Case Study

# Evaluation of Japanese universities' research activity based on the number of awards of Grants-in-Aid for Scientific Research from 1998 to 2002 and in 2003

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# ABSTRACT

The system of Grants-in-Aid for Scientific Research from the Ministry of Education, Culture, Sports, Science and Technology (MEXT) is one of the oldest funding systems for researchers belonging to universities and institutes in Japan. The fund is allotted to researchers by peer review of their applications for research projects. The total number of subjects of Grantsin-Aid for Scientific Research allotted to the individual research fields from fiscal years (FY) 1998 to 2002 were tallied for each university and institute. The purpose of this report is to rank funded subjects as an index of the research activities of each university and institute. Furthermore, using the database for FY 2003, for which the ministry undertook a large revision to the classification of academic fields, we show the relationship between the situations before and after the classification changes. We indicate whether the last revision has caused significant shifts in the allocation of funds. This paper contains information abstracted from six series of NII Technical Reports from FY 1998 to 2002 and five series for FY 2003.

#### **KEYWORDS**

Japanese university, ranking, evaluation, Grants-in-Aid for Scientific Research

# 1 Outline of Grants-in-Aid for Scienti?c Research

#### 1.1 History and budget growth

The system of Grants-in-Aid for Scientific Research from the Ministry of Education, Culture, Sports, Science and Technology (MEXT) is one of the oldest funding systems for researchers belonging to universities and institutes in Japan. The fund is allotted to individual researchers by peer review of their applications for research projects.

The origin of the Grants-in-Aid for Scientific Research subsidy goes back to 1918. Successive budget

<sup>1)</sup>nisizawa@nii.ac.jp, <sup>2)</sup>negishi@nii.ac.jp, <sup>3)</sup>shibayama@nii.ac.jp, <sup>4)</sup>yuan@nii.ac.jp, <sup>5)</sup>nomura@u.dendai.ac.jp, <sup>6)</sup>maedam@iis.u-tokyo.ac.jp. DOI: 10.2201/NiiPi.2007.4.7 increases led to it funds exceeding 1 billion yen in fiscal year (FY) 1955, even after the negative influence of the Second World War was accounted for. In FY 1965, the three related budgets were combined into the present day "Grants-in-Aid for Scientific Research". The twostep examination began in FY 1968. According to both the report of the Science Council in 1992 and the science and technological Basic Law of 1995, the budget exceeded 100 billion yen in FY 1985 to 2005. [1]

#### 1.2 System improvement

The system of Grants-in-Aid for Scientific Research (Grants-in-Aid) must continuously improve if it is to meet the needs of society and strengthen the research base of our country. The Council for Science and Tech-

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Fiscal Year	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005
Budget (bi <b>ll</b> ions of Yen)	42.0	43.5	45.1	48.9	52.6	55.8	58.9	64.6	73.6	82.4	92.4	101.8	112.2	117.9	131.4	141.9	158.0	170.3	176.5	183.0	188.0
Rate of Increase (%)	3.7	3.6	3.6	8.4	7.6	6.1	5.6	9.7	13.9	12.0	12.1	10.2	10.2	5.1	11.5	8.0	11.3	7.8	3.6	3.7	2.7

Table 1 Budget and growth rate from 1985 to 2005 FY [1].

Table 2 Changes to the system of Grants-in-Aid after FY 1994.

Year	System changes
FY1994:	(1) New Scientific Research ((A) (B) (C))* categories were established, and the former Developmental Scientific Research, Scientific Research (A) and Scientific Research (B)(C) categories were integrated.
	(2) Exploratory Research was established as a research category.
FY1998:	The system for Scientific Research in Priority Areas (A) and (B) were improved.
FY1999:	The International Scientific Research category was integrated into the Scientific Research category.
FY2000:	The Scientific Research in Priority Areas (C) category was established for effective promotion for area as bioscience.
FY2001:	(1) Scientific Research (S) category was established.
	(2) Creative Scientific Research category was established as substitutes for the Grant-in-Aid for New Program.
FY2002:	(1) Scientific Research in Priority Areas (A) (B) (C) categories were integrated into the Scientific Research in Priority Areas category.
	(2) The Grant-in-Aid for Young Scientists (A) and (B) categories were established as substitutes for the Encouragement of Young Scientists (A) category.
	(3) Specially Promoted Research (COE) category was established.
FY2003:	A major change was made to the field classification for the application.

\* A, B, C, etc. as per Table 3(a)

nology of MEXT has attempted to revise the Grants-in-Aid system. Table 2 shows the changes that were made after FY 1994.

In FY 1999, some functions of the Grants-in-Aid program were transferred from former Monbusho (now MEXT) to the Japan Society for the Promotion of Science (JSPS). Tables 3 (a) and (b) list the research categories and their descriptions for FY 2004. The history and changes to the Grants-in-Aid system are detailed in the commentary of the Grants-in-Aid for Scientific Research. [2]

# 2 Research activity based on Grantsin-Aid

The number of Grants-in-Aid awards can be thought to be equivalent to the number of Research Active Staff (RAS) of a British university, because academic researchers individually apply for Grants-in-Aid without mentioning their organizations. Therefore, we consider a Japanese university in which a large number of researchers are awarded Grants-in-Aid to be an organization that has many active researchers, in the same sense as "RAS". The number of grant-holding researchers by field can be thought of as showing the degree of research activity in a particular field in that university.

A similar subsidy system to the one described above was established with the Grants-in-Aid in 1968. The field classification under which the researchers apply for awards has been continuously revised in accordance with the trends of the various research fields. Notably though, the classification for the Grants-in-Aid application has changed a great deal since FY 2003, in response to the reply of the Council for Science and Technology of MEXT. [4] Therefore, it seems to be important to compare data before the change in the system (FY 1998—2002) and data after the change (FY 2003) in order to check the effectiveness of the current Grantsin-Aid system.

### 2.1 Databases of Grants-in-Aid for Scientific Research

The official handbook [1] published each October by MEXT describes research subjects, budgets, etc., of Grants-in-Aid recipients. The National Institute of Informatics (NII) provides the "KAKEN" database (a service for disclosing the deliverables of grants-in-aid for scientific research) [5] as part of its "GiNii" platform.

We analyzed KAKEN database entries from FY 1998 to FY 2002 and in FY 2003.

We paid attention to the two points below when we looked at the number of subjects as a measure of research activity at a university.

(1) The applicant of the research subject is an individual or representative of a research group. The researchers do not have to be in the research organization that the research representative belongs to.

(2) There is a tendency for a researcher who belongs to

#### Table 3

(a) Research categories and descriptions of Grants-in-Aid allocated by JSPS in FY 2004 Reprint from the JSPS web page [3]. Allocated to JSPS

	Categories		Description
Scientific	Research		Aid for Research
	Scientific Research		Creative and leading-edge research conducted by university researchers individually or in small groups
		(S)	Duration: 5 years
			Grant: 50-100 million yen per project
			Creative research conducted by university researchers individually or in groups
			Duration: 2 to 4 years, or 1 year for research planning
		(A)	Category A: 20-50 million yen per project
		(B)	Category B: 5-20 million yen per project
		(C)	Category C: Up to 5 million yen per project
	Encouragement of Scientists	5	Research carried out by individual pre-school, elementary, secondary school or teachers or by an
	-		individual citizen
			Duration: 1 year
			Grant: Up to 0.3 million yen per project
Publicatio	on of Scientific Research Res	sults	
	Scientific Periodicals		Publication of academic journals periodically by academic societies or groups of cooperating academic
			societies to promote international exchange
	Scientific Literature		Publication of academic books to disseminate research results by individuals or groups of researchers
	Databases		Compilation of databases by individuals or groups of researchers that have already proved practical and
			have the purpose of openly disseminating information through academic information systems, etc.
			Aimed at the further cultivation of fruits obtained from highly creative research conducted under the
Creative	Scientific Research		Grants-in-Aid for Scientific Research and other funding programs
			Duration: 5 years

(b) Research categories and descriptions of Grants-in-Aid allocated by MEXT in FY 2004 [3].

Allocated to Monbu Kagakusho	
Categories	Description
Scientific Research	Aid for Research
Specially Promoted	Highly regarded research likely to bring outstanding results
Research	Duration: 3-5 years
	Specific areas that can elevate research in basic fields of science or that can contribute to the
Scientific Research in	development of Japan's economy and society
Priority Areas	Duration: 3-6 years
	Grant: approx. 20-600 million yen yearly per area
Exploratory Research	Uniquely original research using unexpectedly surprising ideas in an early stage of development
(*)	Duration: Up to 3 years
	Grant: Up to 5 million yen per project
Grant-in-Aid for Young	Research carried out by individual researchers of up to age 37
Scientists	Duration: 2-3 years
(*) (A)	Category A: 5-30 million yen per project
(B)	Category B: Up to 5 million yen per project
Grant-in-Aid for Special Purposes	Support for urgent or important research projects
Publication of Scientific Research Results	
Announcement of Publication of Scientific Research Results	Publication of research results with high academic evaluation and highly valuable academic information
Specially Designated Research Promotion	Support for research of a strong academic or societal character conducted by designated private research institutes
Grant-in-Aid for JSPS Fellows	Support for research conducted by JSPS fellows (including foreign fellows)
(	Duration: Up to 3 years

(\*) Recruited and selected by JSPS

a large organization, especially a large university to become a research representative because the Grants-in-Aid application needs extensive accounting, documentation, preparations, etc.

#### 2.2 Situation from FY 1998 through 2002

2.2.1 Outline of general analysis

Grants-in-Aid for Scientific Research no doubt supported the basic research of universities in postwar.

The budget began to be distributed preponderantly as a competitive research fund to promote science and technology with priority on graduate schools in 1991. Table 1 shows the Grants-in-Aid yearly budget and its rate of change. Although the budget has increased, the rate seems to have slowed since FY 2003. The top class of the classification table for the applications of Grantsin-Aid (Scientific Research (S), (A), (B), (C), Grantsin-Aid for Exploratory Research, and Grants-in-Aid for Young Scientists (A), (B)) consist of the seven traditional fields of literature (classified as Arts/Humanities in this section), law, economics, science, engineering, agriculture and medicine and Interdisciplinary studies and General studies. Table 4 shows the number of research subjects, and corresponding budget allotments from FY 1988 to FY 2002 by grant type and by research area. Fig. 1 shows the percentage of grants based on the number of awards as broken down by research area from FY 1998 to FY 2002. This figure shows that the field of medicine accounts for the largest part of the fund.

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Fig. 1 Percentage of grants broken down by research area(FY 1998-2002).

#### 2.2.2 Analysis of individual research areas, JSPS fellows and large-scale and huge research projects

Next, we show the results of individually analyzing six composite domains of (1) law, economics, arts and humanities and education, (2) science, engineering and agriculture, (3) medical science, dentistry, pharmacy and nursing, (4) interdisciplinary studies, (5) Grantsin-Aid for JSPS Fellows and (6) Large-scale Project Funds. The tables and figures of the analysis show that national and large private universities play the most important role, but some smaller universities also take large.

Although the details can't be discussed for reason of space, the reader can see that the number of Grantsin-Aid awarded per field reflects the degree of research activity in the fields that the university especially focuses on. The detailed breakdowns are available in the technical report of the National Institute of Informatics (NII). [6]–[16]

The research field classification table that includes the top and second level research areas for application in FY 2002 is shown in Appendix 1.

#### (1) Law, Economics, Arts/Humanities, and Education

Table 5 shows the top 50 organizations that grantholding researchers belong to. The first columns of the table show breakdowns for the area composed of law, economics, arts/humanities. The other columns list data individually for law, economics, arts/humanities, and the area composed of psychology, sociology, education, and cultural anthropology subfields belonging to arts/humanities classification. The last area is selected in order to measure an activity of faculty of education in the Japanese universities. On the whole, the table shows that the large national universities (the former imperial universities under the old system of higher education) are generally ranked higher in every field, but certain private universities are also well represented. The research characteristics of universities and institutes are clearly revealed in the categories of law, economics, arts/humanities, and education. Figs. 2 and 3 show the budget and the percentages of number of subjects included in arts/humanities and social sciences (law and economics).

#### (2) Science, Engineering and Agriculture

Science and engineering in Japan have been extensively supported by this system, and the importance of the system to these areas is increasing. This report clarifies the roles of individual universities in each research field of science, engineering, and agriculture.

Table 6 shows the top 50 organizations that grantholding researchers belong to. The table lists totals for science, engineering, and agriculture, as well as science and engineering together.

On the whole, the large national universities that were imperial universities under the old system of higher education are generally ranked higher in every field, but some private universities are well represented. The research characteristics of universities and institutes are clearly revealed in the science, engineering, Table 5 Top 50 organizations receiving grants for research in law, economics, arts/humanities and education related area (psychology/ sociology/ education/ cultural anthropology) (in thousands of yen).

Law Economics Arts/Humanitie	s: Total of 5years		Law: Total of 5 ye	ars	-	Economics: Total of 5 y	/ears		Arts/Humanity: Total of 5	years	Psychology/Sociology/ (Classified as the Arts	ducation/cultural a (Humanities): Total	anthropology of 5 years
Rank filass ing ificat ion	# of Amount of Project funding	Ran sifi king ati	ic Uni. Name	# of Projec ts	tt of Rai ng kin	Clas sifica Uni. Name tion	# of A Projec of ts	mount Rar funding king	Cla n ssifi g cati on	# of Amount of Proje funding	Ran Clas sific Uni 1 sing ation	ame	of Amount of oje funding :ts
1 N The Univ. of Tokyo	1,165 2,677,870	2 -	The Univ. of Tokyo	271 507,	750 1	N Kobe Univ.	201	405,870 1	N The Univ. of Tokyo	762 1,922,390	1 N Kyoto Univ.		230 634,330
2 N Kyoto Univ.	725 1,576,680	2 N	Hokkaido Univ.	157 342,	030 2	N Hitotsubashi Univ.	182	341,650 2	N Kyoto Univ.	542 1,246,180	2 N Hiroshima Univ.		227 446,590
3 N Hokkaido Univ.	618 1,236,070	м С	Kobe Univ.	128 205.	380 3	N Osaka Univ.	147	324,900 3	N Hiroshima Univ.	461 787,020	3 N The Univ. of Tokyo		217 588,060
4 N Hiroshima Univ.	582 937,410	4	Kyushu Univ.	126 233,	900 4	N The Univ. of Tokyo	132	247,730 4	N Univ. of Tsukuba	366 711,370	4 N Univ. of Tsukuba		212 449,770
5 N Kyushu Univ.	580 1.067,600	5 PF	Waseda Univ.	94 156.	960 5	PRI Waseda Univ.	125	219,210 5	N Hokkaido Univ.	356 727,800	5 N Nagoya Univ.		172 335,620
6 N Kobe Univ.	537 992,650	9	Kyoto Univ.	82 146.	770 6	N Univ. of Tsukuba	105	177,760 6	N Kyushu Univ.	355 683,530	6 N Kyushu Univ.		170 447,260
7 PRI Waseda Univ.	511 876,600	7 P.F.	I Ritsumeikan Univ.	78 101.	490 6	N Hokkaido Univ	105	166,240 7	N Nagoya Univ.	330 582,550	7 N Hokkaido Univ.		155 356,420
8 N Tohoku Univ.	501 854,690	8 N	Nagoya Univ.	75 122.	800 6	N Tohoku Univ.	105	161.100 8	N Tohoku Univ.	325 607,190	8 N Tohoku Univ.		142 297,300
9 N Univ. of Tsukuba	499 968,410	N 6	Tohoku Univ.	71 86.	400 9	N Kyoto Univ.	101	183,730 9	N Osaka Univ.	292 541,160	9 NR NIER		127 247,500
10 N Nagoya Univ.	495 868,390	10 N	Hiroshima Univ.	59 68.	590 10	N Kyushu Univ.	66	150,170 9	PRI Waseda Univ.	292 500,430	10 PRI Waseda Univ.		110 162,870
11 N Osaka Univ.	477 935,900	11 PUL	B Osaka City Univ.	47 70.	11 800	N Nagoya Univ.	6	163,240 11	N Kobe Univ.	208 381,400	11 N Tokyo Gakugei Uni		105 154,300
12 N Hitotsubashi Univ.	304 538,670	12 PK	I Hosei Univ.	41 79.	260 12	N Yokohama Nat'i Univ.	84	132,800 12	N Tokyo Gakugei Univ.	169 233,480	12 N Kobe Univ.		94 191,470
13 PRI Ritsumeikan Univ	264 366,850	12 N	Okayama Univ.	41 59.	400 13	PRI Ritsumeikan Univ.	99	112,230 13	P Tokyo Metro Univ	154 258,280	13 N Shizuoka Univ.		85 167,280
14 N Niigata Univ-	215 269,360	14 N	Osaka Univ.	38 69.	340 14	N Hiroshima Univ.	62	81,800 14	N Chiba Univ.	151 252,370	14 N Osaka Univ.		84 148,690
15 N Chiba Univ.	209 347,900	14 P.F.	I Rikkyo Univ.	38 56,	300 15	PRI Keio Univ.	59	105,990 15	N Tokyo Univ. of Foreign Studies	144 452,080	15 NR Nat'I Museum of E	nology	75 326,810
16 PUB Tokyo Metro. Univ.	202 322,780	16 N	Chiba Univ.	37 68.	330 15	N Otaru Univ. of Commerce	59	77,450 15	N Niigata Univ.	144 187,060	15 0 The Nat'l Inst. of S	becial Edu.	75 107,400
17 PRI Keio Univ.	199 361,360	16 N	Hitotsubashi Univ.	37 58,	500 17	N Shiga Univ.	50	49,300 17	N Shizuoka Univ	137 238,480	17 N Chiba Univ.		68 113,320
18 PRI Rikkyo Univ.	193 327,320	16 N	Niigata Univ.	37 47.	000 18	PUB Osaka City Univ.	48	100,400 18	N Saitama Univ.	129 192,360	18 PRI Ritsumeikan Univ.		65 82,330
18 N Okayama Univ.	193 253,500	19 N	Univ. of Tsukuba	28 79,	280 19	PRI Chuo Univ.	47	117,510 19	NR NIER	127 247,500	19 N Osaka Kyoiku Univ		61 84,200
20 N Shizuoka Univ.	191 319.760	20 N	Fukushima Univ.	27 28,	500 19	PRI Meiji Univ.	47	61,640 20	PRI Rikkyo Univ.	126 242,820	20 N Hyogo Univ. of Tes	cher Edu.	59 132,270
21 N Tokvo Gakugei Univ.	174 240,680	20 N	Kumamoto Univ.	27 28.	21 21	PRI Aovama Gakuin Univ	46	68,600 21	PRI Keio Univ.	121 228,770	20 N Ochanomizu Univ		59 83,400
22 PUB Osaka City Univ	169 298.900	22 N	Kazawa Univ.	24 50	980 22	PRI Hosei Univ.	44	96.350 22	NR NUL	120 504.780	22 N Saitama Univ.		56 113.460
23 N Saitama Ilniv	167 235 960	23 PR	I Doshisha Univ	23 33	500 22	PRI Nihon Univ	44	49.800 22	PRI Ritsumeikan Univ	120 153 130	22 PBI Kein Univ		56 106.320
24 N Tokvo Ilniv of Foreim Studies	155 478 880	24 DH	1 Kansai Ilniv	20 26	24	DBT Kansai Iniv	41	75 160 24	N Observance I Iniv	115 142 300	24 DIB Tokyo Metron Ilni		5.4 120 BBD
25 PRI Kansai Ilniv	149 262 960	22 N	Shizuoka Univ	21 18	800 25	N Karawa Univ	39	37 800 25	N Kumamoto Ilniv	111 137.860	24 PRI Tovo Univ		54 129.090
26 DDT Moii Heiv	140 100 100 100 100 100 100 100 100 100	30	Komohima I Iniv	01	30 000	N Observation	5	E1 000 26	N Ochonomicu Ilniu	107 101	26 NI Niiroto Ilain	1	50 50 ADD
20 FIN Well Oliv.	146 200.010	- Co	D I Inits of Shimubo	20 02	07 070	N Onagaria Oriv.	è è	3E 200 27	N United States States	00 162 600	27 N Higada Olliv.		50 75 100
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	000'000 001		D Talma Mature Habit	12F 07			3 8	00 001 00	N Derles Vicelia Univ.	001/001 10	20 N Culturele I hit F		46 50,400
28 PPRI Onto Univ.	136 293,930	17 LC		20 21.	87 000	N Saltama UNIV.	γ. f	47 00/ FC	N USaka Nyoku Univ.	000'011 16	29 N FUKUOKA UNIV. OT E		45 30,400
	130 184,080	2 i	Nanazawa Univ	20 13	300 28	PUB Nagoya Orty UNIV.	3	31,100 30	NK Nat I Museum of Ethnology	80 3/9/3/U	30 PHI MIKKYO UNIV.		40 /8/00
31 N Yokohama Nat'l Univ.	130 203,500	н Порт	Keio Univ.	19 26.	31	PRI Kyoto Sangyo Univ.	32	35,200 30	PRI Kansai Univ.	86 161,000	31 PRI Kwansei Gakuin		44 72,200
32 NR NIER	127 247,500	32 N	Mie Univ	18 18,	200 31	N Toyama Univ	32	34,200 32	N Hitotsubashi Univ.	85 138,420	31 N Okayama Univ		44 48,600
33 N Univ. of the Ryukyus	124 225,410	33	Univ. of the Ryukyus	17 55.	910 33	PRI Univ. of Marketing and Distributio	31 31	55,500 32	PRI Nihon Univ.	85 128,680	31 N Shinshu Univ.		44 37,600
33 N Fukushima Univ.	124 126.700	34	I Gakushuin Univ.	16 20.	33	N Nagasaki Univ.	31	36,600 32	PRI Meiji Univ.	85 121,870	34 N Hitotsubashi Univ.		42 100,120
35 PRI Sophia Univ.	122 211,450	34 PF	I Meiji Univ.	16 15.	35	PRI Sophia Univ.	8	35,800 35	PRI Chuo Univ.	84 155,280	35 PRI Shukutoku Univ.		40 138,230
35 N Kanazawa Univ.	122 160,500	36 PF	I Aoyama Gakuin Univ.	15 17.	100 35	PRI Kwansei Gakuin	30	32,400 36	O Nat'l Res. Inst. for Cult. Prop., Nara	81 118.690	36 PRI Japan Women's Ur		39 110,990
37 NR NIJL	120 504.780	36 PF	Ryukoku Univ	15 14.	500 37	N Tokyo Inst. of Technology	29	88,870 37	PRI Toyo Univ.	77 159,680	36 O Tokyo Metrop. Inst	of Gerontology	39 65,450
37 PRI Doshisha Univ.	120 162,840	28 38	Shimane Unviersity	14 22.	100 37	PRI Rikkyo Univ.	29	27,700 38	0 The Nat'l Inst. of Special Edu.	75 107,400	36 N Aichi Univ. of Edu.		39 37,000
39 PRI Aoyama Gakuin Univ.	111 151,100	39 N	Toyama Univ.	13 35.	690 39	PUB Tokyo Metro Univ	28	37,400 38	N Shinshu Univ.	75 69,900	39 N Joetsu Univ. of Ed		37 35.000
40 PRI Toyo Univ.	109 196,780	40 PF.	I Meiji Gakuin Univ.	12 35.	700 39	N Fukushima Univ.	28	22,700 40	P Osaka City Univ	74 127,900	40 PRI Nihon Univ.		36 64.500
40 N Ochanomizu Univ.	109 129,600	40 PF.	Il Senshu Univ.	12 28,	370 41	PRI Toyo Univ.	27	29,900 41	N Shimane Unviersity	73 74,500	40 PRI Tokai Univeristy		36 61,470
42 N Toyama Univ.	108 183,810	40 PF	I Konan Univ.	12 23,	300 42	PRI Doshisha Univ.	26	64,040 42	PRI Sophia Univ.	72 132,450	42 PRI Sophia Univ.		35 51,100
43 PRI Kwansei Gakuin	106 166,420	40 PF	InterNat'l Christian Univ.	12 20.	700 42	PUB Kobe Univ. of Commerce	26	31,100 43	N Ehime Univ.	71 84,540	42 N Hokkaido Univ. of I	du.	35 47,900
44 N Shiga Univ.	103 113,400	44 N	Yokohama Nat'l Univ.	11 26,	100 44	N Yamaguchi Univ.	25	25,100 43	PRI Doshisha Univ.	71 65,200	44 PRI Hosei Univ.	L	34 66,700
45 N Kagoshima Univ.	101 136,670	44 PF	Il Meijo Univ.	11 15.	500 45	N Oita Univ.	24	20.400 45	PRI Kwansei Gakuin	70 109,200	44 PUB Osaka City Univ.		34 52,200
46 N Yamaguchi Univ.	100 102,400	46 PUL	B Osaka Pref. Univ.	10 10,	700 46	PUB Yokohama City Univ.	23	35,500 45	N Ibaraki Univ.	70 84,200	44 N Hirosaki Univ		34 38,500
47 N Shinshu Univ.	99 98,000	46 PR	I Fukuoka Univ.	10 9.	300 47	N Shinshu Univ	22	26.100 47	N Hvogo Univ. of Teacher Edu	69 145,470	44 N Naruto Univ. of Ed		34 33,300
48 N Shimane Unviersity	93 103 500	46 N	Iharaki Univ	10	400 48	PRI Tohoku Gakuin	21	31 800 47	N Fukushima Univ	69 75 400	48 N Fhime Univ		33 46.840
40 N Ibereki I Iniv	0.0 1.07.400	40 PH	11 Tezukavama Univ	0	400 48	N Chiba Ilniv	5	77 000 47	N Vemenichi Ilniv	60 71 600	40 O Nat'l Canter for Ur	v Entr Evem	32 74 GDD
40 N Osaka Kvoiku Ilaiv	0.0 117.200	40	Gifti Hhiv	0	102	DDT Bratkalan Ilain	- -	26.800 50	N Twete Univ	67 R0 600	40 N Kumamoto Ilniv		30 35 200
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otal	20,999 35,072,950	-	Total	2,413 3,996,2	120	Total	3,801 15,5	73,670	Total	14,785 25,103,010	I otal	¢.	995 12,408,5uu

Table 6 Top 50 organizations receiving grants for research in science, engineering and agriculture (in thousands of yen).

Engineering/Total of 5 years Engineering/Total of 5 years   e The amout of Rank (Casself # of The amout of Rank (Casself
sts funding ng jeation Uni Name Projects funding ing on
12 6,962,270 1 N The Univ of Tokyo 2,206 9,691,450 1 N
38 4,634,920 2 N Tohoku Univ. 2,046 8,318,800 2 N
00 3.679,450 3 N Kyoto Univ. 1,904 6,436,060 3 N
96 2,868,520 4 N Tokyo Inst of Tech 1,791 6,214,890 4 N
61 2.5.7.30 5 N Osaka Univ. 1./18 5.939./70 5 N
70 1,939,230 0 N Kyushu Univ. 1,370 4,272,700 0 N 35 3,563,730 7 N M11
58 1314.740 8 N Holdvid Uliv. 906 2479.940 8 N
95 1,525,010 9 N Hiroshima Univ. 521 1,356,580 9 N
50 1.269.520 10 PRI Waseda Univ. 444 1.265.170 10 N
92 1,086,700 11 N Kobe Univ. 423 1,113,320 11 N
14 813,280 12 N Univ. of Tsukuba 374 1,132,030 12 PUB
83 894,680 13 N Okayama Univ. 372 903,200 13 PRI V
71 971,020 14 N Nagoya Inst of Tech 360 850,110 14 N C
71 756.030 15 N Nagaoka Univ. of Tech. 349 884.240 15 N C
64 591,080 16 PUB Osaka Pref Univ 335 837,340 16 N K
62 547,430 17 N Kyushu Inst of Tech 333 823,150 17 N S
36 649,080 18 N Tokyo Univ. of Agri and Tech. 330 1,088,640 18 PRI K
22 429,000 19 N Yokohama Nat'l Univ. 329 1,026,590 19 PRI 7
13 431,640 20 N Kumamoto Univ. 310 655,030 20 PUB 0
11 384,160 21 N Toyohashi Univ. of Tech. 298 781,720 21 N
98 439,480 22 PRI Keio Univ. 285 892,940 22 N
95 369,460 23 N Shizuoka Univ. 284 761,720 23 N
88 319,000 24 PUB Tokyo Metro Univ. 283 673.090 23 N
78 597,250 25 N Chiba Univ. 263 562,980 25 N
75 388,300 26 N Gifu Univ. 261 500,830 26 PUB
74 358,150 27 N Kyoto Inst. of Tech. 253 482,600 27 N
59 304.230 28 N Saitama Univ. 238 590.580 28 N
47 333,910 28 N Kanazawa Univ. 238 499,700 29 N
47 262.760 30 N Yamaguchi Univ. 234 453.250 30 0
40 235,200 31 PRI Tokyo Univ of Sci 230 493,410 31 N
39 423,770 32 N Yamagata Univ. 220 533,120 32 N
37 206.070 33 N Shinshu Univ. 209 490/270 32 N 33 932.950 33 N Nijizoto Lleijo 24 N
28 233,500 35 N The Univ of Tokushima 203 359,010 35 N
24 287,590 36 N The Univ of Electro-Comm 199 451,870 35 N
21 183,300 37 N Ibaraki Univ. 184 374,320 37 N
18 147,900 38 N Tottori Univ. 176 289,030 38 N
15 160,400 39 N Nagasaki Univ. 172 415,550 39 NR
12 192,580 40 PRI Nihon Univ. 168 381,400 40 N
09 178,630 41 PRI Kinki Univ. 166 226,050 41 PR
03 198,150 42 N Akita Univ. 164 290,100 42 NF
00 153,900 43 N Univ. of Fukui 162 210,600 43 PUE
00 143,700 44 N Utsunomiya Univ. 159 302,600 44 N
98 143,200 45 N Gunma Univ. 158 369,290 45 N
87 143,000 46 N Muroran Inst. of Tech. 155 308,100 46 N
86 150,100 47 PRI Kanazawa Inst. of Tech. 152 215,300 47 Pr
85 180.570 48 PUB Himeji Inst of Tech 149 252.900 48 1
82 157,750 49 0 The Inst. of Phys. and Chem. Res. 148 393,950 49 F
80 219,540 49 PRI Kansai Univ. 148 343,760 50
80 193,120
080 002/601 08
006/06
13 50.853.960     Total   30.988 86.791.930    -



Fig. 2 Budget allotment to arts/humanities and social sciences.



Fig. 3 Proportion of number of subjects included in arts/humanities and social sciences to the whole.

and agriculture categories.

Fig. 4 shows changes in the number of subjects funded in science, engineering, and agriculture over FY 1998—2002. Each area has shown a little growth in number of subjects funded during this period. (3) Medical Science, Dentistry, Pharmacy and Nursing

These research fields develop medical treatments and

promote the welfare of the Japanese people. Their importance is thus reflected in the large number of awards of Grants-in-Aid for medical science, dentistry, pharmacy and nursing. In fact, their share is 34% of all funded research subjects. The large share is especially evident in comparison with the shares of science, engineering, and agriculture. Note that the detailed clas-



Fig. 4 Changes in number of subjects included in science, engineering and agriculture classifications.

sification code of MEXT of the medical domain consists of nine subfields: physiology, pathology, social medicine, internal medicine, surgery, dentistry, pharmacy, medicine in general, and nursing science. Here, we reclassified these nine subfields into five fields: (A) basic medicine [physiology and pathology], (B) clinical medicine [internal medicine, surgery, and medicine in general], (C) dentistry, (D) pharmacy and (E) social medicine and nursing, after considering the present situations of medical departments in Japanese universities.

Fig. 5 shows changes in the number of subjects in the five fields over FY 1998—2002. Each field has had a little growth during this period. Fig. 6 also shows changes in the amount of funding for each field.

Table 7 shows the top 50 organizations that researchers belong to. The table shows the breakdown for the overall research field of medicine and for individual areas of physiology, pathology, internal medicine, surgery, and medicine in general ((A) plus (B)); dentistry (C), pharmacy (D); and social medicine and nursing (E).

On the whole, although the former imperial universities were generally ranked higher in every field, the general aspect of this ranking is completely different from those of the previous two rankings. Many private and medical universities are in the middle in the top 50. The research characteristics of universities and institutes are clearly revealed in the categorization of medical science, dentistry, pharmacy, and nursing. (4) Interdisciplinary Studies

This area includes interdisciplinary studies, general studies, and new research fields that are difficult to include in the traditional disciplines. The ranking of these fields indicates the research activities of interdisciplinary and new fields.

Fig. 7 shows the changes in the number of subjects funded in interdisciplinary and general areas, and projects with limited periods. Fig. 8 shows the oportion of funded subjects broken down by individual interdisciplinary area of study.

The national and large private universities are well represented, as are some smaller universities.

Table 8 shows the top 50 and 30 organizations to which funded researchers belong to in the interdisciplinary and general area classification.

The research characteristics of universities and institutes are clearly revealed in the individual research areas.

#### (5) JSPS Fellows

The Japan Society for the Promotion of Science (JSPS) has a special fellowship system to educate young researchers. The Grants-in-Aid are allotted to JSPS fellows to encourage research. Therefore, the number of funded subjects corresponds to the number of young researchers at each university and also to the degree that each university educates young researchers.

Fig. 9 shows the proportion of number of funded subjects of Grants-in-Aid for JSPS fellows broken down by research field in FY 2002. Table 7 Top 50 organizations receiving grants for research in medicine, dentistry, pharmacy and social medicine/nursing (in thousand of yen).

	Amount of Funding	246.400	295,220	275,400	111,500	189,940	169,190	98,100	71 800	211.630	121,210	147,850	113.200	000'66	71,300	96,600	006/11	120.460	91 KND	144 880	202.520	137,430	92,500	76,000	54,500	103.740	79,600	121,900	49,300	69,200	53,180	98,400	72 490	48,200	89,300	80,940	48,600	103,670	90,000	00,100	37,700	81,8UU	102,000	80.140	111 280	61 000	41300			
ы Б	# of Projects	120	114	113	97	62	2	8/ 12	76	73	72	71	17	68	88	67	99	8 8	8	2 V	5 13	60	99	99	28	56	26	22	24	2	23	49	47	47	45	45	45	44	43	2	<sup>4</sup>	74	99	8 8	8 8	8 8	3 8		Ħ	-
Social Medicine and Nursi Total of 5 years	Uni. Name	Jsaka Univ.	lagoya Univ.	he Univ. of Tokyo	hiba Univ.	aunma Univ.	anazawa Univ.	Iniv. of Occup. and Envir. Health	indeato Oliny.	lokkaido Univ.	lkayama Univ.	tt.Luke's Coll. of Nursing	liroshima Univ.	yushu Univ.	isapporo Med. Univ.	okai Univeristy	lagasaki Univ.		ocili woirieri s oriiv.	foll of Nursing Art and Soi Humon	ohoku Univ.	Iniv. of Tsukuba	baka Pref. Inst. of Public Health	lamamatsu Univ. Sch. of Med.	lagano Coll. of Nursing	amanashi Med. Univ.	iaga Med. Sch.	okyo Med and Dent Univ.	ukushima Med. Univ.	Dita Med. Univ.	okyo Metro. Univ. of Health Sci.	fie Univ.	ioni mea. son. he slikei Univ	bsaka Pref. Coll. of Nursing	hinshu Univ.	iga Univ. of Med. Sci.	he Univ. of Tokushima	ukui Med. Univ.	amaguchi Univ	obe City Coll. of Nursing	lealth Sci. Univ. of Hokkaido	Kita Univ.	atama Med. Sch.	GIO UNIV.	uyana wau, anu mann. Unv. Inoraki Haiv	la contra City Ilniv	Iniv of the Ruskuse			
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	nount of Ran unding	1,193,260	767,130	553,890	506,300	506,140	182,400	265,600	340.350	282,130	187,300 1	198,200	149.700	134,500	199,800	223,400	219,/60	000 121	112 520 1	142 800	107.900 2	92,600 2	94,600 2	101.700 2	91,070 2	59,000 2	69,100 2	44,100	61,300	58,900	49,300	45,000	44,100 3 42,600 3	33,800 3	49,800 3	37,300 3	40,800 3	38,500 4	30,400	+ 01+7:00	36,300	30,/00	29,300 4	23,200 4	24 000	19 800	18 700 4	17.100	15,100	
	# of An rojects F	314	228	182	175	163	132	126	8	106	106	104	68	89	82	18	5 7	2 5	2	2, 89	65	64	60	56	52	51	45	42	68	62	35	35	34	31	30	27	25	25	25	54	53	77	50	19	<u> </u>	2 5	; ;	1 2	12	1
Pharmacy Total of 5 years	uni. Name	The Univ. of Tokyo	Kyoto Univ.	Tohoku Univ.	Hokkaido Univ.	Osaka Univ.	I okyo Univ. of Pharm. and Life Sci.	Chiba Univ.	The Hoir of Tokushima	Kumamoto Univ.	Toyama Med. and Pharm. Univ.	Showa Univ.	Kyoto Pharm. Univ.	Teikyo Univ.	Kanazawa Univ.	Hiroshima Univ.	Nagasaki Univ.	Consysteme Cline.	Kitasato Ilniv	Iniv of Shimoka	Gifu Pharm. Univ.	Kobe Pharm. Univ.	The Inst. of Phys. and Chem. Res.	Tokyo Univ. of Sci.	Nat'l Inst. of Health Sci.	Hoshi Univ.	Kobe Gakuin Univ.	Osaka Univ of Pharm Sci	Meiji Pharm. Univ.	Setsunan Univ	Tokushima Bunri Univ	Hokuriku Univ.	The Tohn Univ. or Pnarm.	Meijo Univ	Mukogawa Women's Univ.	Nihon Univ.	Health Sci. Univ. of Hokkaido	Nat'l Inst. of Infect. Diseases	Niigata Univ of Pharm and App Life	Nat I Inst. of Hadiological Sci.	Tokyo Metro. Inst. of Gerontology		Fukuyama Univ.	Snowa Fnarm. Univ.	Kinki I Iniv	Tokyo Metro Ore for Med Res	Sairama Cancar Cantar	Gifu Univ	Tohoku Pharm. Univ.	
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	mount of Rai	1,898,220	1,322,430	765,460	740,100	975,210	936,140	988,370	1 024 410	773,250	561,020	450,360	725,530	517,320	456,960	397,300	480,450	010'007	286 500	253 ADD	296.500	183,700	256,000	192,050	197,440	97,100	121,630	98,500	140,050	82,400	37,000	90,720	47 000	31,800	71,300	40,700	27,000	28,600	21,100	000'01	15,000	1. 200	002/01	13.600	17 100	12.400	32 900	27 700	20,100	14,000
	# of A trajects	578	433	431	392	388	/98	386	378	366	313	301	289	289	275	260	23/	001	178	P11	164	148	132	121	104	80	44	43	4	8	27	26	3 6	22	21	19	18	-	= :	<u> </u>	12	± :	2	* 1	=	2 5	2 6	1	12	12
Dentistry Total of 5 years	Uni. Name	Tokyo Med. and Dent. Univ.	Osaka Univ.	Nihon Univ.	Tohoku Univ.	Okayama Univ.	Hiroshima Univ.	Niigata Univ. The Univ. of Tolerobime	Kurehu Ilniv	Showa Univ.	Nagasaki Univ.	Aichi Gakuin Univ	Hokkaido Univ.	Tokyo Dent. Coll.	Nippon Med. Sch.	Kanagawa Dent. Coll.	Kagoshima Univ.	Nyasila Delit. Out.	Tearuri Joi, Univ. Ur Hunnaluo	International In	Osaka Dent. Univ.	Fukuoka Dent. Coll.	Meikai Univ.	Asahi Univ.	Matsumoto Dent. Univ.	Ohu Univ.	Nagoya Univ.	Nat'l Inst. of Infect. Diseases	Kyoto Univ.	Chiba Univ.	Hyogo Coll. of Med.	Ehime Univ.	Ine Univ. of Tokyo Kanazawa Univ	Nara Med. Univ.	Ssapporo Med. Univ.	Univ. of Tsukuba	Gifu Univ.	Tottori Univ.	Kanazawa Med Univ	MIYAZAKI MEQ. COII.	Keio Univ.	Tokonama Urty Univ.	Kitasato Univ. Valestana Cita Ilait.	Tokonama Urty Univ. Fiskuoka Coll of Health Soi	Chimana Mad Thin	Kunme Ibiv	Yamanashi Med Liniv	Hirosaki Univ	Saga Med. Sch.	Akita Univ
	Classi nking ficatio	1	2 N	3 PRI	4 Z	z	z :	z z		10 PRI	11 N	12 PRI	13 N	13 PRI	15 PRI	16 PRI			DDL DDL	DDI DDI	22 PRI	23 PRI	24 PRI	25 PRI	26 PRI	27 PRI	28 28	50	z :	Z 20	32 PRI	z 2	22 N	35 PUB	37 PUB	88 38	39 N	40 V	40 PRI	N 1	43 PRI		PHI 0	44 PUD		194 84	2 N	20 I	20 N	20 N
edicine/	mount of Ra Funding	5,949,060	4,457,350	4,991,880	2,760,280	3,545,620	3,2/6,360	9 106 AED	045 000	1.012.340	1,653,190	1,672,940	1,539,190	1,340,910	1,441,570	1,023,890	1,226,/10	1 029.070	1 320.030	1 004 500	1.290.690	1,102,810	679,000	792,930	1,052,550	1,269,480	994,450	682,110	640,500	822,200	499,800	834,730	802.580	814,800	575,390	893,160	755,030	634,900	531,000	09/900	615,810	- 000'020	593,040	543,400	473.460	541 110	562 770	521.450		
' Internal M eral)	# of /	1,894	1,567	1.388	1,345	1,292	GUZ,1	814	791	718	708	707	672	662	631	624	921	010	553	540	535	509	509	506	501	498	478	452	443	429	422	420	396	394	373	371	369	367	361	\$C9	335	400	329	322	101	313	312	312		-
cine (Physiology/ Pathology, Surgery/Medicine in Gen Total of 5 years	Uni. Name	The Univ. of Tokyo	Osaka Univ.	Kyoto Univ.	Keio Univ.	Tohoku Univ.	Kyushu Univ.	The Jikei Univ.	Kumma Ilniv.	Tokyo Women's Med. Univ.	Kumamoto Univ.	Tokyo Med. and Dent. Univ.	Nagoya Univ.	Kanazawa Univ.	Chiba Univ.	Jichi Med. Sch.	Kyoto Pret. Univ. of Med.	Olement Univ.	Guoma Ilniv.	The Ilniv of Tolvishima	Univ. of Tsukuba	Niigata Univ.	Nippon Med. Sch.	Kansai Med. Univ.	Hiroshima Univ.	Kobe Univ.	Ssapporo Med. Univ.	Yokohama City Univ.	Jundendo Univ.	Shinshu Univ.	Kitasato Univ.	Gifu Univ.	Tamaguoni Univ. Tokai Univeristy	Nagoya City Univ.	Hamamatsu Univ. Sch. of Med.	Mie Univ.	Ehime Univ.	Akita Univ.	Osaka City Univ.	Saitama Med. Sch.	Kagoshima Univ.	ramagata Univ.	Asahikawa Med. Coll.	Trirosaki Univ. Trivama Mad and Pharm Ilniv	I bis of Occur and Envir Haalth	Mivezeki Med Coll	Eukui Med Ilniv	Siza Univ of Med Sci		
asic Medi	ki Classif ication	z	z	z	PRI	z	z	N N	, Ida	PRI	z	z	z	z	z	PRI	FUE -	2 2	zz	: z	z	z	3 PRI	PRI	z	z	8 PUB	PUB	BRI :	z	PRI :	z :	z BR	BUB 6	Z N	z	z	z	PUB	¥ :	z ;	z :	z 2	z z	ida	Zz	: z	z		
ä	it of Rar ng ng	0.520 1	2,320 2	2,130 3	1,690 4	5,500 5	9./60 6	3,460 7	2 10U 0	5,240 10	3,770 11	5,290 12	5.080 13	1,070 12	2.620 15	9,920 16	008:0		0000	3 450 21	5.420 22	1,990 23	2.710 25	7.170 25	5,820 26	9.260 27	3,220 28	1,180 25	7,850 30	7,900 31	3,500 32	7,620 33	0.230 35	2,700 36	5.740 37	7,080 35	5.970 35	1,280 40	9,190 41	74	1,660 45	74 000's	7 000 45	1,300 40	14 000 0	12 000.0	000 20	25	+	1
	of Amoun jects Fundi	2.344 7.470	2,283 6,53;	1.929 5.04;	1.722 4,58	1.691 5,96	1,401 2,87	1,350 3,70	1 110 2 28	1.079 2.13	1,075 2,300	1.040 2.32	930 2,14	889 1,90	870 97.	850 2.00	844 1./5	0027	76.4 1 0.67	740 1.21	715 1.20	680 1,17	667 1.313	649 1,53	621 1,48	611 1,14	583 72:	571 1.37	568 1,13	548 1,08	533 72:	526 84	477 921	475 91	471 815	469 90	466 681	457 92	441 65	124	429 1,02	101 101	421 /3	304 57	385 670	378 6.26	376 55			
Medicine domain (total) Total of 5 years	ff Uni. Name Pro	The Univ. of Tokyo	Osaka Univ.	Tohoku Univ.	Kyushu Univ.	Kyoto Univ.	Kelo Univ.	Tokyo Med. and Dent. Univ.	Obsused Univ.	Nagasaki Univ.	The Univ. of Tokushima	Hiroshima Univ.	Niigata Univ.	Chiba Univ.	The Jikei Univ.	Kumamoto Univ.	Kanazawa Univ.		Toluo Women's Med Hoiv	Shows This	Nihon Univ.	Jichi Med. Sch.	Kyoto Pref. Univ. of Med.	Gunma Univ.	Univ. of Tsukuba	Kagoshima Univ.	Kitasato Univ.	Kobe Univ.	Sapporo Med. Univ.	Nagoya City Univ.	Nippon Med. Sch.	Kansai Med. Univ.	Gifii Ilniv	Shinshu Univ.	Toyama Med. and Pharm. Univ.	Tokai Univeristy	Jundendo Univ.	Yamaguchi Univ.	Hamamatsu Univ. Sch. of Med.		Mie Univ	Tamagata Univ.	Akita Univ.	Univ. of Uccup, and Envir. Realth	Taituo Ilniv	Teinyo Oliw: Yamanachi Med Thir	Ocaka City Ilniv			
	Ranki Clast ng icatio	2 -	2 N	z e	4 Z	2	8 H	z 2		10	N 1	12 N	13 N	14 N	15 PR.	16 16	z 2		20 C	21 DBI	22 PRI	23 PRI	24 PUE	25 N	26 N	27 N	28 PR.	29 N	30 FUE	31 PUI	32 PR	33 PR	35 PUI	36 N	37 N	38 PRI	39 PR.	40 N	41 2 2	24 74	2 : 2 :	z :	45 45 20	40 PR	48 DDI	4 N	50 PLIE		$\left  \right $	+
	-	1						_	1	1	1						1		1	1	1	1			- 1	1								1	L							1	1	_	1	1	1	1		



Fig. 5 Changes in number of subjects in the medicine classification.

(Basic Medicine includes physiology and pathology. Clinical Medicine includes internal medicine, surgery, and medicine in general).



Fig. 6 Changes in funding for each area in medicine classification. (Basic Medicine includes physiology and pathology. Clinical Medicine includes internal medicine, surgery, and medicine in general).

Fig. 10 shows the number of funded subjects for JSPS fellows per organization. This figure shows that the University of Tokyo has the predominant number of funded subjects, and Kyoto University is has the second largest. There is a large difference between the top group consisting of the former imperial universities and the Tokyo Institute of Technology and lower ranked organizations starting with Tsukuba University, Waseda

University and Keio University. This figure shows the importance of the old imperial universities in training the researchers of our country.

# (6) Large-scale Project Funds

Large-scale projects are funded with large grants called Scientific Research on Priority Areas and University and Society Collaboration, and huge grants



Fig. 7 Changes in number of subjects included in interdisciplinary studies / general studies / projects with a limited period classification.



Fig. 8 Percentage of subjects in the interdisciplinary studies classification.

called Specially Promoted Research, Special Purpose Research, Creative Scientific Research (former Grantsin-Aid for New Program), and Center of Excellence (COE) Research. Since the project leaders of these research projects mostly belong to large national universities, many special research grants are allotted to the researchers in large national universities. In addition, large private universities are well represented, and some smaller universities are also highly ranked.

Fig. 11 shows the proportion of total budget broken

down according to the above-stated research categories for FY 2001.

In the case of Scientific Research on Priority Areas, as shown in Fig. 12, large national universities such as the University of Tokyo and Kyoto University occupy the top ranks. However, certain research institutes or graduate school universities, for example, Okazaki National Research Institutes, the Institute of Physical and Chemical Research and Nara Institute of Science and Technology are ranked higher in this category than they



Fig. 9 Proportion of number of funded subjects for JSPS fellows broken down by research field (FY 2002).



Fig. 10 Number of funded subjects for JSPS fellows by organization.

		Interdisciplinary Studies Total of 5 years					The Top 30 in General Studie: Total of 5 years	5	
	Classi					Classi		The #	
Ranki	ficati	Uni. Name	The # of Proiects	The amount of funding	Rank	ficati	Uni. Name	of Proiec	The amount of funding
-	on		-	- -	-	on	TI II.: 6T I	ts 107	-
1	N	The Univ. of Tokyo	1,470	5,617,280	1	N	The Univ. of Tokyo	127	839,060
2	N	Kyoto Univ	1,106	3,578,110	2	N	Kyoto Univ.	/6	578,140
3	N	Usaka Univ.	1,095	3,277,680	3	N		53	341,280
4	N	Tohoku Univ.	784	2,512,740	4	N	Osaka Univ.	36	234,760
0			729	2 164 010	5	IN N	Nagoya Univ.	32	172,200
7	N		670	1,749,020	7	IN N	Kunsku Univ.	30	102.200
,	IN N	Talua last of Task	610	1,742,030	,	IN N	Nyushu Oniv.	23	110,200
0	N	Hekkeide Univ	505	1,924,420	0		Kaia Univ	22	04.260
9	N	Hiveshime Univ.	595	1,074,990	9	PRI	Telus Inst. of Teeh	17	122.600
11	0	The Inst. of Days, and Cham. Bas	250	609.600	10	N	Keke Univ	17	76.010
12	N	Kobo Univ	300	692,000	12	DUR	Takya Metra Univ	16	99.440
12	N	Note Univ.	250	662.000	12	N	Okayama Univ	10	00,440
14	N	Kanazawa Univ	200	614 270	14	N	Univ. of Toukuba	14	52,200
14	PRI	Wasada Univ	230	534 340	15	PRI	Tokai Univeristy	13	107.850
16	NR	Okazaki Nat'i Reg Inst s	212	598.640	15	N	Tokyo University	13	54 200
17	N	The Univ. of Tokuchima	200	429 100	17	NR	High Energy Accelerator Rec. Org	12	95.650
18	N	Kumamota Univ	209	553 050	17	N	Chiba Univ	12	76 360
10	N	Shizuoka Univ	200	340 340	17	N	Hitoteubachi Univ	12	76,070
20	N	Okayama Univ.	203	423.640	20	ND	Okazaki Nat'l Pag. Inst s	11	97.070
20	N	Niimata Univ	204	426,040	20	N	Gifu Univ	11	44 300
21	N	Gupma Univ	107	420,300	20		Wasada Univ	10	44,300
22	N	The Univ. of Electro-Comm	196	316 900	22	N	Tokyo Univ.	10	41,900
24	PRI	Kein Univ	190	496 900	22	PUB	Osaka City Univ	10	32 300
25	NR	Nat'l Inst. for Eusion Sci	186	388 190	25	N	Tokyo Med and Dental Univ	8	50,660
26	N	Chiba Univ	185	324 920	25	N	Nagova Inst. of Tech	8	35,600
27	N	Japan Adv. Inst. of Sci. and Tech	166	266,300	25	N	Kumamoto Univ	8	32 100
28	N	Tokyo Med and Dental Univ	165	457 890	28	N	Kagoshima Univ	7	46 840
			100				The Museum Archaeological Inst. of		10,010
29	N	Shinshu Univ.	158	277,020	28	0	Kashihara, Nara Pref.	/	41,100
30	Ν	Kyushu Inst. of Tech.	156	227,900	28	N	Ibaraki Univ.	7	39,880
31	PUB	Tokyo Metro.Univ.	152	343,830	28	NR	Nat'l Astronomical Obs. of Japan	7	30,620
31	Ν	Tokyo Gakugei Univ.	152	242,720	28	N	Shizuoka Univ.	7	24,400
33	0	Tokyo Metro.Org. for Med. Res.	149	456,750	28	N	Hirosaki Univ.	7	16,300
33	Ν	Ehime Univ.	149	321,600					
35	PUB	Osaka City Univ.	146	330,850					
36	NR	Nat'l Inst. for Edu.Policy Res.	142	342,050					
37	N	Nara Women's Univ.	141	330,460					
38	N	Yamagata Univ.	133	223,200					
39	PRI	Tokyo Univ. of Sci.	132	219,700					
40	N	Mie Univ.	131	256,500					
41	N	Gifu Univ.	128	320,920					
42	PRI	Tokai Univeristy	127	241,890					
43	N	Yamaguchi Univ.	126	215,740					
44	N	Yokohama Nat'l Univ.	123	231,160					
45	PUB	Osaka Pref. Univ.	122	246,900					
45	N	Nagasaki Univ.	122	219,400					
47	N	Toyama Univ.	120	187,420					
48	PUB	Himeji Inst. of Tech.	116	242,100					
49	N	Ochanomizu Univ.	113	251,220					
50	J	Isukuba College of Tech.	107	155,600					
		T-+-1	04.040	E7.000.740			T-+-I	007	E 700 000
		TOTAL	24.940	a / uu2 /4()			LIGIA	1 997	a 773 U9U

Table 8 Top 50 (30) organizations receiving grants for interdisciplinary and general studies.

are in the general category of Scientific Research of Grants-in-Aid.

The Specially Promoted Research is for research regarded as likely to bring outstanding results and high evaluations at the international level. The funds for a research subject of this category are usually about 500 million yen. Fig. 13 shows the number of awarded subjects for each organization from FY 1998 to 2002. The University of Tokyo and Kyoto University have especially large numbers of funded subjects. In contrast, universities below the tenth rank are hardly represented. The number of subjects funded in this category is not proportional to the scale of the organization. It is obvious that the subjects in this category are awarded according to the individual researcher's achievement.



Proportion of budget categories funded as Large-scale Projects (FY2001). Fig. 11



Fig. 12 Number of projects funded under Scientific Research on Priority Areas by organization (FY 1998-2002).

Fig. 13 Number of projects funded as Specially Promoted Research by organization (FY 1998-2002).



Fig. 14 Before and after the field classification change.

# 2.3 Situation in FY 2003 (after modification to field classification)

#### 2.3.1 Outline of general analysis

According to development of the science and technology, the research field codes of Grants-in-Aid for Scientific Research have been revised every five years. In middle of FY 2002, a large modification was undertaken in the research field codes in response to the reply of the Council for Science and Technology [4] of MEXT. A new revised table of research field codes was used for the application for FY 2003 research projects.

The table was changed from nine areas, which consist of seven traditional fields (literature, law, economics, science, engineering, agriculture and medical science) and interdisciplinary and general areas together, to four research areas of general / complex new areas, humanities and social science, science and engineering, and biosciences. The sub-areas increased from 243 to 278, meanwhile the grant categories and budget by category were left almost unchanged. The classification table for application in FY 2003 is shown in Appendix 2.

Using the database for FY 2003, the research projects that are designated a "continuation" from FY 2002 were extracted from the grant category (including Scientific Research, Grants-in-Aid for Young Scientists, and Exploratory Research) to which the application work fields are given. Fig. 14 shows the relationship between the situations before and after the field classification change based on number of "continuation" re-



Fig. 15 Proportion of projects awarded in FY 2003 broken down by top class fields (outer) and specific area (inner).



Fig. 16 Number of projects awarded in humanities/social sciences (2003 FY).

search projects. It shows the differences of the field classification in each area.

Fig. 15 shows the percentage of projects awarded in FY 2003 broken down by top class fields (outer) and specific area(inner).

### 2.3.2 Analysis of individual research areas

#### (1) Humanities and Social Sciences

In the humanities and social sciences, one of the major revisions was in the humanities classification. The fields of psychology and pedagogy (education) were shifted from the arts and humanities to the social sciences. Linguistics became a subfield in the humanities. Fig. 16 shows the number of funded projects broken down by subject in the humanities and social sciences in FY 2003.

#### (2) Sciences and Engineering

In science and engineering, one of the major revisions was in the sciences category. The classification "Biology" was changed to "Biosciences", "Chemistry" was identified as its own field, and "Applied Chemistry" and "Materials Science" became part of "Engineering". Fig. 17 shows the number of awarded projects broken down by field in science and engineering in FY 2003.

#### (3) Biosciences

Biosciences comprise biology, agriculture, medical



Fig. 17 Number of projects awarded in science and engineering (FY 2003).



Fig. 18 Number of projects awarded in the Biosciences area (FY 2003).



Fig. 19 Number of projects in the General area (FY 2003).



Fig. 20 Number of projects awarded in the Complex New areas (FY 2003).

science, dentistry, and pharmacy. The medical science category comprises basic medicine, internal medicine, and surgery. 31% of the total fund, which is the largest portion of the research budget, was allocated to the medical, dental, and pharmaceutical fields. Fig. 18 shows the number of projects awarded broken down by research field in the Biosciences area in FY 2003.

#### (4) General and Complex New Areas

The General and Complex New areas include subfields rearrangements of the previous Interdisciplinary Studies area. Figs. 19 and 20 show the breakdown of the General area and the Complex New research area. These areas include nano- and microsciences.

The total number of funded subjects in FY 2003 was tallied for each university and institute for new research fields and compared with the totals of 1998—2002 that were reported in the previous section and in NII Technical Reports. The results for the total number and amount of funds distributed by organization are also shown in the NII Technical Report. For more details, please refer to the NII Technical Report and related books. [6]–[18]

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#### Hiroyasu NOMURA

Hiroyasu NOMURA received B.S. and M.S. degrees from Nagoya University in 1962 and 1964, respectively, and earned Ph.D. from Nagoya University in 1973. He has been working at Faculty of Engineering,

Nagoya University as a Professor since 1973, as the ex-vice-president of Nagoya University from 1996 to 2000, and became Emeritus Professor of Nagoya University in 2000. He joined Tokyo Denki University as a Professor in 2000 and is the vice president of Tokyo Denki University since 2005. His current research interests include Physical Chemistry: liquid and solution.



Evaluation of Japanese universities' research activity based on the number of awards of Grants-in-Aid for Scientific Research

# Masafumi MAEDA

Masafumi MAEDA earned Ph.D. in Metallurgy from the University of Tokyo in 1981. He has been working at Faculty of Engineering, the University of Tokyo as a Research Associate since 1981, and at Institute of

Industrial Science, the University of Tokyo as a Professor of Department of Materials and Environment Sciences since 1984. He is Director General, Institute of Industrial Science, the University of Tokyo since 2005, Director, International Research Center for Sustainable Materials, Institute of Industrial Science, the University of Tokyo since 2004. His current research interests are on recycling various metals and silicon. Topics of recycling he is working are: refining of metallurgical grade silicon to semiconductor grade, recovery of hydrogen absorbing metal compounds from Ni-H rechargeable buttery for hybrid cars and electrochemical reactions of platinum-metal compounds for recycling. Thermodynamics measurements of metal and oxide compounds by means of high temperature mass spectrometry are another major topics.



# Yoshitaka MITSUDA

Yoshitaka MITSUDA recieved a B.Eng. and M.Eng. degrees in Metallurgy from the University of Tokyo in 1984 and 1986, respectively. He earned Ph.D. from the University of Tokyo in 1989. He has been work-

ing at Institute of Industrial Science, the University of Tokyo as a Professor of Department of Materials and Environment Sciences since 1991. His current research areas include plasma chemistry for thin film deposition and politics on higher education.

Research Area	Subfield	No. of sub-area
(Top level)	(Level 2)	(level 3)
	Philosophy	8
Arts/Humanities	Psychology/Sociology/Pedagogy/Cultural Anthropology	5
	History	4
	Literature	9
Law	Law	7
Economics	Economics	8
	Mathematics	5
	Astronomy	1
	Physics	5
Science	Earth Science	7
	Chemistry	6
	Biology	6
	Anthropology (including Physiological Anthropology)	1
	Applied Physics/Engineering Basics	5
	Machanical Engineering	7
	Electric and Electronic Engineering	7
	Civil Engineering	6
Engineering	Architectonics	4
	Material Engineering	6
	Process Engineering	5
	Industrial Chemistry	6
	Integrated Engineering	4
	Agriculture	5
	Agricultural Chemistry	4
	Forestry	2
	Fishery studies	2
Agriculture	Agronomy	1
	Agricultural Engineering	3
	Livestock Science/Veterinary Medicine	4
	Boundary Agriculture	2
	Physiology	6
	Pathology	6
	Social Medicine	3
	Internal Medicine	14
Medicine		12
	Dentistry	8
	Pharmaou	5
	Medicine in General	
	History of Science and Technology (including Sociology of	Z
	Sciense/Basic Theory of Science and Technology)	1
	Physical Education	1
	Geography	2
	Domestic Science	2
	Cultural Asset Science	1
	Science Education/Curriculum Education	4
	Statistical Science	1
	Information Science	3
Interdisciprinary Studies	Social System Engineering	1
	Natural Disaster Science	1
	Plasma Science and Technology	1
	Energy Studies	3
	Environmental Science	3
	Biological Chemistry	3
	Basic Biology	4
	Neuroscience (including Brain Science)	4
	Laboratory Animal Studies	1
	Biomedical Engineering/Biological Material Studies	1
General Studies	General Studies	1

Appendix 1 Research field classification table including the top and second level research areas for application in TY 2002.

	[Interdisciplinary and New Area]	
Research Area	Subfield	No. of sub-area
	Informatics	11
	Neuroscience	4
	Laboratory Animal Science	1
	Human Medical Engineering	3
Conoral Aroa	Health/Sports Science	3
General Area	human life science	2
	Science Education/Educational Technology	2
	Sociology/History of Sience and Technology	1
	Cultural Asset Science	1
	Geography	1
	Enviroment Studies	4
	Nano/Micro Science	3
	Society/Safety System Science	2
Complex New Area	Genome Science	2
comprex new Ared	Living Organism Molecular Science	1
	Resource Maintenance Studies	1
	Area Studies	1
	Gender Studies	1

# Appendix 2 Research field classification tables for application in FY 2003.

# [Humanities and Social Sciences]

Research Area	Subfield	No. of sub-area
Humanities	Philosophy	6
	Literature	3
	Linguistics	5
	History	5
	Human Geography	1
	Cultural Anthropology	1
Social Science	Law	7
	Politics	2
	Economics	7
	Business Administration	3
	Sociology	2
	Psychology	4
	Pedagogy	4

# [Science and Engineering]

Research Area	Subfield	No. of sub-area
Mathematical and Physical science	Mathematics	5
	Astronomy	1
	Physics	6
	Earth Planet Science	7
	Plasma Science	1
	Basic Chemistry	3
Chemistry	Compound Chemistry	6
	Material Chemistry	4
	Applied Physics/Engineering Basics	5
Engineering	Mechanical Engineering	7
	Electric and Electronic Engineering	7
	Civil Engineering	6
	Architectonics	4
	Material Science	6
	Process Engineering	4
	Integrated Engineering	7

# [Biosciences]

Research Area	Subfield	No. of sub-area
Biology	Basic Biology	6
	Biological Science	7
	Anthropology	2
A	Agriculture	5
	Agricultural Chemistry	5
	Forestry	2
	Fishery Studies	2
Agriculture	Agronomy	1
	Agricultural Engineering	3
	Livestock Science/Veterinary Science	5
	Boundary Agriculture	2
Medicine, Dentistry, and Pharmacy	Pharmacy	6
	Basic Medicine	13
	Boundary Medicine	3
	Social Medicine	3
	Internal Clinical Medicine	15
	Surgical Clinincal Medicine	13
	Dentistry	9
	Nursing Science	3