

An Introduction to Program Verification with the Coq Proof Assistant

NII Lectures Series



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Outline

- 1 Introduction
- 2 Functional programming in Coq
- 3 Stating and proving properties
- 4 Program extraction
- 5 Bibliography

The Coq Proof Assistant I

ACM SIGPLAN Software Award 2013

The Coq proof assistant provides a rich environment for interactive development of machine-checked formal reasoning. Coq is having a profound impact on research on programming languages and systems [...] It has been widely adopted as a research tool by the programming language research community [...] Last but not least, these successes have helped to spark a wave of widespread interest in dependent type theory, the richly expressive core logic on which Coq is based.

[...] The Coq team continues to develop the system, bringing significant improvements in expressiveness and usability with each new release.

In short, Coq is playing an essential role in our transition to a new era of formal assurance in mathematics, semantics, and program verification.



The Coq Proof Assistant II

Foundations

- ▶ Calculus of inductive constructions
- ▶ Curry-Howard correspondance

```
Require Import List.
Require Import List.Notations.
Generalizable All Variables.

Fixpoint length `(l: list A) : nat :=
  match l with
  | [] => 0
  | x::xs => 1 + length xs
  end.

Lemma app_length:
  forall {A:Type}(l1 l2: list A),
    length(l1 ++ l2) = length l1 + length l2.
Proof.
  intro A; induction l1; intros l2.
  - trivial.
  - simpl. rewrite IHl1. reflexivity.
Qed.
```

2 subgoals, subgoal 1 (ID 21)

A : Type
l2 : list A

length ([] ++ l2) = length [] + length l2

subgoal 2 (ID 22) is:
length (a :: l1) ++ l2 = length (a :: l1) + length l2

Curry-Howard Correspondance

Natural Deduction

$$\begin{array}{l}
 (v) \frac{A \in \Gamma}{\Gamma \vdash A} \\
 (i) \frac{\Gamma, A \vdash B}{\Gamma \vdash A \rightarrow B} \\
 (a) \frac{\Gamma \vdash A \rightarrow B \quad \Gamma \vdash A}{\Gamma \vdash B}
 \end{array}$$

Simply Typed λ -Calculus

$$\begin{array}{l}
 (V) \frac{x : A \in \Gamma}{\Gamma \vdash x : A} \\
 (L) \frac{\Gamma, x : A \vdash e : B}{\Gamma \vdash (\lambda x : A. e) : A \rightarrow B} \\
 (A) \frac{\Gamma \vdash e : A \rightarrow B \quad \Gamma \vdash e' : A}{\Gamma \vdash (e e') : B}
 \end{array}$$

Curry-Howard Correspondance

Natural Deduction – Example 1

$$\begin{array}{l}
 (v) \frac{A \rightarrow C \in \Gamma}{\Gamma \vdash A \rightarrow C} \quad (v) \frac{A \in \Gamma}{\Gamma \vdash A} \\
 (a) \frac{\Gamma \equiv A, B, A \rightarrow C, B \rightarrow C \vdash C}{\Gamma \equiv A, B, A \rightarrow C, B \rightarrow C \vdash C} \\
 (i) \frac{A, B, A \rightarrow C \vdash (B \rightarrow C) \rightarrow C}{A, B \vdash (A \rightarrow C) \rightarrow (B \rightarrow C) \rightarrow C} \\
 (i) \frac{A \vdash B \rightarrow (A \rightarrow C) \rightarrow (B \rightarrow C) \rightarrow C}{\vdash A \rightarrow B \rightarrow (A \rightarrow C) \rightarrow (B \rightarrow C) \rightarrow C}
 \end{array}$$

Curry-Howard Isomorphism

For all formula there exists a proof of this formula in natural deduction if and only if there exists a λ -term that has this formula as type.

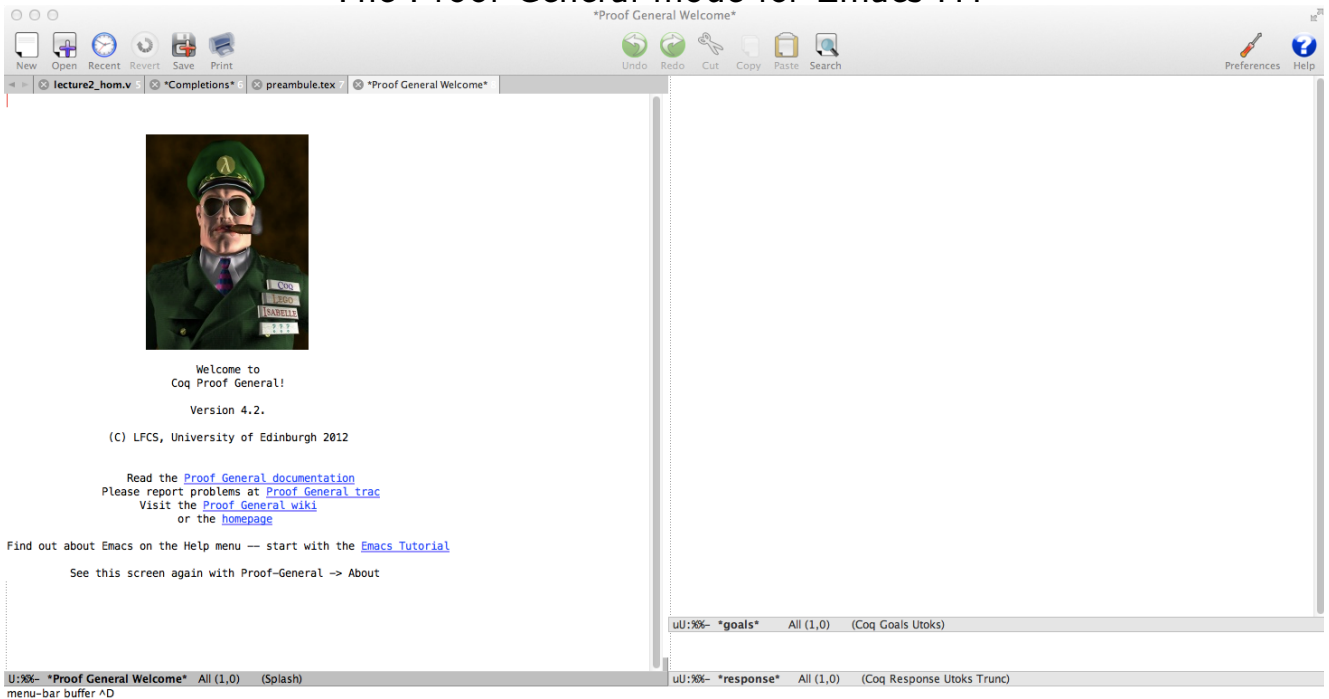
- ▶ Theorem statement \Leftrightarrow Type
- ▶ Proof \Leftrightarrow Program

Coq in practice

- ▶ Functional programming language
- ▶ Rich type system: allow to express logical properties
- ▶ Language for building proofs (ie proof terms)
- ▶ Program extraction

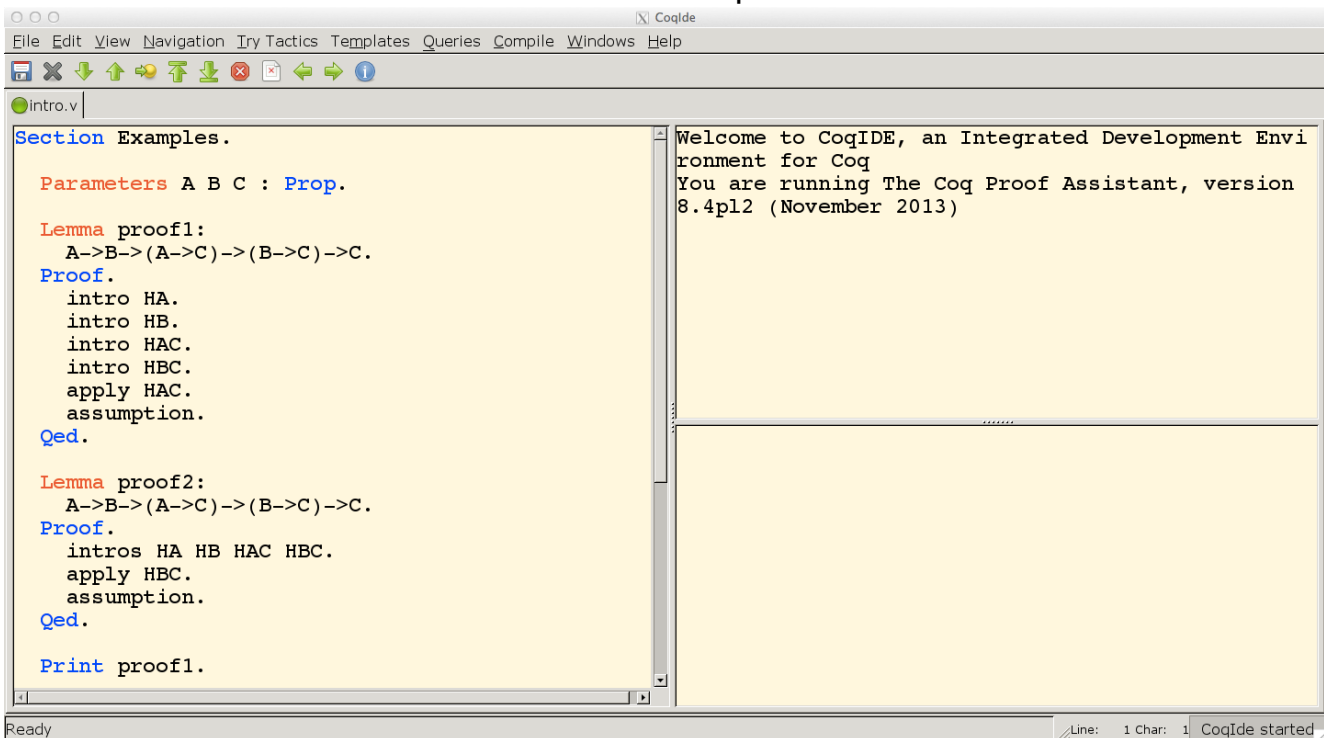
Previous examples in Coq

The Proof General mode for Emacs ...



Previous examples in Coq

... or the CoqIDE



Previous examples in Coq

We state a lemma and enter the interactive proof mode:

```
Section Examples.  
  
Parameters A B C : Prop.  
  
Lemma proof1:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intro HA.  
  intro HB.  
  intro HAC.  
  intro HBC.  
  apply HAC.  
  assumption.  
Qed.  
  
Lemma proof2:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intros HA HB HAC HBC.  
  apply HBC.  
  assumption.  
Qed.  
  
Print proof1.  
Print proof2.  
  
Definition proof3:  
  forall (A B C:Prop),A->B->(A->C)->(B->C)->C :=  
  ---- intro.v Top (8,0) (Coq Script1-) Holes  
AC ^N
```

1 subgoals, subgoal 1 (ID 4)

$$A \rightarrow B \rightarrow (A \rightarrow C) \rightarrow (B \rightarrow C) \rightarrow C$$

uJ:%%- *goals* All (4,0) (Coq Goals Utoks)
uJ:%%- *response* All (1,0) (Coq Response Utoks Trunc)

Previous examples in Coq

The tactic intro “apply” the (i) rule:

```
Section Examples.  
  
Parameters A B C : Prop.  
  
Lemma proof1:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intro HA.  
  intro HB.  
  intro HAC.  
  intro HBC.  
  apply HAC.  
  assumption.  
Qed.  
  
Lemma proof2:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intros HA HB HAC HBC.  
  apply HBC.  
  assumption.  
Qed.  
  
Print proof1.  
Print proof2.  
  
Definition proof3:  
  forall (A B C:Prop),A->B->(A->C)->(B->C)->C :=  
  fun A B C HA HB HAC HBC => (HAC HA).  
---- intro.v Top (9,0) (Coq Script1-) Holes  
AC ^N
```

1 subgoals, subgoal 1 (ID 5)

HA : A

$$B \rightarrow (A \rightarrow C) \rightarrow (B \rightarrow C) \rightarrow C$$

uJ:%%- *goals* All (5,0) (Coq Goals Utoks)
uJ:%%- *response* All (1,0) (Coq Response Utoks Trunc)

Previous examples in Coq

The context is now similar to Γ :

The screenshot shows the Coq IDE interface. On the left, the proof script is displayed in a light blue background. It defines three lemmas and a definition. The first lemma, `proof1`, is the focus of the current goal. The right pane shows the goal context, which lists the hypotheses `HA : A`, `HB : B`, `HAC : A → C`, and `HBC : B → C`. The goal itself is `C`. The status bar at the bottom indicates the current goal is `*goals*` with ID 8.

```
Section Examples.  
  
Parameters A B C : Prop.  
  
Lemma proof1:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intro HA.  
  intro HB.  
  intro HAC.  
  intro HBC.  
  apply HAC.  
  assumption.  
Qed.  
  
Lemma proof2:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intros HA HB HAC HBC.  
  apply HBC.  
  assumption.  
Qed.  
  
Print proof1.  
  
Print proof2.  
  
Definition proof3:  
  forall (A B C:Prop),A->B->(A->C)->(B->C)->C :=  
  fun A B C HA HB HAC HBC => (HAC HA).
```

Previous examples in Coq

We apply rule (a) by naming the implication part:

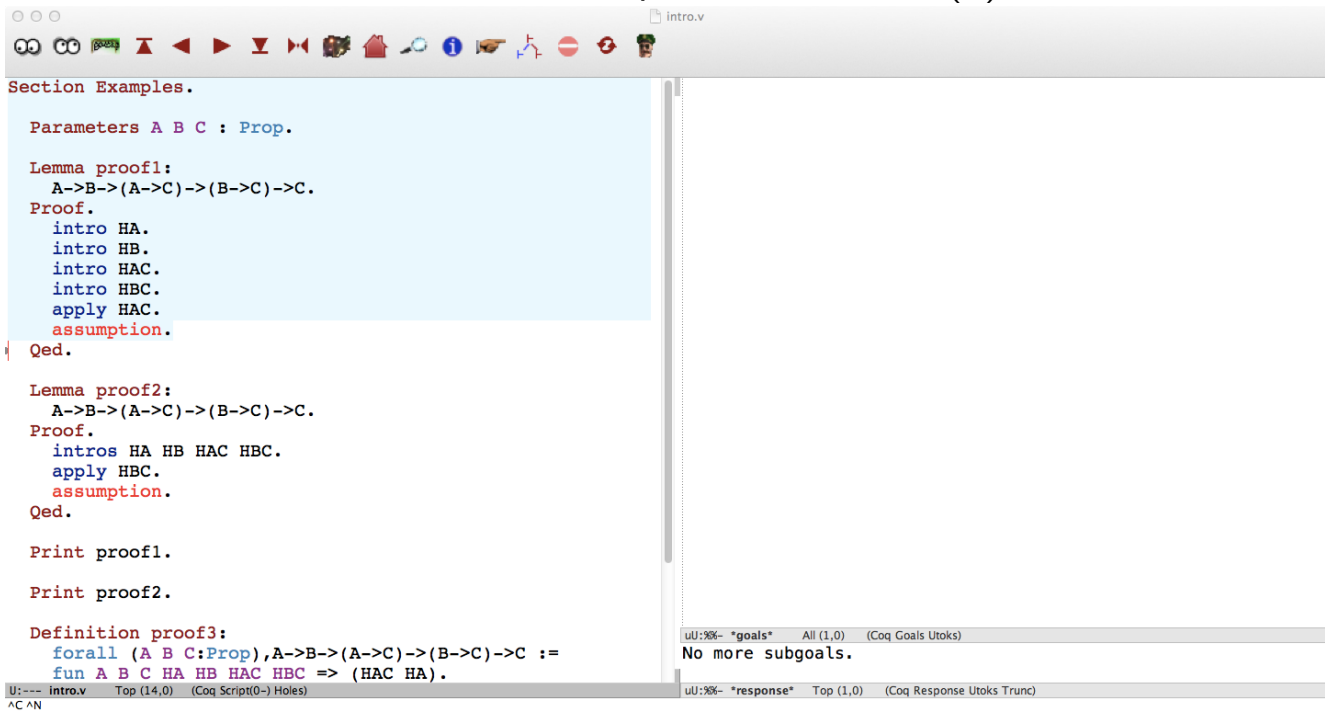
The screenshot shows the Coq IDE interface. The proof script on the left is identical to the previous slide, but the goal context on the right has changed. The hypotheses `HA : A`, `HB : B`, and `HBC : B → C` are still present, but `HAC` is no longer listed. The goal is now `A`. The status bar at the bottom indicates the current goal is `*goals*` with ID 9.

```
Section Examples.  
  
Parameters A B C : Prop.  
  
Lemma proof1:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intro HA.  
  intro HB.  
  intro HAC.  
  intro HBC.  
  apply HAC.  
  assumption.  
Qed.  
  
Lemma proof2:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intros HA HB HAC HBC.  
  apply HBC.  
  assumption.  
Qed.  
  
Print proof1.  
  
Print proof2.  
  
Definition proof3:  
  forall (A B C:Prop),A->B->(A->C)->(B->C)->C :=  
  fun A B C HA HB HAC HBC => (HAC HA).
```

and so now we have only to deal with A ...

Previous examples in Coq

... that is an assumption, we use rule (v):

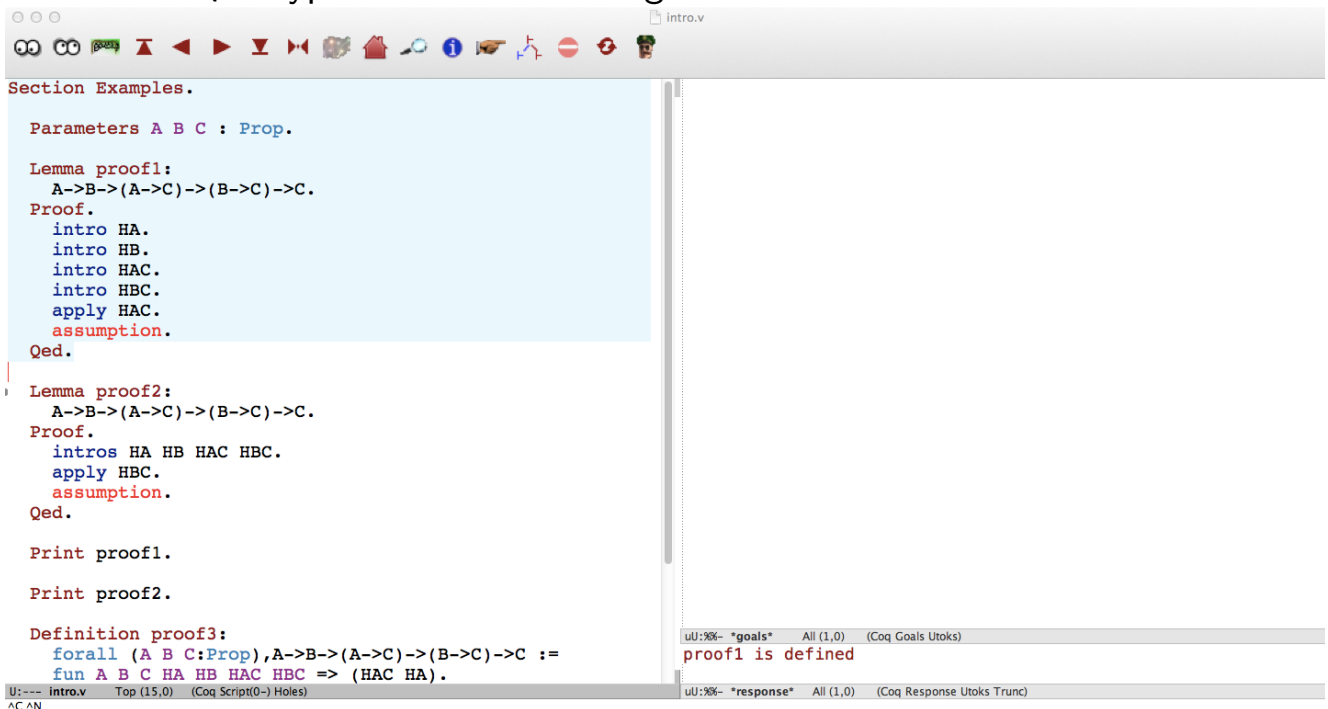


```
Section Examples.  
  
Parameters A B C : Prop.  
  
Lemma proof1:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intro HA.  
  intro HB.  
  intro HAC.  
  intro HBC.  
  apply HAC.  
  assumption.  
Qed.  
  
Lemma proof2:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intros HA HB HAC HBC.  
  apply HBC.  
  assumption.  
Qed.  
  
Print proof1.  
  
Print proof2.  
  
Definition proof3:  
  forall (A B C:Prop), A->B->(A->C)->(B->C)->C :=  
  fun A B C HA HB HAC HBC => (HAC HA).  
uU:~%~ *goals* All (1,0) (Coq Goals Utoks)  
No more subgoals.  
uU:~%~ *response* Top (1,0) (Coq Response Utoks Trunc)
```

“No more subgoals” \equiv proof done \equiv λ -term built

Previous examples in Coq

Qed typechecks the term against the lemma statement:



```
Section Examples.  
  
Parameters A B C : Prop.  
  
Lemma proof1:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intro HA.  
  intro HB.  
  intro HAC.  
  intro HBC.  
  apply HAC.  
  assumption.  
Qed.  
  
Lemma proof2:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intros HA HB HAC HBC.  
  apply HBC.  
  assumption.  
Qed.  
  
Print proof1.  
  
Print proof2.  
  
Definition proof3:  
  forall (A B C:Prop), A->B->(A->C)->(B->C)->C :=  
  fun A B C HA HB HAC HBC => (HAC HA).  
uU:~%~ *goals* All (1,0) (Coq Goals Utoks)  
proof1 is defined  
uU:~%~ *response* All (1,0) (Coq Response Utoks Trunc)
```

Previous examples in Coq

Second version, we do multiple intro:

The screenshot shows the Coq IDE interface. The left pane contains the following code:

```
Section Examples.  
  
Parameters A B C : Prop.  
  
Lemma proof1:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intro HA.  
  intro HB.  
  intro HAC.  
  intro HBC.  
  apply HAC.  
  assumption.  
Qed.  
  
Lemma proof2:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intros HA HB HAC HBC.  
  apply HBC.  
  assumption.  
Qed.  
  
Print proof1.  
  
Print proof2.  
  
Definition proof3:  
  forall (A B C:Prop), A->B->(A->C)->(B->C)->C :=  
  fun A B C HA HB HAC HBC => (HAC HA).
```

The right pane shows the current goal state:

```
1 subgoals, subgoal 1 (ID 19)  
  
HA : A  
HB : B  
HAC : A → C  
HBC : B → C  
-----  
C
```

At the bottom, there are status bars for goals and responses.

Previous examples in Coq

and apply HBC instead of apply HAC:

The screenshot shows the Coq IDE interface. The left pane contains the following code:

```
Section Examples.  
  
Parameters A B C : Prop.  
  
Lemma proof1:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intro HA.  
  intro HB.  
  intro HAC.  
  intro HBC.  
  apply HAC.  
  assumption.  
Qed.  
  
Lemma proof2:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intros HA HB HAC HBC.  
  apply HBC.  
  assumption.  
Qed.  
  
Print proof1.  
  
Print proof2.  
  
Definition proof3:  
  forall (A B C:Prop), A->B->(A->C)->(B->C)->C :=  
  fun A B C HA HB HAC HBC => (HAC HA).
```

The right pane shows the current goal state:

```
proof2 is defined
```

At the bottom, there are status bars for goals and responses.

Previous examples in Coq

Print `t`. prints the term `t`:

```
Section Examples.  
  
Parameters A B C : Prop.  
  
Lemma proof1:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intro HA.  
  intro HB.  
  intro HAC.  
  intro HBC.  
  apply HAC.  
  assumption.  
Qed.  
  
Lemma proof2:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intros HA HB HAC HBC.  
  apply HBC.  
  assumption.  
Qed.  
  
Print proof1.  
Print proof2.  
  
Definition proof3:  
  forall (A B C:Prop),A->B->(A->C)->(B->C)->C :=  
  fun A B C HA HB HAC HBC => (HAC HA).
```

uU:--- *goals* All (1,0) (Coq Goals Utoks)
proof1 =
fun (HA : A) (_ : B) (HAC : A → C) (_ : B → C) => HAC HA
: A → B → (A → C) → (B → C) → C

uU:%%- *response* All (1,0) (Coq Response Utoks Trunc)

It is the λ -term we constructed “by hand”

Previous examples in Coq

The λ -term for the second proof is:

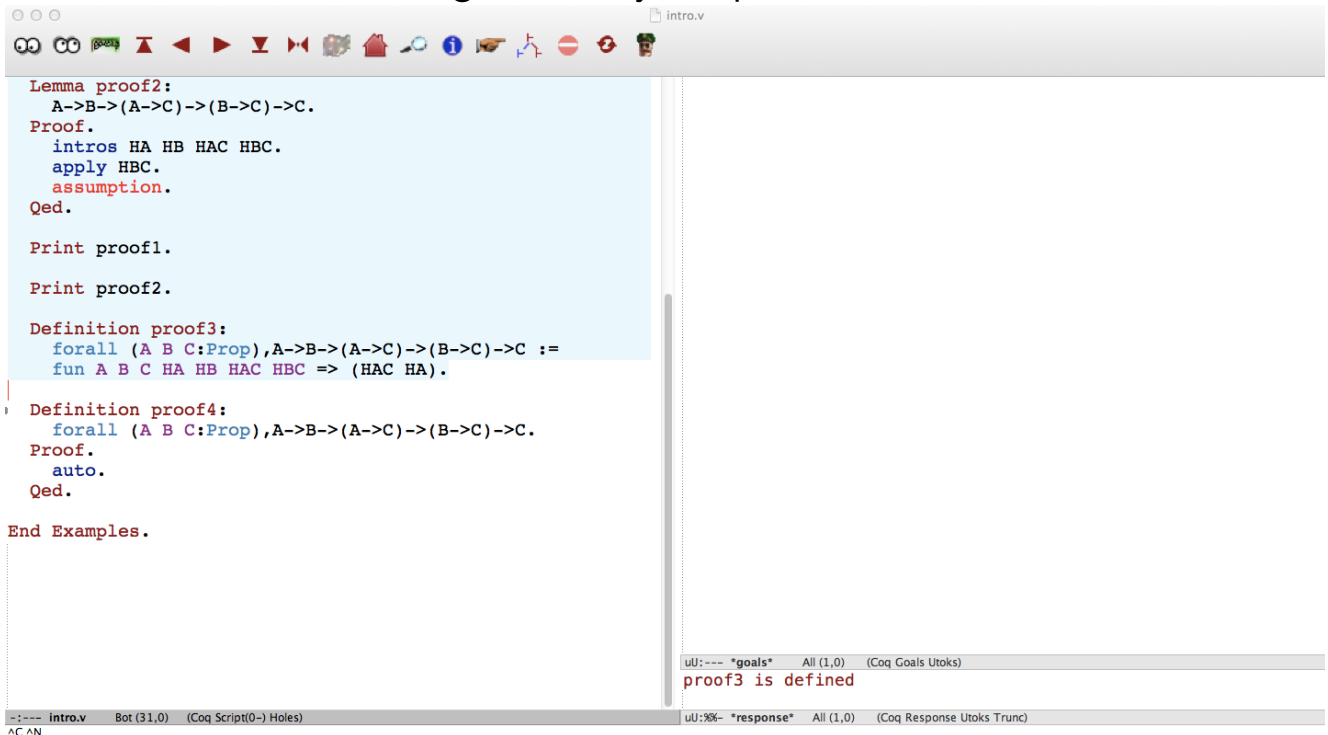
```
Section Examples.  
  
Parameters A B C : Prop.  
  
Lemma proof1:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intro HA.  
  intro HB.  
  intro HAC.  
  intro HBC.  
  apply HAC.  
  assumption.  
Qed.  
  
Lemma proof2:  
  A->B->(A->C)->(B->C)->C.  
Proof.  
  intros HA HB HAC HBC.  
  apply HBC.  
  assumption.  
Qed.  
  
Print proof1.  
Print proof2.  
  
Definition proof3:  
  forall (A B C:Prop),A->B->(A->C)->(B->C)->C :=  
  fun A B C HA HB HAC HBC => (HAC HA).
```

uU:--- *goals* All (1,0) (Coq Goals Utoks)
proof2 =
fun (_ : A) (HB : B) (_ : A → C) (HBC : B → C) => HBC HB
: A → B → (A → C) → (B → C) → C

uU:%%- *response* All (1,0) (Coq Response Utoks Trunc)

Previous examples in Coq

We could give directly the proof as a λ -term:



```
Lemma proof2:
  A->B->(A->C)->(B->C)->C.
Proof.
  intros HA HB HAC HBC.
  apply HBC.
  assumption.
Qed.

Print proof1.

Print proof2.

Definition proof3:
  forall (A B C:Prop),A->B->(A->C)->(B->C)->C :=
  fun A B C HA HB HAC HBC => (HAC HA).

Definition proof4:
  forall (A B C:Prop),A->B->(A->C)->(B->C)->C.
Proof.
  auto.
Qed.

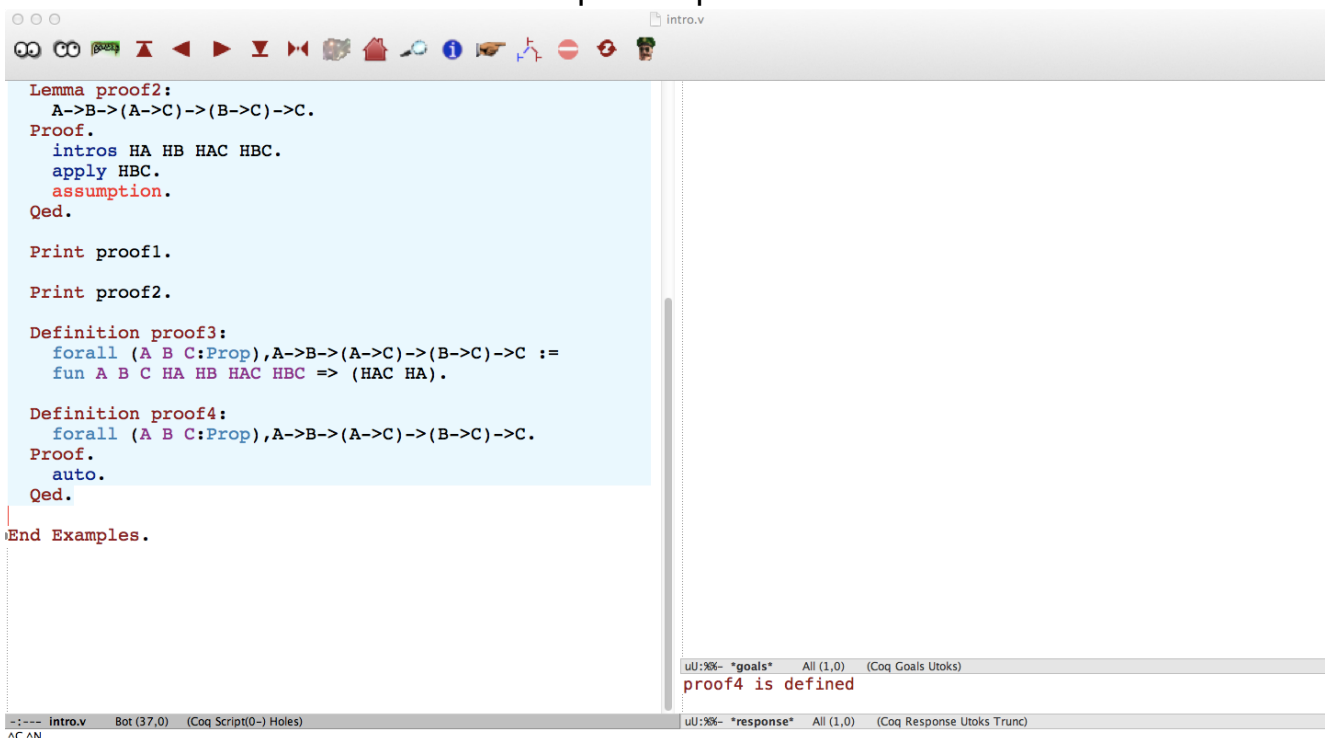
End Examples.
```

uJ:--- *goals* All (1,0) (Coq Goals Utoks)
proof3 is defined

uJ:%%- *response* All (1,0) (Coq Response Utoks Trunc)

Previous examples in Coq

... or use Coq more powerful tactics:



```
Lemma proof2:
  A->B->(A->C)->(B->C)->C.
Proof.
  intros HA HB HAC HBC.
  apply HBC.
  assumption.
Qed.

Print proof1.

Print proof2.

Definition proof3:
  forall (A B C:Prop),A->B->(A->C)->(B->C)->C :=
  fun A B C HA HB HAC HBC => (HAC HA).

Definition proof4:
  forall (A B C:Prop),A->B->(A->C)->(B->C)->C.
Proof.
  auto.
Qed.

End Examples.
```

uJ:%%- *goals* All (1,0) (Coq Goals Utoks)
proof4 is defined

uJ:%%- *response* All (1,0) (Coq Response Utoks Trunc)

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Inductive definitions

```
Inductive bool :=  
| true : bool  
| false : bool.
```

```
Definition and (b1 b2: bool) : bool :=  
  match b1 with  
  | false => false  
  | true => b2  
  end.
```

```
Print bool.
```

```
Check bool.
```

```
Print and.
```

```
Check and.
```

For “data-structures”,
inductive definitions
are ML-like

Function definition by
pattern-matching

Check returns the type
of a term

Dependent types

An inductive definition could depend on any kind of term:

- ▶ a type as in usual polymorphic definitions
- ▶ any other term

Lists

- ▶ OCaml:

```
type 'a list =  
  | nil | cons of 'a * 'a list
```

- ▶ Haskell:

```
data List a =  
  | Nil a | Cons a (List a)
```

- ▶ Coq:

```
Inductive list (A:Type) :=  
  | nil : list A  
  | cons: A → list A → list A.
```

Subsets and sigma-types

```
Inductive sig{A:Type}{P:A→Prop}:Type:=  
  exist : ∀ x : A, P x → @sig A P.
```

Recursive functions and notations

```
Inductive list (A:Type) :=  
  | nil : list A  
  | cons: A → list A → list A.
```

Arguments nil [A].

Arguments cons [A] - ..

```
Fixpoint app {A:Type}(xs ys :list A) : list A :=  
  match xs with  
    | nil ⇒ ys  
    | cons x xs ⇒ cons x (app xs ys)  
  end.
```

Notation "[]" := nil.

Notation "x :: xs" := (cons x xs).

Notation "[x1 ; .. ; x2]" :=
 (cons x1 .. (cons x2 []) ..).

Notation "l1 ++ l2" := (app l1 l2).

To avoid to provide the type parameter of lists, for both `nil` and `cons`, the type argument is made *implicit*

Recursive functions must be terminating. Simple case: recursive call on a syntactic sub-term of an argument

Usual notations for lists

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 - More tactics
 - Homomorphism theorems on lists
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Proofs by induction

Require Import *list_part1*.

Lemma *app_nil_l*:

$\forall (A:\text{Type})(xs:\text{list } A),$
 $[] ++ xs = xs.$

Proof.

intros *A xs*.
simpl.
reflexivity.

Qed.

Lemma *app_nil_r*:

$\forall (A:\text{Type})(xs:\text{list } A),$
 $xs ++ [] = xs.$

Proof.

intros *A xs*.
induction *xs*.
- trivial.
- simpl. rewrite *IHxs*. trivial.

Qed.

Tactics

simpl: reduction of all the expressions in the goal

reflexivity: ends the proof if the goal has the form $e = e$

induction *e*: applies the induction principle associated to the type of *e*. Creates one sub-goal by induction case.

rewrite *H*: if *H* has the form $\forall \dots, L = R$ finds the first sub-term that matches *L* in the goal, resulting in instances *L'* and *R'*, then replaces all *L'* by *R'*. If *H* is conditional, creates new sub-goals.

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Monoids: A First Definition

Definition *associative* $\{A:\text{Type}\}(f:A\rightarrow A\rightarrow A) : \text{Prop} :=$
 $\forall a b c : A, f (f a b) c = f a (f b c).$

Definition *left_neutral* $\{A:\text{Type}\}(f:A\rightarrow A\rightarrow A)(e:A) : \text{Prop} :=$
 $\forall a, f e a = a.$

Definition *right_neutral* $\{A:\text{Type}\}(f:A\rightarrow A\rightarrow A)(e:A) : \text{Prop} :=$
 $\forall a, f a e = a.$

Definition *monoid* $\{A:\text{Type}\}(f:A\rightarrow A\rightarrow A)(e:A) : \text{Prop} :=$
 $\text{associative } f \wedge \text{left_neutral } f e \wedge \text{right_neutral } f e.$

Monoids: $(\mathbb{N}, +, 0)$ is a monoid

Require Import *hom_defs*.

Lemma *monoid_plus_0* : *monoid plus 0*.

Proof.

```
split.
- intros a b c.
  induction a as [ | a Ha].
  + trivial.
  + simpl. rewrite Ha. trivial.
- split.
  + intro a. trivial.
  + induction a as [ | a Ha].
    × trivial.
    × simpl. rewrite Ha. trivial.
```

Qed.

Tactics

split: splits a conjunctive goal into two sub-goals

induction e as pattern: applies the induction principle for e using *pattern* for naming the newly introduction terms.

$[n_1 n_2]$: conjunctive pattern

$[n_1|n_2]$: disjunctive pattern

trivial: ends the proof either by

Folds: Definitions

Require Import *list*.

```
Fixpoint foldr {A B:Type}(op:A→B→B)(e:B)(xs:list A) : B :=
  match xs with
  | [] ⇒ e
  | x::xs ⇒ op x (foldr op e xs)
  end.
```

```
Fixpoint foldl {A B:Type}(op:A→B→A)(e:A)(xs:list B) : A :=
  match xs with
  | [] ⇒ e
  | x::xs ⇒ foldl op (op e x) xs
  end.
```

Folds: a Lemma

Require Import *monoid_defs fold_defs*.

Lemma *fold*:

```
∀ (A:Type)(op:A→A→A)(e:A),
  monoid op e →
  ∀ xs, foldr op e xs = foldl op e xs.
```

Proof.

```
intros A op e Hmonoid xs.
destruct Hmonoid as [Ha [Hl Hr]].
induction xs as [ | x xs Hxs].
- trivial.
- simpl. rewrite Hxs. clear Hxs.
  rewrite Hl. generalize x. clear x.
  induction xs.
  + intro x. simpl. apply Hr.
  + intro x. simpl. rewrite Hl.
    rewrite ← IHxs with (x:=op x a).
    rewrite ← IHxs, Ha.
    trivial.
```

Qed.

destruct: splits a conjunctive (or disjunctive, or existential) *hypothesis* into two hypotheses. Could use the same renaming scheme than induction.

clear *H*: removes hypothesis *H* from the context.

generalize *x*: generalize the goal with respect to one of its sub-terms.

rewrite \leftarrow *H*: rewrites using the equality *H* from right to left.

rewrite *H1*, *H2*: rewrite using *H1*, then using *H2*.

rewrite *H* **with** (*v*:=*t*): if *H* is a universally quantified equality, binding variable *v*, specifies that *v* should be *t*.

Homomorphisms

Require Export list monoid_defs.

Definition homomorphic {A B:Type}
(h:list A → B)(op:B→B→B) : Prop :=
∀ xs ys, h(xs ++ ys) = op (h xs) (h ys).

From [4]

Fixpoint hom {A B:Type}(op:B→B→B)(e:B)
(mon:monoid op e)(f:A→B)(xs:list A) : B :=
match xs with
| [] ⇒ e
| x::xs ⇒ op (f x) (hom op e mon f xs)
end.

Definition ext_eq {A B:Type}(f g:A→B) : Prop :=
∀ a:A, f a = g a.

Notation "f == g" :=(ext_eq f g)(at level 40).

If f and g are functions, in Coq $f = g$ iff f and g are exactly the same. We want an equivalence relation that relates functions if their extensions are the same.

Homomorphisms: A Simple Property

Require Import hom_defs.

Tactics

Lemma homomorphic_hom:

∀{A B:Type}(h:list A→B)(op:B→B→B)
(Hom: homomorphic h op)
(Mon: monoid op (h [])),
h ≡ hom op (h []) Mon (fun x⇒h[x]).

Proof.

intros A B h op Hom Mon xs.
induction xs as [|x xs IH].
- trivial.
- simpl.
change (x::xs) with ([x]++xs).
rewrite Hom.
rewrite IH.
trivial.

Qed.

change e with e':
replaces e with e' in the goal if e and e' are convertible

First Homomorphism Theorem

Require Import *hom_defs*.

Theorem *First_Homomorphism_Theorem*:

$$\forall\{A B:\text{Type}\}(op:B\rightarrow B\rightarrow B)(e:B) \\ (m:\text{monoid } op \ e)(f:A\rightarrow B), \\ \text{hom } op \ e \ m \ f \equiv (\text{hom } op \ e \ m \ (@id \ B)) \cdot \text{map } f.$$

Proof.

```
intros A B op e m f xs.
induction xs as [| x xs IH].
- trivial.
- simpl. now f_equal.
```

Qed.

Tactics, notation, and tactical

@e: if *e* has implicit parameters, makes them explicit.

f_equal: if the goal is $f \ e_1 \ \dots \ e_n = g \ e'_1 \ \dots \ e'_n$ creates subgoals $f = g$, $e_1 = e'_1, \dots, e_n = e'_n$ and solves the simple ones.

now T: applies tactic *T* and if it generates sub-goals tries to solve them automatically. Fails if all subgoals are not proved automatically.

Second Homomorphism Theorem I

Require Import *fold_defs* *hom_defs*.

Theorem *Second_Homomorphism_Theorem*:

$$\forall\{A B:\text{Type}\}(op:B\rightarrow B\rightarrow B)(e:B) \\ (m:\text{monoid } op \ e)(f:A\rightarrow B), \\ (\text{let } oplus := \text{fun } a \ s \Rightarrow op \ (f \ a) \ s \text{ in} \\ \text{hom } op \ e \ m \ f \equiv \text{foldr } oplus \ e) \wedge \\ (\text{let } otimes := \text{fun } r \ a \Rightarrow op \ r \ (f \ a) \text{ in} \\ \text{hom } op \ e \ m \ f \equiv \text{foldl } otimes \ e).$$

Proof.

```
intros A B op e m f.
split.
- intros oplus xs.
  induction xs as [| | x xs IH].
  + trivial.
  + simpl. unfold oplus. now f_equal.
```

Tactics

unfold e: replaces *e* by its definition.

Second Homomorphism Theorem II

```
- intros otimes xs.
  induction xs as [ | x xs IH].
+ trivial.
+ unfold otimes. simpl.
  destruct m as [Ha [Hnl Hnr]].
  rewrite Hnl, IH.
  clear IH. generalize (f x). clear x.
  induction xs as [ | x xs IH].
  × trivial.
  × intro b. simpl.
    rewrite ← IH with (b:=op b (f x)).
    rewrite ← IH.
    rewrite Ha.
    repeat f_equal.
    unfold otimes. rewrite Hnl.
    trivial.
```

Qed.

Outline

- 1 Introduction
- 2 Functional programming in Coq
- 3 Stating and proving properties
 - More tactics
 - Homomorphism theorems on lists
 - Partial functions
- 4 Program extraction
- 5 Bibliography

Operator of a homomorphic function

For a binary operator \odot , the list function h is \odot -homomorphic iff, for all lists x and y :

$$h(x ++ y) = (hx) \odot (hy)$$

Note that \odot is necessarily associative on the range of h [...]

Moreover, necessarily $h []$ is the unit of \odot on the range of h

- ▶ we need to deal with partial functions
- ▶ but all functions are total in Coq

Partial functions

Ways to deal with partiality using only total functions:

Function returning an optional value

```
Inductive option (A : Type) : Type :=
```

```
| Some : A → option A
```

```
| None : option A.
```

```
Require Import list.
```

```
Fixpoint nth_option {A:Type} (n:nat) (xs:list A):option A:=
```

```
  match xs with
```

```
  | [] ⇒ None
```

```
  | x::xs ⇒
```

```
    match n with
```

```
    | 0 ⇒ Some x
```

```
    | S n ⇒ nth_option n xs
```

```
    end
```

```
  end.
```

Partial functions

Ways to deal with partiality using only total functions:

Function taking an **additional parameter**

that is returned if outside the range:

`Require Import list.`

```
Fixpoint nth {A:Type} (n:nat) (xs:list A) (default:A): A :=
  match xs with
  | [] => default
  | x::xs =>
    match n with
    | 0 => x
    | S n => nth n xs default
    end
  end.
```

Partial functions

Ways to deal with partiality using only total functions:

Function with **pre-conditions** on the parameters

`Require Import list.`

`Require Import Omega Program.`

```
Local Obligation Tactic :=
  (program_simpl; simpl in *; omega).
```

```
Program Fixpoint nth_pre {A:Type} (n:nat) (xs:list A)
  (H: n < length xs): A :=
  match xs with
  | [] => -
  | x::xs => match n with
    | 0 => x
    | S n => nth_pre n xs _
    end
  end.
```


Partial functions

Ways to deal with partiality using only total functions:

Function with **pre-conditions** on the parameters

```
Program Fixpoint nth_sig {A:Type}(xs:list A)
  (n:{n:nat|n < length xs}): A :=
  match xs with
  | [] => -
  | x::xs => match n with
              | 0 => x
              | S n => nth_sig xs n
            end
  end.
```

- ▶ where $\{x : A \mid P\ x\}$ is a notation for `@sig A P`
- ▶ a value of this type is a dependent pair containing:
 - ▶ a value x of type A
 - ▶ a proof of $P\ x$

Operator of a homomorphic function I

The subset of B that is in the range of h :

Definition $range\ \{A\ B:Set\}(h:list\ A \rightarrow B) :=$
 $\{b:B \mid \exists\ xs,\ h\ xs = b\}$.

A value of type $range\ h$ is a pair consisting of a value of type B and a proof that it is in the range of h .

Operator of a homomorphic function II

Seeing $(h\ xs)$ as a value of type $range\ h$:

```
Definition to_range {A B:Set} (h:list A→B)(xs:list A) : range h :=  
  let P := fun b⇒∃ xs, h xs=b in  
  let prf := ex_intro (fun xs0⇒h xs0=h xs) xs eq_refl in  
  exist P (h xs) prf.
```

Operator of a homomorphic function III

To get the value of type B from a $range\ h$:

```
Definition of_range1 {A B:Set} {h:list A→B}(b:range h) : B :=  
  match b with  
  | exist b _ ⇒ b  
  end.
```

A more generic function is defined in Coq library: *proj1_sig*.

To get the proof of type $\exists\ xs, h\ xs = b$ from a $range\ h$:

```
Definition of_range2 {A B:Set} {h:list A→B}(b:range h) :  
  ∃ xs, h xs = of_range1 b :=  
  match b with  
  | exist _ prf ⇒ prf  
  end.
```

A more generic function is defined in Coq library: *proj2_sig*.

Operator of a homomorphic function IV

It is not possible to define such a function:

Definition `list_of_range` $\{A B:\text{Set}\} \{h:\text{list } A \rightarrow B\} (b:\text{range } h): \text{list } A$.

Proof.

Abort.

Operator of a homomorphic function V

An auxiliary lemma:

Lemma `range_op`:

$$\begin{aligned} &\forall \{A B:\text{Set}\} (h:\text{list } A \rightarrow B) (op:B \rightarrow B \rightarrow B) \\ &\quad (hom:\text{homomorphic } h \text{ op}) (b1 b2:B), \\ &\quad (\exists xs1, h xs1 = b1) \rightarrow \\ &\quad (\exists xs2, h xs2 = b2) \rightarrow \\ &\quad (\exists xs, h xs = op b1 b2). \end{aligned}$$

Proof.

```
intros A B h op hom b1 b2
  [xs1 Hb1] [xs2 Hb2].
rewrite <- Hb1, <- Hb2, <- hom.
exists (xs1 ++ xs2).
reflexivity.
```

Defined.

Tactics

exists e: if the goal has the form $\exists x.g$, provides a x and the goal becomes g

Operator of a homomorphic function VI

Using the **Program** feature of Coq, we define an operator on the range of h , from this operator and h :

```
Program Definition restrict {A B:Set}
  {h:list A→B}(op:B→B→B)
  (hom:homomorphic h op) :
  range h → range h → range h :=
  fun (x y:range h) ⇒ op x y.
```

Next Obligation.

```
destruct x as [x [xs Hx]].
destruct y as [y [ys Hy]].
apply range_op.
- trivial.
- eexists. simpl. eassumption.
- eexists. eassumption.
```

Defined.

Tactics

eexists: creates an existential variable and gives it as a witness. At the end of the proof there should be no remaining existential variable.

eassumption: same as assumption but could eliminate existential variables in the goal.

Operator of a homomorphic function VII

to_range is injective: both the value and the proofs are equal when the values are equal:

Lemma to_range_inj :

```
∀ {A B:Set} {h:list A→B}(xs ys:list A),
  xs = ys →
  to_range h xs = to_range h ys.
```

Proof.

```
intros A B h xs ys Heq.
rewrite Heq.
trivial.
```

Qed.

Operator of a homomorphic function VIII

Any value of type *range h* could be obtained using the function *to_range*:

Lemma norm :

$$\forall \{A B:\text{Set}\} \{h:\text{list } A \rightarrow B\} (b:\text{range } h), \\ \exists xs, b = \text{to_range } h \text{ } xs.$$

Proof.

```
intros A B h b.
destruct b as [b [xs Hb]].
exists xs.
rewrite ← Hb.
now apply to_range_inj.
```

Qed.

Operator of a homomorphic function IX

restrict and *to_range* composition:

Lemma restrict_to_range:

$$\forall \{A B:\text{Set}\} \{h:\text{list } A \rightarrow B\} \{op:B \rightarrow B \rightarrow B\} \\ (hom:\text{homomorphic } h \text{ } op) (xs \text{ } ys:\text{list } A), \\ \text{restrict } op \text{ } hom (\text{to_range } h \text{ } xs)(\text{to_range } h \text{ } ys) = \\ \text{to_range } h (xs ++ ys).$$

Proof.

```
intros A B h op hom xs ys.
unfold restrict, restrict_obligation_1, to_range.
simpl.
rewrite ← hom.
reflexivity.
```

Qed.

This lemma could be proven because *restrict* and its associated obligation *restrict_obligation_1* have been carefully designed and made *transparent* using *Defined* instead of *Qed*.

Operator of a homomorphic function X

op restricted to the range of h has $(h [])$ as a left neutral:

Lemma *homomorphic_op_left_neutral*:

$$\forall \{A B:\text{Set}\}(h:\text{list } A \rightarrow B) (op:B \rightarrow B \rightarrow B) (hom:\text{homomorphic } h \text{ } op), \\ \text{left_neutral } (\text{restrict } op \text{ } hom) (\text{to_range } h []).$$

Proof.

```
intros A B h op hom b.
destruct (norm b) as [xs Hb].
rewrite Hb.
rewrite restrict_to_range.
now apply to_range_inj.
```

Qed.

Operator of a homomorphic function XI

op restricted to the range of h has $(h [])$ as a right neutral:

Lemma *homomorphic_op_right_neutral*:

$$\forall \{A B:\text{Set}\}(h:\text{list } A \rightarrow B) (op:B \rightarrow B \rightarrow B) (hom:\text{homomorphic } h \text{ } op), \\ \text{right_neutral } (\text{restrict } op \text{ } hom) (\text{to_range } h []).$$

Proof.

```
intros A B h op hom b.
destruct (norm b) as [xs Hb].
rewrite Hb.
rewrite restrict_to_range.
apply to_range_inj.
apply app_nil_r.
```

Qed.

Operator of a homomorphic function XII

op restricted to the range of h is associative:

Lemma *homomorphic_op_assoc*:

$$\forall \{A B:\text{Set}\}(h:\text{list } A \rightarrow B)(op:B \rightarrow B \rightarrow B) \\ (hom:\text{homomorphic } h \text{ } op), \\ \text{associative } (\text{restrict } op \text{ } hom).$$

Proof.

```
intros A B h op hom b1 b2 b3.
destruct (norm b1) as [xs1 Hb1].
destruct (norm b2) as [xs2 Hb2].
destruct (norm b3) as [xs3 Hb3].
subst.
repeat rewrite restrict_to_range.
apply to_range_inj.
rewrite app_assoc.
trivial.
```

Qed.

Tactic & Tactical

subst: rewrites in the goal and the context using all the equalities of the context that have the form $v = e$ where v is a variable, then clears all these equalities.

repeat T : repeats the tactic T until its application fails.

Dealing with subset/sigma types

Subset/sigma types

Inductive $\text{sig}\{A:\text{Type}\}\{P:A \rightarrow \text{Prop}\}:\text{Type} :=$
 $\text{exist} : \forall x : A, P x \rightarrow @\text{sig } A P.$

Alternative solutions (not possible in all cases):

- ▶ The proof part has for type an equality on a type with decidable equality: In this case the unicity of the equality proofs is proved²
- ▶ Prove that given a value v the proof of $P v$ is unique
- ▶ Carefull design of the functions and proofs so that the equality of proofs is true in the cases your are interested in,
- ▶ Use of the proof irrelevance axiom, in

Coq.Logic.ProofIrrelevance:

Axiom *proof_irrelevance* : $\forall (P:\text{Prop}) (p1 p2:P), p1 = p2.$

and its consequences in *ProofIrrelevanceTheory*

²see *Coq.Logic.Eqdep_dec*

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- 1 Introduction
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- 3 Stating and proving properties
- 4 Program extraction**
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Program extraction I

Coq

```
Require Import nth.
```

```
Extraction nth.nth.
```

OCaml

```
(** val nth_pre : nat → 'a1 list → 'a1 **)
```

```
let rec nth_pre n xs = match xs with
```

```
| Coq_nil → nth_pre_obligation_1 n xs
```

```
| Coq_cons (x, xs0) →
```

```
  (match n with
```

```
    | O → x
```

```
    | S n0 → nth_pre n0 xs0)
```


Program extraction II

Coq

Require Import *nth*.

Recursive Extraction *nth.nth_pre*.

OCaml

```
type nat = | O | S of nat
type 'a list = | Nil | Cons of 'a * 'a list
(** val nth_pre_obligation_1 : nat → 'a1 list → 'a1 **)
let nth_pre_obligation_1 n xs = assert false (* absurd case *)
(** val nth_pre : nat → 'a1 list → 'a1 **)
let rec nth_pre n xs = match xs with
| Nil → nth_pre_obligation_1 n xs
| Cons (x, xs0) → (match n with
                    | O → x
                    | S n0 → nth_pre n0 xs0)
```

Program extraction III

Coq

Require Import *nth*.

Extract Inductive *list* ⇒ "list" ["[]" "(::)"].

Extraction *nth.nth_sig*.

OCaml

```
(** val nth_sig : 'a1 list → nat → 'a1 **)
let rec nth_sig xs n =
  match xs with
  | [] → nth_sig_obligation_1 xs n
  | x::xs0 →
    (match n with
     | O → x
     | S n0 → nth_sig xs0 n0)
```

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