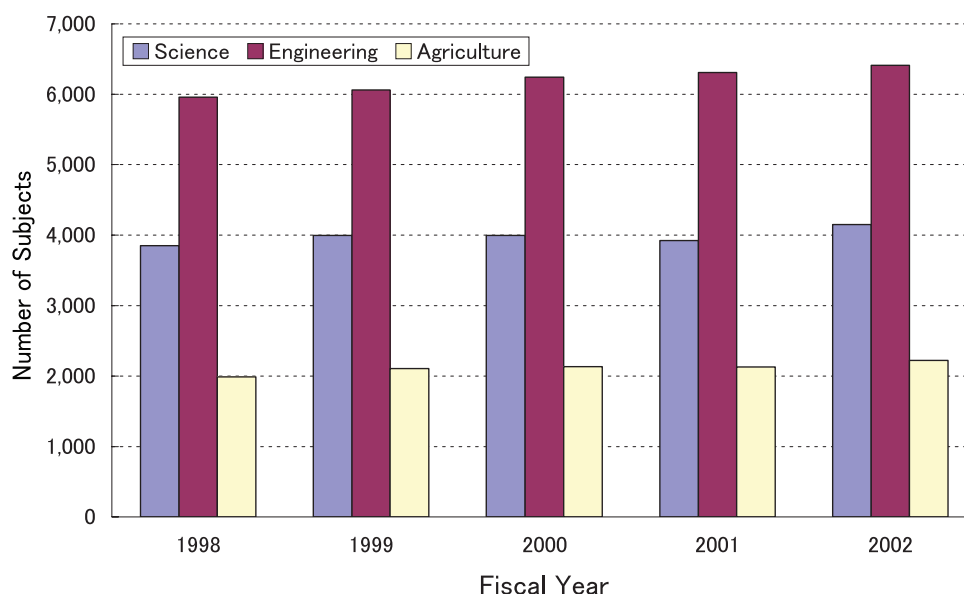


Fig. 4 Changes in number of subjects included in science, engineering and agriculture classifications.

sification code of MEXT of the medical domain consists of nine subfields: physiology, pathology, social medicine, internal medicine, surgery, dentistry, pharmacy, medicine in general, and nursing science. Here, we reclassified these nine subfields into five fields: (A) basic medicine [physiology and pathology], (B) clinical medicine [internal medicine, surgery, and medicine in general], (C) dentistry, (D) pharmacy and (E) social medicine and nursing, after considering the present situations of medical departments in Japanese universities.

Fig. 5 shows changes in the number of subjects in the five fields over FY 1998–2002. Each field has had a little growth during this period. Fig. 6 also shows changes in the amount of funding for each field.

Table 7 shows the top 50 organizations that researchers belong to. The table shows the breakdown for the overall research field of medicine and for individual areas of physiology, pathology, internal medicine, surgery, and medicine in general ((A) plus (B)); dentistry (C), pharmacy (D); and social medicine and nursing (E).

On the whole, although the former imperial universities were generally ranked higher in every field, the general aspect of this ranking is completely different from those of the previous two rankings. Many private and medical universities are in the middle in the top 50. The research characteristics of universities and institutes are clearly revealed in the categorization of medical science, dentistry, pharmacy, and nursing.

(4) Interdisciplinary Studies

This area includes interdisciplinary studies, general studies, and new research fields that are difficult to include in the traditional disciplines. The ranking of these fields indicates the research activities of interdisciplinary and new fields.

Fig. 7 shows the changes in the number of subjects funded in interdisciplinary and general areas, and projects with limited periods. Fig. 8 shows the proportion of funded subjects broken down by individual interdisciplinary area of study.

The national and large private universities are well represented, as are some smaller universities.

Table 8 shows the top 50 and 30 organizations to which funded researchers belong to in the interdisciplinary and general area classification.

The research characteristics of universities and institutes are clearly revealed in the individual research areas.

(5) JSPS Fellows

The Japan Society for the Promotion of Science (JSPS) has a special fellowship system to educate young researchers. The Grants-in-Aid are allotted to JSPS fellows to encourage research. Therefore, the number of funded subjects corresponds to the number of young researchers at each university and also to the degree that each university educates young researchers.

Fig. 9 shows the proportion of number of funded subjects of Grants-in-Aid for JSPS fellows broken down by research field in FY 2002.

Table 7 Top 50 organizations receiving grants for research in medicine, dentistry, pharmacy and social medicine/nursing (in thousand of yen).

Medicine domain (total)										Basic Medicine (Physiology/ Pathology/ Internal Medicine/ Surgery/ General)										Dentistry										Pharmacy										Social Medicine and Nursing									
Total of 5 years										Total of 5 years										Total of 5 years										Total of 5 years										Total of 5 years									
Ranking	Classification	Uni. Name	# of Projects	Amount of Funding	Ranking	Classification	Uni. Name	# of Projects	Amount of Funding	Ranking	Classification	Uni. Name	# of Projects	Amount of Funding	Ranking	Classification	Uni. Name	# of Projects	Amount of Funding	Ranking	Classification	Uni. Name	# of Projects	Amount of Funding	Ranking	Classification	Uni. Name	# of Projects	Amount of Funding	Ranking	Classification	Uni. Name	# of Projects	Amount of Funding	Ranking	Classification	Uni. Name	# of Projects	Amount of Funding										
1	N	The Univ. of Tokyo	1,884	5,849,000	1	N	Tokyo Med. and Dent. Univ.	578	1,888,220	2	N	The Univ. of Tokyo	314	1,183,280	1	N	Osaka Univ.	120	246,400	1	N	Osaka Univ.	110	246,400	1	N	Osaka Univ.	120	246,400	1	N	Osaka Univ.	110	246,400	1	N	Osaka Univ.	120	246,400										
2	N	Osaka Univ.	2,283	6,532,020	2	N	Osaka Univ.	438	1,322,430	2	N	Kyoto Univ.	378	1,014,240	2	N	Kyoto Univ.	378	1,014,240	2	N	Kyoto Univ.	378	1,014,240	2	N	Kyoto Univ.	378	1,014,240	2	N	Kyoto Univ.	378	1,014,240	2	N	Kyoto Univ.	378	1,014,240										
3	N	Kyoto Univ.	1,388	4,891,880	3	PR	Nihon Univ.	431	765,400	3	PR	Nihon Univ.	431	765,400	3	PR	Nihon Univ.	431	765,400	3	PR	Nihon Univ.	431	765,400	3	PR	Nihon Univ.	431	765,400	3	PR	Nihon Univ.	431	765,400	3	PR	Nihon Univ.	431	765,400										
4	N	Kyushu Univ.	1,322	4,581,680	4	PR	Kobe Univ.	345	740,000	4	N	Hokkaido Univ.	175	506,300	4	N	Osaka Univ.	175	506,300	4	N	Osaka Univ.	175	506,300	4	N	Osaka Univ.	175	506,300	4	N	Osaka Univ.	175	506,300	4	N	Osaka Univ.	175	506,300										
5	N	Kyoto Univ.	1,691	5,948,000	5	N	Tokoku Univ.	388	975,510	5	N	Osaka Univ.	388	975,510	5	N	Osaka Univ.	388	975,510	5	N	Osaka Univ.	388	975,510	5	N	Osaka Univ.	388	975,510	5	N	Osaka Univ.	388	975,510	5	N	Osaka Univ.	388	975,510										
6	PR	Kyushu Univ.	1,401	2,873,960	6	N	Kyushu Univ.	387	938,140	6	PR	Tokyo Univ. of Pharm. and Life Sci.	132	182,600	6	PR	Tokyo Univ. of Pharm. and Life Sci.	132	182,600	6	PR	Tokyo Univ. of Pharm. and Life Sci.	132	182,600	6	PR	Tokyo Univ. of Pharm. and Life Sci.	132	182,600	6	PR	Tokyo Univ. of Pharm. and Life Sci.	132	182,600	6	PR	Tokyo Univ. of Pharm. and Life Sci.	132	182,600										
7	PR	Tokyo Med. and Dent. Univ.	1,250	3,708,060	7	PR	The Jikei Univ.	387	988,370	7	N	Osaka Univ.	387	988,370	7	N	Osaka Univ.	387	988,370	7	N	Osaka Univ.	387	988,370	7	N	Osaka Univ.	387	988,370	7	N	Osaka Univ.	387	988,370	7	N	Osaka Univ.	387	988,370										
8	N	Hokkaido Univ.	1,225	3,629,020	8	N	Kyushu Univ.	382	1,014,240	8	N	The Univ. of Tokushima	382	1,014,240	8	N	The Univ. of Tokushima	382	1,014,240	8	N	The Univ. of Tokushima	382	1,014,240	8	N	The Univ. of Tokushima	382	1,014,240	8	N	The Univ. of Tokushima	382	1,014,240	8	N	The Univ. of Tokushima	382	1,014,240										
9	N	Osaka Univ.	1,110	2,283,190	9	PR	Kumamoto Univ.	378	945,900	9	N	Kyushu Univ.	378	945,900	9	N	Kyushu Univ.	378	945,900	9	N	Kyushu Univ.	378	945,900	9	N	Kyushu Univ.	378	945,900	9	N	Kyushu Univ.	378	945,900	9	N	Kyushu Univ.	378	945,900										
10	N	Nagasaki Univ.	1,079	2,135,240	10	PR	Tokyo Women's Med. Univ.	366	773,250	10	N	Kumamoto Univ.	366	773,250	10	N	Kumamoto Univ.	366	773,250	10	N	Kumamoto Univ.	366	773,250	10	N	Kumamoto Univ.	366	773,250	10	N	Kumamoto Univ.	366	773,250	10	N	Kumamoto Univ.	366	773,250										
11	N	The Univ. of Tokushima	1,075	2,303,770	11	N	Kyushu Univ.	708	1,483,180	11	N	Shizuoka Univ.	713	581,020	11	N	Shizuoka Univ.	713	581,020	11	N	Shizuoka Univ.	713	581,020	11	N	Shizuoka Univ.	713	581,020	11	N	Shizuoka Univ.	713	581,020	11	N	Shizuoka Univ.	713	581,020										
12	N	Hiroshima Univ.	1,040	2,325,090	12	N	Tokyo Med. and Dent. Univ.	708	1,483,180	12	PR	Aichi Gakuin Univ.	301	450,360	12	PR	Shizuoka Univ.	713	581,020	12	PR	Shizuoka Univ.	713	581,020	12	PR	Shizuoka Univ.	713	581,020	12	PR	Shizuoka Univ.	713	581,020	12	PR	Shizuoka Univ.	713	581,020										
13	N	Nagoya Univ.	920	2,146,080	13	N	Nagoya Univ.	672	1,359,180	13	N	Hokkaido Univ.	289	475,320	13	N	Hokkaido Univ.	289	475,320	13	N	Hokkaido Univ.	289	475,320	13	N	Hokkaido Univ.	289	475,320	13	N	Hokkaido Univ.	289	475,320	13	N	Hokkaido Univ.	289	475,320										
14	N	Chiba Univ.	889	1,901,070	14	N	Kanazawa Univ.	662	1,340,910	15	PR	Tokyo Dent. Coll.	289	517,320	15	PR	Tokyo Univ.	82	134,500	14	N	Kyushu Univ.	71	113,250	14	N	Kyushu Univ.	71	113,250	14	N	Kyushu Univ.	71	113,250	14	N	Kyushu Univ.	71	113,250										
15	PR	The Jikei Univ.	870	972,820	15	N	Chiba Univ.	631	1,441,570	15	PR	Nippon Med. Coll.	276	456,860	15	N	Kanazawa Univ.	82	134,500	15	N	Kanazawa Univ.	82	134,500	15	N	Kanazawa Univ.	82	134,500	15	N	Kanazawa Univ.	82	134,500	15	N	Kanazawa Univ.	82	134,500										
16	N	Kanazawa Univ.	850	2,009,020	16	PR	Aichi Med. Sch.	624	1,023,880	16	PR	Kanagawa Dent. Coll.	260	397,300	16	N	Hiroshima Univ.	81	234,400	16	PR	Tokai University	67	96,680	16	PR	Tokai University	67	96,680	16	PR	Tokai University	67	96,680	16	PR	Tokai University	67	96,680										
17	N	Kanagawa Univ.	844	1,749,000	17	PUB	Kyoto Pref. Univ. of Med.	621	1,228,710	17	N	Kagoshima Univ.	237	460,540	17	N	Nagasaki Univ.	81	219,190	17	PR	Nagasaki Univ.	66	117,900	17	PR	Nagasaki Univ.	66	117,900	17	PR	Nagasaki Univ.	66	117,900	17	PR	Nagasaki Univ.	66	117,900										
18	N	Nagoya Univ.	828	1,892,740	18	N	Nagasaki Univ.	619	1,238,560	18	PUB	Kyushu Dent. Coll.	198	299,970	18	N	Osagaya Univ.	75	154,100	17	PR	The Jap. Red Cross Coll. of Nursing	66	78,100	18	PR	Kocin Women's Univ.	65	130,400	18	PR	Kocin Women's Univ.	65	130,400	18	PR	Kocin Women's Univ.	65	130,400										
19	PR	Kumamoto Univ.	764	995,000	19	N	Osagaya Univ.	573	1,032,070	19	PR	Health Sci. Univ. of Hokkaido	198	355,360	19	N	Kyatsuo Univ.	71	171,920	19	PUB	Kocin Women's Univ.	66	78,100	19	PUB	Kocin Women's Univ.	65	130,400	19	PUB	Kocin Women's Univ.	65	130,400	19	PUB	Kocin Women's Univ.	65	130,400										
20	PR	Tokyo Women's Med. Univ.	744	1,080,040	20	N	Gumma Univ.	575	1,032,070	20	PR	Health Sci. Univ. of Hokkaido	198	355,360	20	PR	Kyatsuo Univ.	71	171,920	19	PUB	Kocin Women's Univ.	66	78,100	20	PR	Kocin Women's Univ.	65	130,400	20	PR	Kocin Women's Univ.	65	130,400	20	PR	Kocin Women's Univ.	65	130,400										
21	PR	Shizuoka Univ.	741	1,316,450	21	N	The Univ. of Tokushima	573	1,032,070	21	PR	Tsaurumi Univ.	178	286,500	21	PUB	Health Sci. Univ. of Shizuoka	68	142,800	21	PUB	Coll. of Nursing Art and Sci., Hyogo	64	144,880	21	PUB	Coll. of Nursing Art and Sci., Hyogo	64	144,880	21	PUB	Coll. of Nursing Art and Sci., Hyogo	64	144,880	21	PUB	Coll. of Nursing Art and Sci., Hyogo	64	144,880										
22	PR	Nihon Univ.	715	1,205,420	22	N	The Univ. of Tsukuba	535	1,290,690	22	PR	Iwate Med. Univ.	174	253,400	22	PUB	Gifu Pharm. Univ.	65	107,900	22	N	Tokoku Univ.	63	202,520	22	PR	Tokoku Univ.	63	202,520	22	PR	Tokoku Univ.	63	202,520	22	PR	Tokoku Univ.	63	202,520										
23	PR	Jichi Med. Sch.	680	1,174,900	23	N	Nagata Univ.	509	1,012,810	23	PR	Fukuoka Dent. Coll.	148	296,500	23	PR	Kobe Pharm. Univ.	64	94,600	23	O	Osaka Pref. Inst. of Public Health	60	137,430	23	O	Osaka Pref. Inst. of Public Health	60	137,430	23	O	Osaka Pref. Inst. of Public Health	60	137,430	23	O	Osaka Pref. Inst. of Public Health	60	137,430										
24	PUB	Kyoto Pref. Univ. of Med.	697	1,312,710	23	PR	Nippon Med. Sch.	508	979,000	24	PR	Meiji Univ.	132	285,000	24	O	The Inst. of Phys. and Chem. Res.	52	91,070	23	N	Hamanatsu Univ. Sch. of Med.	60	92,500	24	O	The Inst. of Phys. and Chem. Res.	52	91,070	23	N	Hamanatsu Univ. Sch. of Med.	60	92,500	24	O	The Inst. of Phys. and Chem. Res.	52	91,070										
25	N	Gumma Univ.	649	1,537,770	25	PR	Kanai Med. Univ.	501	792,530	25	PR	Matsumoto Dent. Univ.	104	197,460	26	O	Na'l Inst. of Health Sci.	96	101,700	26	PUB	Nagano Coll. of Nursing	58	54,500	25	N	Hiroshima Univ.	68	98,000	25	N	Hiroshima Univ.	68	98,000	25	N	Hiroshima Univ.	68	98,000										
26	N	Univ. of Tsukuba	621	1,458,820	26	N	Hiroshima Univ.	501	792,530	26	PR	Matsumoto Dent. Univ.	104	197,460	26	O	Na'l Inst. of Health Sci.	96	101,700	26	PUB	Nagano Coll. of Nursing	58	54,500	26	N	Hiroshima Univ.	68	98,000	26	N	Hiroshima Univ.	68	98,000	26	N	Hiroshima Univ.	68	98,000										
27	N	Kagoshima Univ.	911	1,149,260	27	N	Kobe Univ.	478	1,269,480	27	PR	Ono Univ.	80	97,100	27	PR	Heih Univ.	44	69,100	27	N	Yamanashi Med. Univ.	56	103,740	27	N	Yamanashi Med. Univ.	56	103,740	27	N	Yamanashi Med. Univ.	56	103,740	27	N	Yamanashi Med. Univ.	56	103,740										
28	PR	Kanagawa Univ.	883	723,220	28	PUB	Sagami Med. Univ.	478	994,450	28	N	Nagoya Univ.	44	121,650	28	PR	Kobe Gakuin Univ.	45	69,100	27	N	Saga Med. Sch.	59	78,600	28	PR	Kobe Gakuin Univ.	45	69,100	28	PR	Kobe Gakuin Univ.	45	69,100	28	PR	Kobe Gakuin Univ.	45	69,100										
29	N	Kobe Univ.	571	1,177,860	29	PUB	Yokohama City Univ.	442	892,110	29	O	Na'l Inst. of Infect. Diseases	43	98,900	29	PR	Osaka Univ. of Pharm. Sci.	42	44,100	29	N	Tokyo Med. and Dent. Univ.	55	121,900	29	PR	Osaka Univ. of Pharm. Sci.	42	44,100	29	N	Tokyo Med. and Dent. Univ.	55	121,900	29	PR	Osaka Univ. of Pharm. Sci.	42	44,100										
30	PUB	Sagami Med. Univ.	568	1,177,860	30	PR	Jundendo Univ.	442	892,110	30	N	Kyoto Univ.	43	98,900	30	PR	Meiji Pharm. Univ.	39	61,200	30	PUB	Fukuikami Med. Univ.	54	48,300	30	PR	Meiji Pharm. Univ.	39	61,200	30	PR	Meiji Pharm. Univ.	39	61,200	30	PR	Meiji Pharm. Univ.	39	61,200										
31	PUB	Nagoya City Univ.	548	1,087,000	31	N	Shizuoka Univ.	429	822,200	31	N	Chiba Univ.	35	82,400	30	PR	Setsunan Univ.	39	58,900	31	N	Osaka Med. Univ.	54	48,300	31	N	Osaka Med. Univ.	54	48,300	31	N	Osaka Med. Univ.	54	48,300	31	N	Osaka Med. Univ.	54	48,300										
32	PR	Nippon Med. Sch.	528	723,000	32	PR	Kiatsuo Univ.	429	822,200	32	PR	Hyogo Coll. of Med.	26	37,000	32	PR	Tokushima Univ.	35	49,300	31	PUB	Tokyo Metro. Univ. of Health Sci.	53	53,100	32	PR	Tokushima Univ.	35	49,300	32	PR	Tokushima Univ.	35	49,300	32	PR	Tokushima Univ.	35	49,300										
33	PR	Kanai Med. Sch.	528	747,820	33	N	Elime Univ.	420	834,700	33	N	Elime Univ.	26	90,720	32	PR	Hokuriku Univ.	35	45,000	33	N	Mei Univ.	49	98,400	33	PR	Hokuriku Univ.	35	45,000	33	PR	Hokuriku Univ.	35	45,000	33	PR	Hokuriku Univ.	35	45,000										
34	PUB	Yokohama City Univ.	488	723,610	34	N	Yamaguchi Univ.	407	823,080	34	N	The Univ. of Tokyo	23	52,800	32	PR	Kyofuku Univ. of Pharm.	35	44,100	34	PR	Jichi Med. Sch.	47	72,490	34	PR	Kyofuku Univ. of Pharm.	35	44,100	34	PR	Jichi Med. Sch.	47	72,490	34	PR	Jichi Med. Sch.	47	72,490										
35	N	Gifu Univ.	471	902,200	35	PR	Tokai University	386	802,580	35	N	Kanazawa Univ.	22	47,000	35	PR	The Toho Univ.	34	42,800	35	PR	The Jikei Univ.	47	72,490	35	PR	The Toho Univ.	34	42,800	35	PR	The Jikei Univ.	47	72,490	35	PR	The Jikei Univ.	47	72,490										
36	N	Shizuoka Univ.	475	912,700	36	PUB	Nagoya City Univ.	374	814,800	36	PR	Nara Med. Univ.	22	31,800	35	PR	Meijo																																

	Total	56,094	116,032,950	39,772	81,681,310	Total	8,363	17,768,170	Total	3,816	8,647,250	Total	4,743	7,935,620
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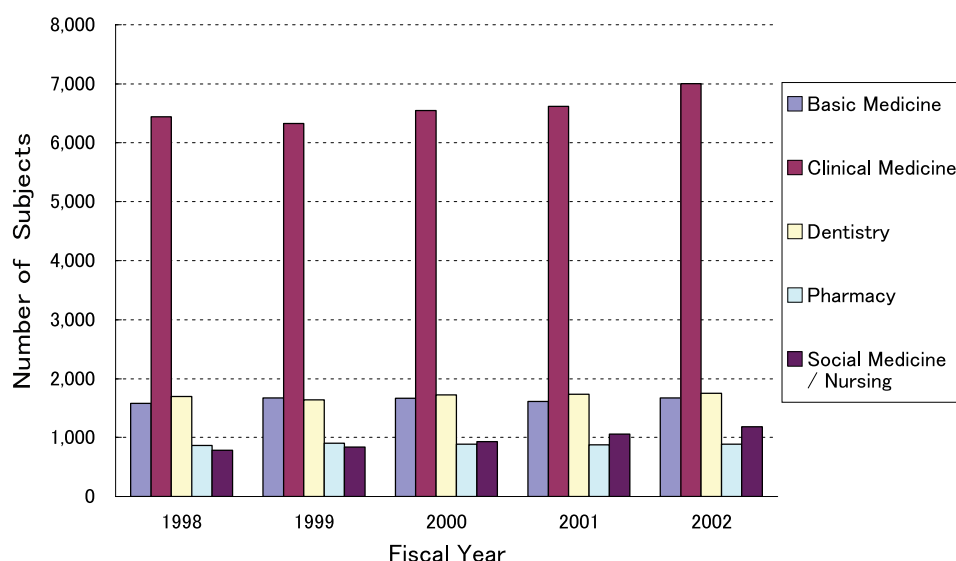


Fig. 5 Changes in number of subjects in the medicine classification.

(Basic Medicine includes physiology and pathology. Clinical Medicine includes internal medicine, surgery, and medicine in general).

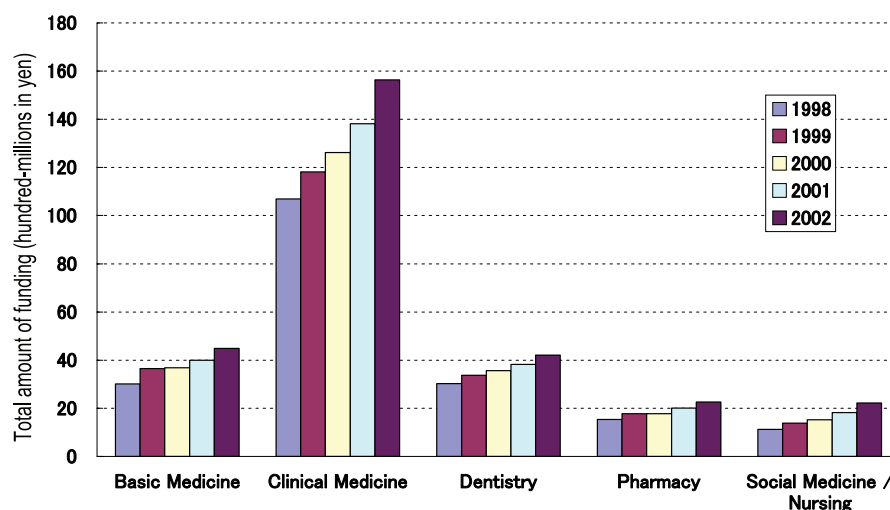


Fig. 6 Changes in funding for each area in medicine classification.

(Basic Medicine includes physiology and pathology. Clinical Medicine includes internal medicine, surgery, and medicine in general).

Fig. 10 shows the number of funded subjects for JSPS fellows per organization. This figure shows that the University of Tokyo has the predominant number of funded subjects, and Kyoto University is has the second largest. There is a large difference between the top group consisting of the former imperial universities and the Tokyo Institute of Technology and lower ranked organizations starting with Tsukuba University, Waseda

University and Keio University. This figure shows the importance of the old imperial universities in training the researchers of our country.

(6) Large-scale Project Funds

Large-scale projects are funded with large grants called Scientific Research on Priority Areas and University and Society Collaboration, and huge grants

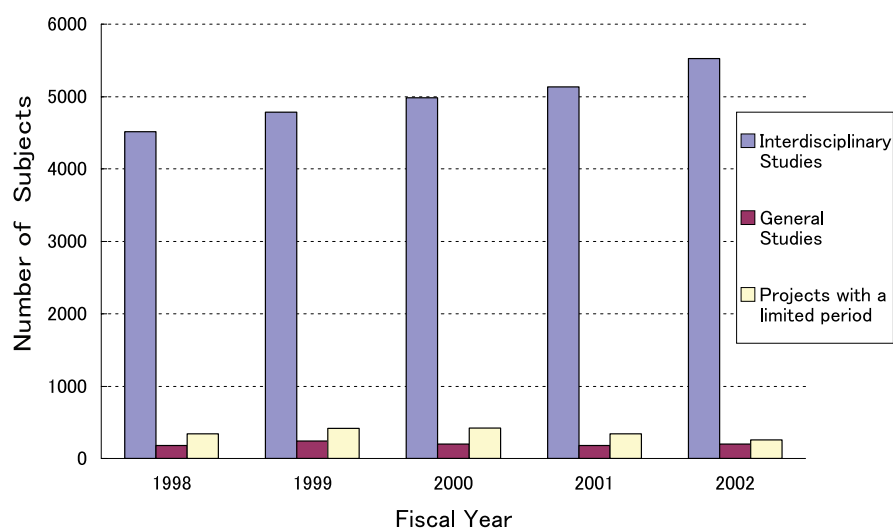


Fig. 7 Changes in number of subjects included in interdisciplinary studies / general studies / projects with a limited period classification.

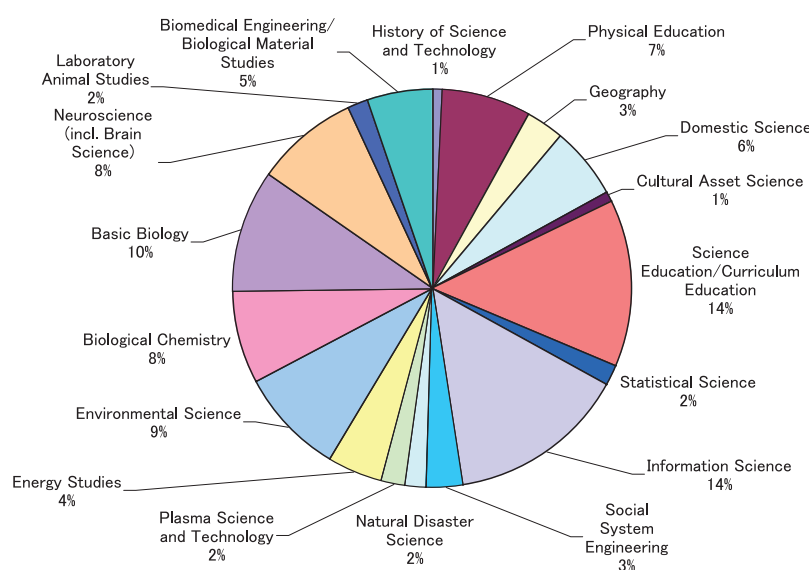


Fig. 8 Percentage of subjects in the interdisciplinary studies classification.

called Specially Promoted Research, Special Purpose Research, Creative Scientific Research (former Grants-in-Aid for New Program), and Center of Excellence (COE) Research. Since the project leaders of these research projects mostly belong to large national universities, many special research grants are allotted to the researchers in large national universities. In addition, large private universities are well represented, and some smaller universities are also highly ranked.

Fig. 11 shows the proportion of total budget broken

down according to the above-stated research categories for FY 2001.

In the case of Scientific Research on Priority Areas, as shown in Fig. 12, large national universities such as the University of Tokyo and Kyoto University occupy the top ranks. However, certain research institutes or graduate school universities, for example, Okazaki National Research Institutes, the Institute of Physical and Chemical Research and Nara Institute of Science and Technology are ranked higher in this category than they

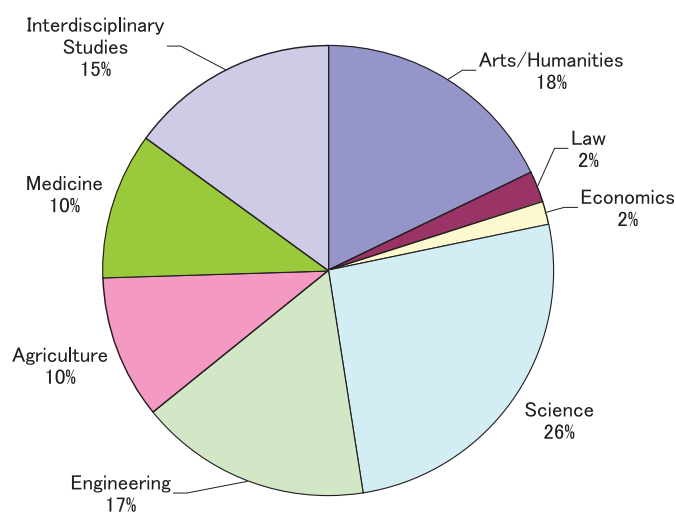


Fig. 9 Proportion of number of funded subjects for JSPS fellows broken down by research field (FY 2002).

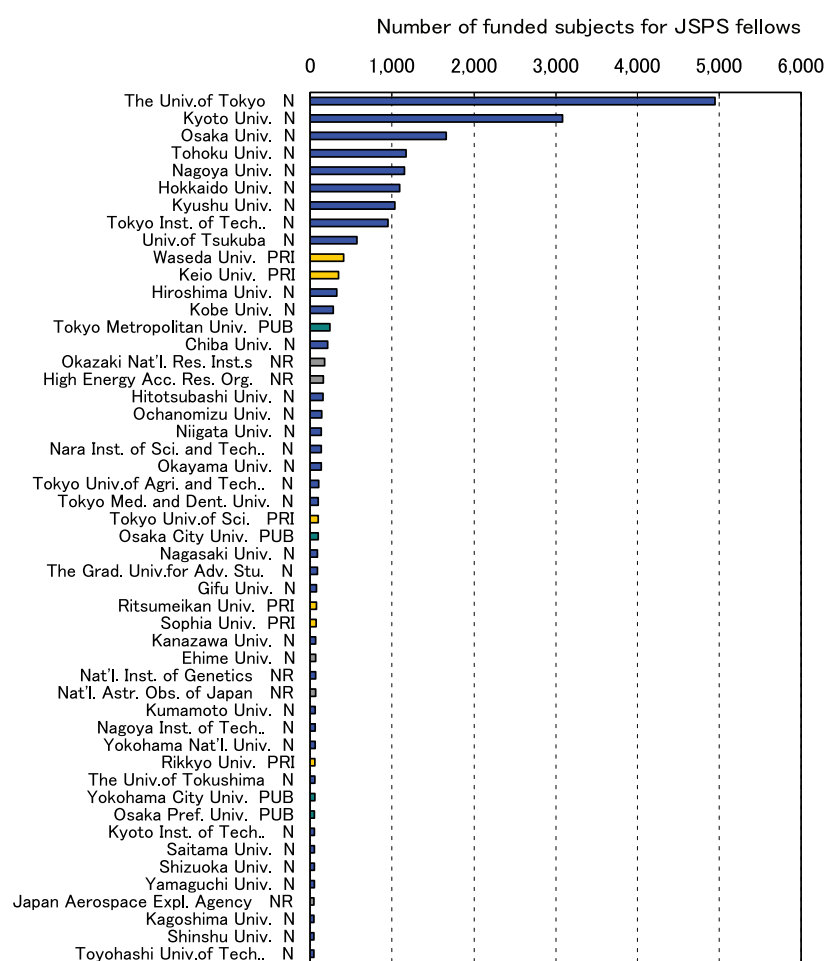


Fig. 10 Number of funded subjects for JSPS fellows by organization.

Table 8 Top 50 (30) organizations receiving grants for interdisciplinary and general studies.

Interdisciplinary Studies Total of 5 years					The Top 30 in General Studies Total of 5 years				
Ranking	Classification	Uni. Name	The # of Projects	The amount of funding	Ranking	Classification	Uni. Name	The # of Projects	The amount of funding
1	N	The Univ. of Tokyo	1,470	5,617,280	1	N	The Univ. of Tokyo	127	839,060
2	N	Kyoto Univ.	1,106	3,578,110	2	N	Kyoto Univ.	76	578,140
3	N	Osaka Univ.	1,095	3,277,680	3	N	Hokkaido Univ.	53	341,280
4	N	Tohoku Univ.	784	2,512,740	4	N	Osaka Univ.	36	234,760
5	N	Univ. of Tsukuba	729	1,694,090	5	N	Nagoya Univ.	32	177,970
6	N	Nagoya Univ.	728	2,164,910	6	N	Tohoku Univ.	30	173,290
7	N	Kyushu Univ.	670	1,742,030	7	N	Kyushu Univ.	23	103,200
8	N	Tokyo Inst. of Tech.	618	1,924,420	8	N	Hiroshima Univ.	22	112,560
9	N	Hokkaido Univ.	595	1,674,990	9	PRI	Keio Univ.	20	94,360
10	N	Hiroshima Univ.	544	1,015,060	10	N	Tokyo Inst. of Tech.	17	123,690
11	O	The Inst. of Phys. and Chem. Res.	350	698,600	10	N	Kobe Univ.	17	76,010
12	N	Kobe Univ.	301	692,080	12	PUB	Tokyo Metro.Univ.	16	88,440
13	N	Nara Inst. of Sci. and Tech.	259	662,990	13	N	Okayama Univ.	15	92,260
14	N	Kanazawa Univ.	230	614,270	14	N	Univ. of Tsukuba	14	52,600
15	PRI	Waseda Univ.	212	534,340	15	PRI	Tokai Univeristy	13	107,850
16	NR	Okazaki Nat'l Res. Inst.s	211	598,640	15	N	Tokyo Univ. of Agri. and Tech.	13	54,200
17	N	The Univ. of Tokushima	209	429,100	17	NR	High Energy Accelerator Res. Org.	12	95,650
18	N	Kumamoto Univ.	206	553,950	17	N	Chiba Univ.	12	76,360
19	N	Shizuoka Univ.	205	340,340	17	N	Hitotsubashi Univ.	12	76,070
20	N	Okayama Univ.	204	423,640	20	NR	Okazaki Nat'l Res. Inst.s	11	97,070
21	N	Niigata Univ.	201	426,980	20	N	Gifu Univ.	11	44,300
22	N	Gunma Univ.	197	400,880	22	PRI	Waseda Univ.	10	43,900
23	N	The Univ. of Electro-Comm.	196	316,900	22	N	Tokyo Univ. of Foreign Studies	10	41,900
24	PRI	Keio Univ.	190	496,900	22	PUB	Osaka City Univ.	10	32,300
25	NR	Nat'l Inst. for Fusion Sci.	186	388,190	25	N	Tokyo Med. and Dental Univ.	8	50,660
26	N	Chiba Univ.	185	324,920	25	N	Nagoya Inst. of Tech.	8	35,600
27	N	Japan Adv. Inst. of Sci. and Tech.	166	266,300	25	N	Kumamoto Univ.	8	32,100
28	N	Tokyo Med. and Dental Univ.	165	457,890	28	N	Kagoshima Univ.	7	46,840
29	N	Shinshu Univ.	158	277,020	28	O	The Museum Archaeological Inst. of Kashiwara, Nara Pref.	7	41,100
30	N	Kyushu Inst. of Tech.	156	227,900	28	N	Ibaraki Univ.	7	39,880
31	PUB	Tokyo Metro.Univ.	152	343,830	28	NR	Nat'l Astronomical Obs. of Japan	7	30,620
31	N	Tokyo Gakugei Univ.	152	242,720	28	N	Shizuoka Univ.	7	24,400
33	O	Tokyo Metro.Org. for Med. Res.	149	456,750	28	N	Hirosaki Univ.	7	16,300
33	N	Ehime Univ.	149	321,600					
35	PUB	Osaka City Univ.	146	330,850					
36	NR	Nat'l Inst. for Edu.Policy Res.	142	342,050					
37	N	Nara Women's Univ.	141	330,460					
38	N	Yamagata Univ.	133	223,200					
39	PRI	Tokyo Univ. of Sci.	132	219,700					
40	N	Mie Univ.	131	256,500					
41	N	Gifu Univ.	128	320,920					
42	PRI	Tokai Univeristy	127	241,890					
43	N	Yamaguchi Univ.	126	215,740					
44	N	Yokohama Nat'l Univ.	123	231,160					
45	PUB	Osaka Pref. Univ.	122	246,900					
45	N	Nagasaki Univ.	122	219,400					
47	N	Toyama Univ.	120	187,420					
48	PUB	Himeji Inst. of Tech.	116	242,100					
49	N	Ochanomizu Univ.	113	251,220					
50	J	Tsukuba College of Tech.	107	155,600					
		Total	24,946	57,002,740			Total	997	5,723,090

are in the general category of Scientific Research of Grants-in-Aid.

The Specially Promoted Research is for research regarded as likely to bring outstanding results and high evaluations at the international level. The funds for a research subject of this category are usually about 500 million yen. Fig. 13 shows the number of awarded subjects for each organization from FY 1998 to 2002. The

University of Tokyo and Kyoto University have especially large numbers of funded subjects. In contrast, universities below the tenth rank are hardly represented. The number of subjects funded in this category is not proportional to the scale of the organization. It is obvious that the subjects in this category are awarded according to the individual researcher's achievement.

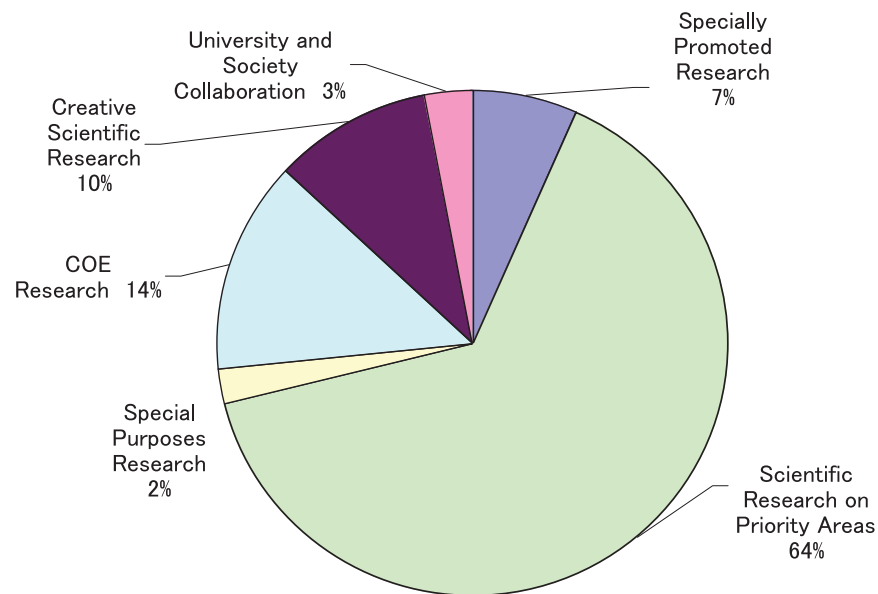


Fig. 11 Proportion of budget categories funded as Large-scale Projects (FY2001).

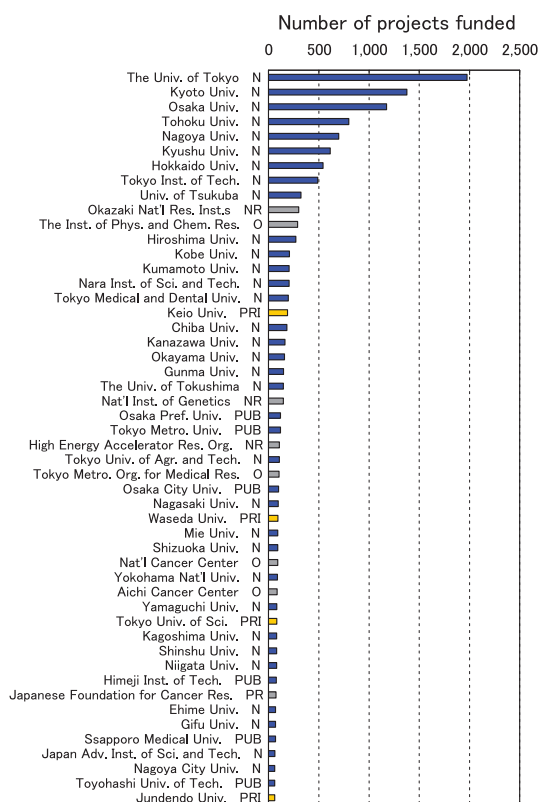


Fig. 12 Number of projects funded under Scientific Research on Priority Areas by organization (FY 1998–2002).

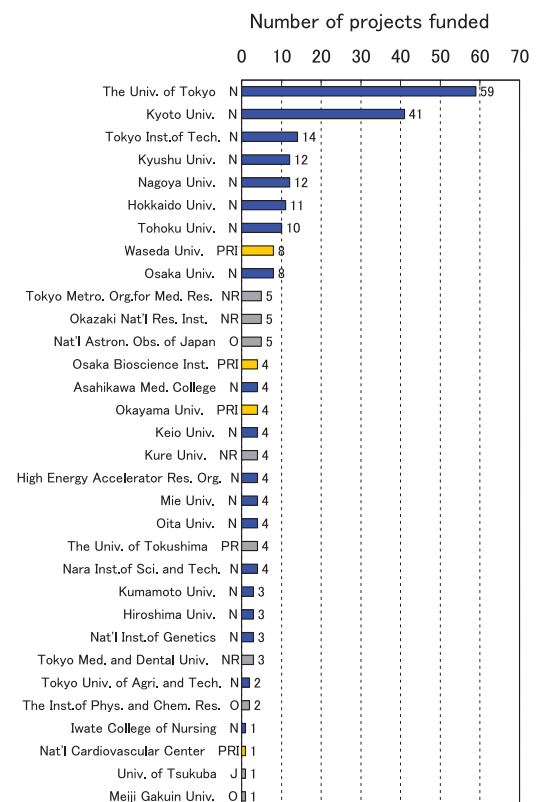


Fig. 13 Number of projects funded as Specially Promoted Research by organization (FY 1998–2002).

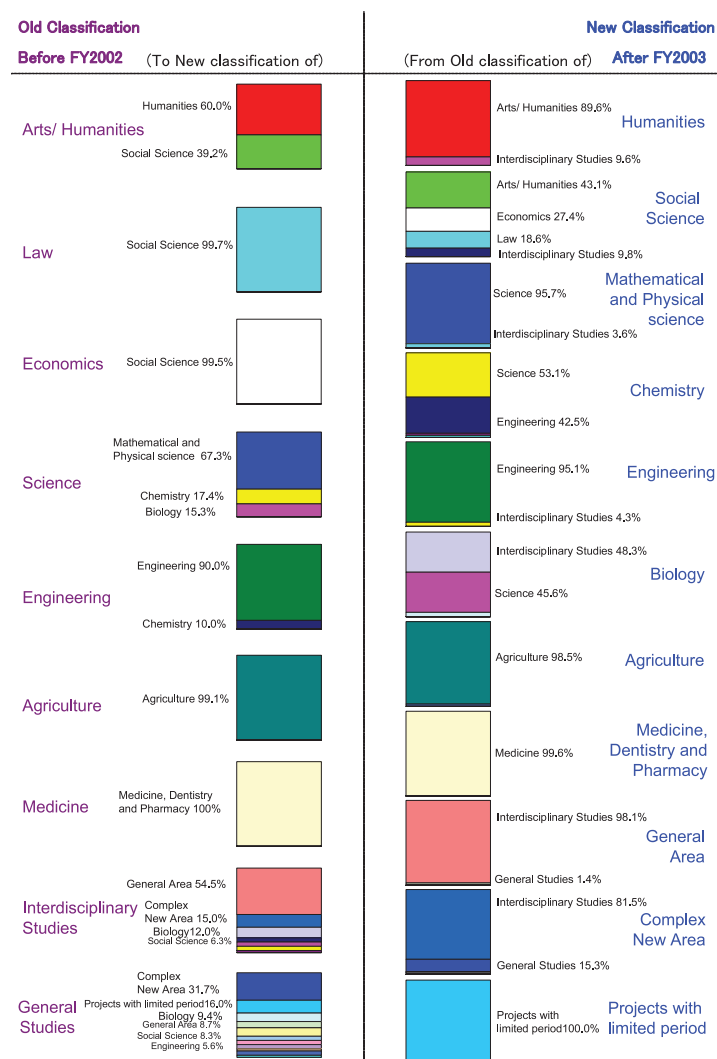


Fig. 14 Before and after the field classification change.

2.3 Situation in FY 2003 (after modification to field classification)

2.3.1 Outline of general analysis

According to development of the science and technology, the research field codes of Grants-in-Aid for Scientific Research have been revised every five years. In middle of FY 2002, a large modification was undertaken in the research field codes in response to the reply of the Council for Science and Technology [4] of MEXT. A new revised table of research field codes was used for the application for FY 2003 research projects.

The table was changed from nine areas, which consist of seven traditional fields (literature, law, economics, science, engineering, agriculture and medical science) and interdisciplinary and general areas to-

gether, to four research areas of general / complex new areas, humanities and social science, science and engineering, and biosciences. The sub-areas increased from 243 to 278, meanwhile the grant categories and budget by category were left almost unchanged. The classification table for application in FY 2003 is shown in Appendix 2.

Using the database for FY 2003, the research projects that are designated a “continuation” from FY 2002 were extracted from the grant category (including Scientific Research, Grants-in-Aid for Young Scientists, and Exploratory Research) to which the application work fields are given. Fig. 14 shows the relationship between the situations before and after the field classification change based on number of “continuation” re-

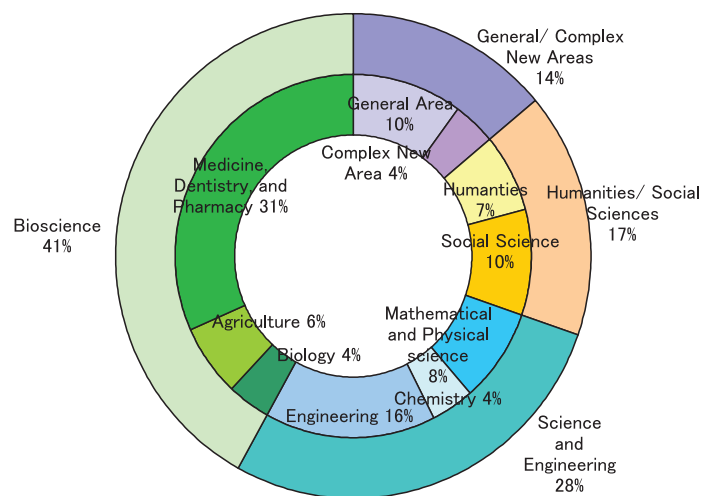


Fig. 15 Proportion of projects awarded in FY 2003 broken down by top class fields (outer) and specific area (inner).

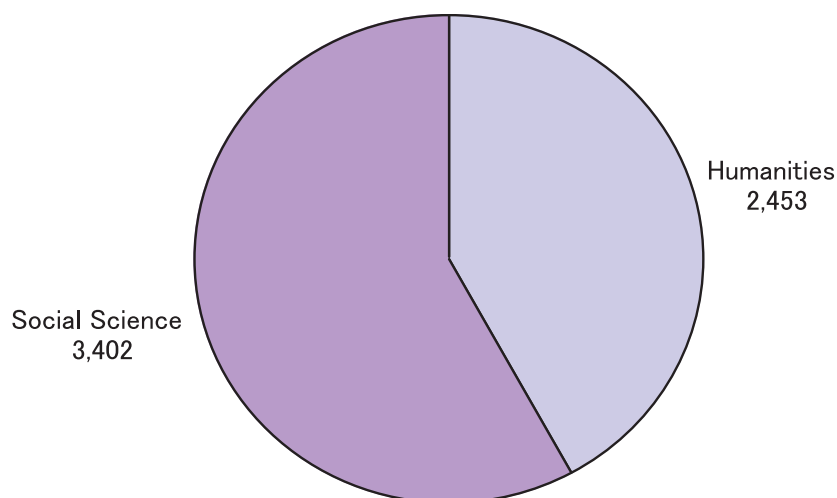


Fig. 16 Number of projects awarded in humanities/social sciences (2003 FY).

search projects. It shows the differences of the field classification in each area.

Fig. 15 shows the percentage of projects awarded in FY 2003 broken down by top class fields (outer) and specific area(inner).

2.3.2 Analysis of individual research areas

(1) Humanities and Social Sciences

In the humanities and social sciences, one of the major revisions was in the humanities classification. The fields of psychology and pedagogy (education) were shifted from the arts and humanities to the social sciences. Linguistics became a subfield in the humanities. Fig. 16 shows the number of funded projects broken

down by subject in the humanities and social sciences in FY 2003.

(2) Sciences and Engineering

In science and engineering, one of the major revisions was in the sciences category. The classification “Biology” was changed to “Biosciences”, “Chemistry” was identified as its own field, and “Applied Chemistry” and “Materials Science” became part of “Engineering”. Fig. 17 shows the number of awarded projects broken down by field in science and engineering in FY 2003.

(3) Biosciences

Biosciences comprise biology, agriculture, medical

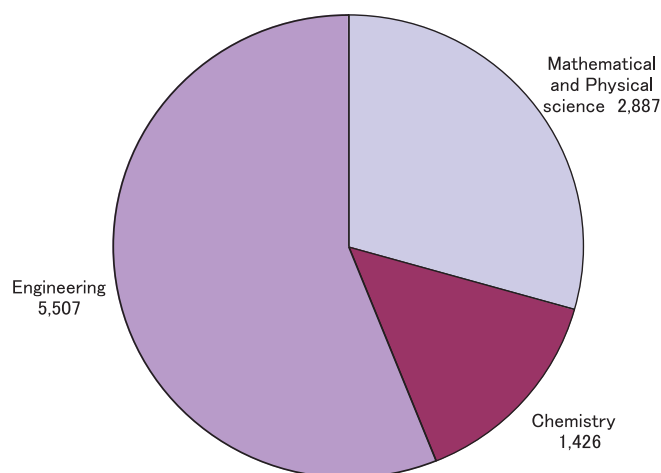


Fig. 17 Number of projects awarded in science and engineering (FY 2003).

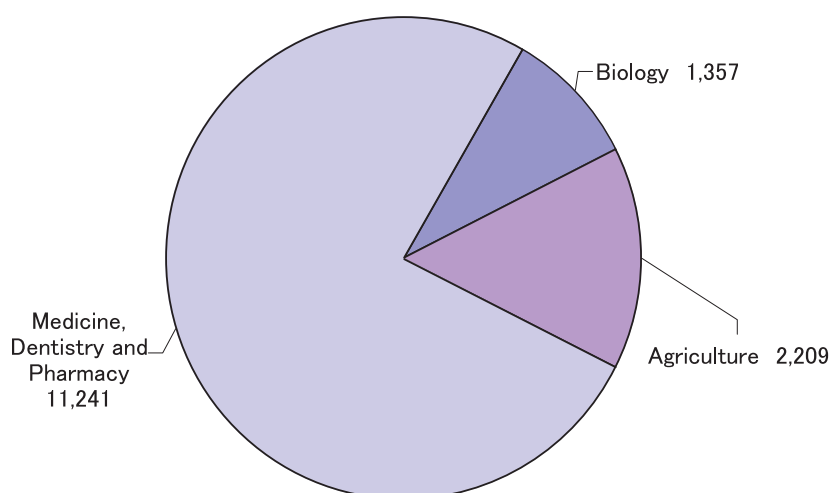


Fig. 18 Number of projects awarded in the Biosciences area (FY 2003).

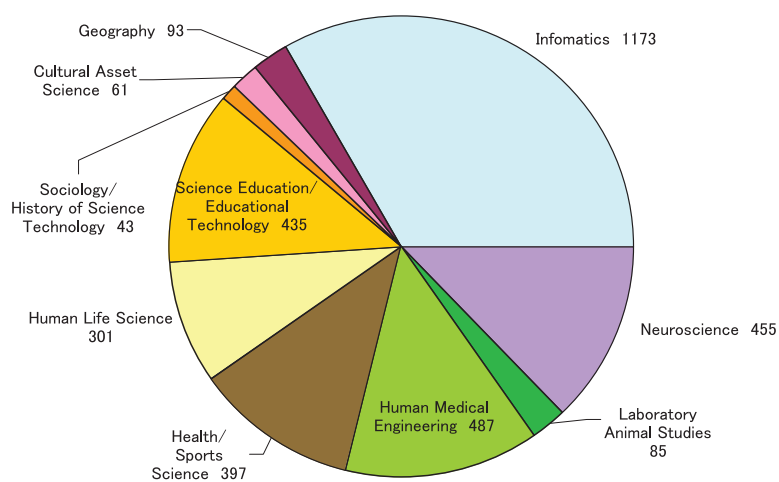


Fig. 19 Number of projects in the General area (FY 2003).

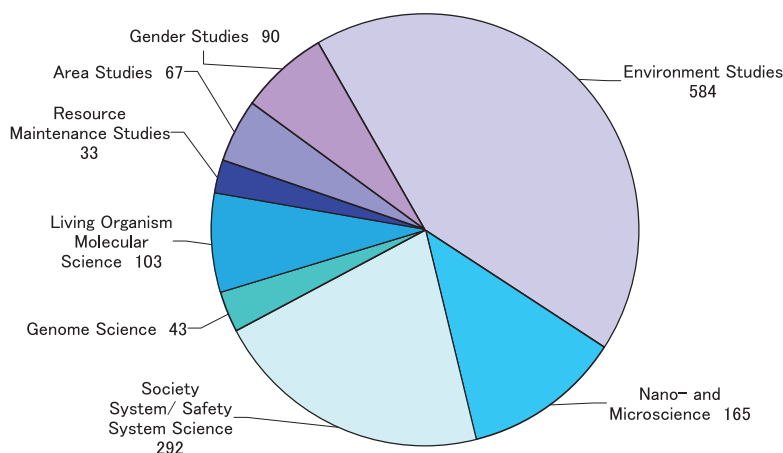


Fig. 20 Number of projects awarded in the Complex New areas (FY 2003).

science, dentistry, and pharmacy. The medical science category comprises basic medicine, internal medicine, and surgery. 31% of the total fund, which is the largest portion of the research budget, was allocated to the medical, dental, and pharmaceutical fields. Fig. 18 shows the number of projects awarded broken down by research field in the Biosciences area in FY 2003.

(4) General and Complex New Areas

The General and Complex New areas include subfields rearrangements of the previous Interdisciplinary Studies area. Figs. 19 and 20 show the breakdown of the General area and the Complex New research area. These areas include nano- and microsciences.

The total number of funded subjects in FY 2003 was tallied for each university and institute for new research fields and compared with the totals of 1998–2002 that were reported in the previous section and in NII Technical Reports. The results for the total number and amount of funds distributed by organization are also shown in the NII Technical Report. For more details, please refer to the NII Technical Report and related books. [6]–[18]

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Yoshitaka MITSUDA received a B.Eng. and M.Eng. degrees in Metallurgy from the University of Tokyo in 1984 and 1986, respectively. He earned Ph.D. from the University of Tokyo in 1989. He has been working at Institute of Industrial Science, the University of Tokyo as a Professor of Department of Materials and Environment Sciences since 1991. His current research areas include plasma chemistry for thin film deposition and politics on higher education.

Appendix 1 Research field classification table including the top and second level research areas for application in TY 2002.

Research Area (Top level)	Subfield (Level 2)	No. of sub-area (level 3)
Arts/Humanities	Philosophy	8
	Psychology/Sociology/Pedagogy/Cultural Anthropology	5
	History	4
	Literature	9
Law	Law	7
Economics	Economics	8
Science	Mathematics	5
	Astronomy	1
	Physics	5
	Earth Science	7
	Chemistry	6
	Biology	6
	Anthropology (including Physiological Anthropology)	1
Engineering	Applied Physics/Engineering Basics	5
	Machanical Engineering	7
	Electric and Electronic Engineering	7
	Civil Engineering	6
	Architectonics	4
	Material Engineering	6
	Process Engineering	5
	Industrial Chemistry	6
	Integrated Engineering	4
Agriculture	Agriculture	5
	Agricultural Chemistry	4
	Forestry	2
	Fishery studies	2
	Agronomy	1
	Agricultural Engineering	3
	Livestock Science/Veterinary Medicine	4
	Boundary Agriculture	2
Medicine	Physiology	6
	Pathology	6
	Social Medicine	3
	Internal Medicine	14
	Surgery	12
	Dentistry	8
	Pharmacy	5
	Medicine in General	4
	Nursing Science	2
Interdisciplinary Studies	History of Science and Technology (including Sociology of Science/Basic Theory of Science and Technology)	1
	Physical Education	1
	Geography	2
	Domestic Science	2
	Cultural Asset Science	1
	Science Education/Curriculum Education	4
	Statistical Science	1
	Information Science	3
	Social System Engineering	1
	Natural Disaster Science	1
	Plasma Science and Technology	1
	Energy Studies	3
	Environmental Science	3
	Biological Chemistry	3
	Basic Biology	4
	Neuroscience (including Brain Science)	4
	Laboratory Animal Studies	1
	Biomedical Engineering/Biological Material Studies	1
General Studies	General Studies	1

Appendix 2 Research field classification tables for application in FY 2003.

[Interdisciplinary and New Area]

Research Area	Subfield	No. of sub-area
General Area	Informatics	11
	Neuroscience	4
	Laboratory Animal Science	1
	Human Medical Engineering	3
	Health/Sports Science	3
	human life science	2
	Science Education/Educational Technology	2
	Sociology/History of Science and Technology	1
	Cultural Asset Science	1
	Geography	1
Complex New Area	Environment Studies	4
	Nano/Micro Science	3
	Society/Safety System Science	2
	Genome Science	2
	Living Organism Molecular Science	1
	Resource Maintenance Studies	1
	Area Studies	1
	Gender Studies	1

[Humanities and Social Sciences]

Research Area	Subfield	No. of sub-area
Humanities	Philosophy	6
	Literature	3
	Linguistics	5
	History	5
	Human Geography	1
	Cultural Anthropology	1
Social Science	Law	7
	Politics	2
	Economics	7
	Business Administration	3
	Sociology	2
	Psychology	4
	Pedagogy	4

[Science and Engineering]

Research Area	Subfield	No. of sub-area
Mathematical and Physical science	Mathematics	5
	Astronomy	1
	Physics	6
	Earth Planet Science	7
	Plasma Science	1
Chemistry	Basic Chemistry	3
	Compound Chemistry	6
	Material Chemistry	4
Engineering	Applied Physics/Engineering Basics	5
	Mechanical Engineering	7
	Electric and Electronic Engineering	7
	Civil Engineering	6
	Architectonics	4
	Material Science	6
	Process Engineering	4
	Integrated Engineering	7

[Biosciences]

Research Area	Subfield	No. of sub-area
Biology	Basic Biology	6
	Biological Science	7
	Anthropology	2
Agriculture	Agriculture	5
	Agricultural Chemistry	5
	Forestry	2
	Fishery Studies	2
	Agronomy	1
	Agricultural Engineering	3
	Livestock Science/Veterinary Science	5
	Boundary Agriculture	2
Medicine, Dentistry, and Pharmacy	Pharmacy	6
	Basic Medicine	13
	Boundary Medicine	3
	Social Medicine	3
	Internal Clinical Medicine	15
	Surgical Clinical Medicine	13
	Dentistry	9
	Nursing Science	3